



## REGULAR MEETING

# EXECUTIVE/ADMINISTRATION COMMITTEE

### Main Office

818 West 7th Street  
12th Floor  
Los Angeles, California  
90017-3435  
t (213) 236-1800  
f (213) 236-1825  
www.scag.ca.gov

### Officers

President  
Michele Martinez, Santa Ana

First Vice President  
Margaret E. Finlay, Duarte

Second Vice President  
Alan Wapner, Ontario

Immediate Past President  
Cheryl Viegas-Walker, El Centro

### Executive/Administration Committee Chair

Michele Martinez, Santa Ana

### Policy Committee Chairs

Community, Economic and  
Human Development  
Bill Jahn, Big Bear Lake

Energy & Environment  
Carmen Ramirez, Oxnard

Transportation  
Barbara Messina, Alhambra

***Thursday, July 7, 2016***  
***9:00 a.m. – 10:00 a.m.***

**SCAG Main Office**  
**818 W. 7th Street, 12th Floor**  
**Board Room**  
**Los Angeles, CA 90017**  
**(213) 236-1800**

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Tess Rey-Chaput at (213) 236-1908 or via email at [REY@scag.ca.gov](mailto:REY@scag.ca.gov). Agendas & Minutes for the EAC are also available at: <http://www.scag.ca.gov/committees/Pages/default.aspx>

SCAG, in accordance with the Americans with Disabilities Act (ADA), will accommodate persons who require a modification of accommodation in order to participate in this meeting. SCAG is also committed to helping people with limited proficiency in the English language access the agency's essential public information and services. You can request such assistance by calling (213) 236-1908. We request at least 72 hours (three days) notice to provide reasonable accommodations and will make every effort to arrange for assistance as soon as possible.

**This Page Intentionally Left Blank**



## **Executive/Administration Committee**

### ***Members – July 2016***

Chair	1.	Hon. Michele Martinez	<i>Santa Ana</i>	District 16
1 <sup>st</sup> Vice-Chair	2.	Hon. Margaret E. Finlay	<i>Duarte</i>	District 35
2 <sup>nd</sup> Vice-Chair	3.	Hon. Alan Wapner	<i>Ontario</i>	SANBAG
Imm. Past Pres.	4.	Hon. Cheryl Viegas-Walker	<i>El Centro</i>	District 1
TC Chair	5.	Hon. Barbara Messina	<i>Alhambra</i>	District 34
TC Vice Chair	6.	Hon. Karen Spiegel	<i>Corona</i>	District 63
CEHD Chair	7.	Hon. Bill Jahn	<i>Big Bear Lake</i>	District 11
CEHD Vice Chair	8.	Hon. Larry McCallon	<i>Highland</i>	District 7
EEC Chair	9.	Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
EEC Vice Chair	10.	Hon. Ross Chun	<i>Aliso Viejo</i>	TCA
LCMC Chair	11.	Hon. Pam O'Connor	<i>Santa Monica</i>	District 41
LCMC Vice Chair	12.	Hon. Clint Lorimore	<i>Eastvale</i>	District 4
President's Appt.	13.	Hon. Jan Harnik	<i>Palm Desert</i>	RCTC
President's Appt.	14.	Hon. Ali Saleh	<i>Bell</i>	District 27
President's Appt.	15.	Hon. Rex Richardson	<i>Long Beach</i>	District 29
President's Appt.	16.	Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
	17.	Hon. Mary "Maxine" Resvaloso	<i>Torres-Martinez, Desert Cahuilla Indians</i>	Tribal Gov. Rep.
	18.	Mr. Randall Lewis	<i>Lewis Group of Companies</i>	Ex-Officio Member

**This Page Intentionally Left Blank**

# EXECUTIVE/ADMINISTRATION COMMITTEE

## AGENDA

### JULY 7, 2016

---

*The Executive/Administration Committee (EAC) may consider and act upon any of the items listed on the agenda regardless of whether they are listed as information or action items.*

#### **CALL TO ORDER & PLEDGE OF ALLEGIANCE**

*(Hon. Michele Martinez, Chair)*

**PUBLIC COMMENT PERIOD** – Members of the public desiring to speak on items on the agenda, or items not on the agenda, but within the purview of the Committee, must fill out and present a Public Comment Card to the Assistant prior to speaking. Comments will be limited to three (3) minutes per speaker provided that the Chair has the discretion to reduce this time limit based upon the number of speakers. The Chair may limit the total time for all public comments to twenty (20) minutes.

#### **REVIEW AND PRIORITIZE AGENDA ITEMS**

#### **EXECUTIVE DIRECTOR'S REPORT**

*(Hasan Ikhata, Executive Director)*

- 27<sup>th</sup> Annual Demographic Workshop, June 13, 2016 – Recap

#### **PRESIDENT'S REPORT**

- Executive/Administration Committee (EAC) Retreat – Recap

#### **CONSENT CALENDAR**

##### **Approval Items**

- |  |                   |           |
|--|-------------------|-----------|
| 1. <u>Minutes of the Meeting, June 2, 2016</u>   | <b>Attachment</b> | <b>1</b>  |
| 2. <u>Final Report related to the California Strategic Growth Council's 2012 Sustainable Communities Planning Grant and Incentive Program</u>  | <b>Attachment</b> | <b>7</b>  |
| 3. <u>Contracts \$200,000 or Greater: Contract Nos. 16-040B-C1 through 16-040B-C9, Information Technology (IT) Technical Project Resources</u> | <b>Attachment</b> | <b>19</b> |
| 4. <u>Contracts \$200,000 or Greater: Contract No. 16-042-C1, Active Transportation Safety and Encouragement Campaign (Tactical Urbanism)</u>  | <b>Attachment</b> | <b>55</b> |

# EXECUTIVE/ADMINISTRATION COMMITTEE

## AGENDA

### JULY 7, 2016

---

<u>CONSENT CALENDAR - continued</u>		<u>Page No.</u>
5. <u>Contracts \$200,000 or Greater: Contract No. 16-039-C1, Metro Green Line Extension/Orange County to Los Angeles International Airport Connectivity Study</u>	Attachment	79
6. <u>SB 1465 (De León) – Public Contracts: 2024 Olympic Games and Paralympic Games - SUPPORT</u>	Attachment	98
 <b><u>Receive and File</u></b>		
7. <u>Housing Summit, October 11, 2016</u>	Attachment	102
8. <u>2017 Active Transportation Program (ATP) Update</u>	Attachment	108
9. <u>Highlights from the 27<sup>th</sup> Annual SCAG/USC Demographic Workshop - June 13, 2016</u>	Attachment	117
10. <u>Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000</u>	Attachment	145
11. <u>July State and Federal Legislative Update</u>	To be distributed at the meeting	
<b><u>CFO MONTHLY REPORT</u></b> <i>(Basil Panas, Chief Financial Officer)</i>	Attachment	168

#### **FUTURE AGENDA ITEM/S**

#### **ANNOUNCEMENT/S**

#### **ADJOURNMENT**

*There is no meeting in August (dark).*

*The next regular meeting of the EAC is scheduled for Thursday, September 1, 2016 at the SCAG Los Angeles Office.*

**SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS  
EXECUTIVE/ADMINISTRATION COMMITTEE (EAC)  
MINUTES OF THE MEETING  
THURSDAY, JUNE 2, 2016**

---

**THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE EXECUTIVE/ ADMINISTRATION COMMITTEE (EAC). AN AUDIO RECORDING OF THE ACTUAL MEETING IS AVAILABLE AT SCAG, 818 W. 7<sup>TH</sup> STREET, 12<sup>TH</sup> FLOOR, LOS ANGELES, CA 90017.**

---

The Executive/Administration Committee (EAC) of the Southern California Association of Governments (SCAG) held its meeting at the SCAG Los Angeles office. There was a quorum.

**Members Present**

Hon. Michele Martinez, <i>Chair</i>	<i>Santa Ana</i>	District 16
Hon. Margaret E. Finlay, <i>First Vice Chair</i>	<i>Duarte</i>	District 35
Hon. Alan Wapner, <i>Second Vice Chair</i>	<i>Ontario</i>	SANBAG
Hon. Cheryl Viegas-Walker, <i>Imm. Past President</i>	<i>El Centro</i>	District 1
Hon. Bill Jahn, <i>Chair, CEHD</i>	<i>Big Bear Lake</i>	District 11
Hon. Larry McCallon, <i>Vice Chair, CEHD</i>	<i>Highland</i>	District 7
Hon. Pam O'Connor, <i>Chair, LCMC</i>	<i>Santa Monica</i>	District 41
Hon. Clint Lorimore, <i>Vice Chair, LCMC</i>	<i>Eastvale</i>	District 4
Hon. Barbara Messina, <i>Chair, TC</i>	<i>Alhambra</i>	District 34
Hon. Karen Spiegel, <i>Vice Chair, TC</i>	<i>Corona</i>	District 63
Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
Hon. Jan Harnik	<i>Palm Desert</i>	RCTC
Hon. Ali Saleh	<i>Bell</i>	District 27
Mr. Randall Lewis	<i>Lewis Group of Companies</i>	Ex-Officio

**Members Not Present**

Hon. Carmen Ramirez, <i>Chair, EEC</i>	<i>Oxnard</i>	District 45
Hon. Ross Chun	<i>Aliso Viejo</i>	TCA
Hon. Mary "Maxine" Resvaloso	<i>Torres-Martinez Desert Cahuilla Indians</i>	Tribal Nations Rep.
Hon. Rex Richardson	<i>Long Beach</i>	District 29

**Staff Present**

Hasan Ikhata, Executive Director  
Debbie Dillon, Deputy Executive Director, Administration  
Darin Chidsey, Director, Strategy, Policy and Public Affairs  
Basil Panas, Chief Financial Officer  
Joe Silvey, General Counsel  
Joann Africa, Chief Counsel  
Huasha Liu, Director, Land Use and Environmental Planning  
Naresh Amatya, Acting Director, Transportation Planning  
Tess Rey-Chaput, Office of Regional Council Support  
Carmen Summers, Sr. Administrative Assistant



## **CALL TO ORDER AND PLEDGE OF ALLEGIANCE**

The Honorable Michele Martinez called the meeting to order at 9:00 a.m. and asked Councilmember Larry McCallon to lead the Pledge of Allegiance.

## **PUBLIC COMMENT PERIOD**

There were no public comment cards received.

## **CLOSED SESSION**

- Pursuant to California Government Code Section 54957  
Public Employee Performance Evaluation  
Title: Executive Director
- Pursuant to California Government Code Section 54957.6  
Conference with Labor Negotiators – Agency Designated Representatives: President Michele Martinez, Immediate Past President Cheryl Viegas-Walker; and General Counsel, Joseph Silvey  
Unrepresented Employee: Executive Director

Joe Silvey, General Counsel, announced that the EAC would go into Closed Session in accordance with Government Code Sections 54957.1 and 54957.6 for discussions concerning the Public Employee Performance Evaluation: Executive Director; and Conference with Labor Negotiators – Agency Designated Representatives.

At the end of the Closed Session, Joe Silvey, General Counsel, announced that no actions were taken that required a report.

## **OPEN SESSION**

The meeting reconvened at 10:07 a.m.

## **REVIEW AND PRIORITIZE AGENDA ITEMS**

There was no reprioritization of agenda items.

## **OPEN SESSION ITEMS**

## **ACTION/DISCUSSION ITEMS**

1. Proposed Changes to the Executive Director’s Employment Agreement  
And Approval of the Fiscal Year 2016-17 Work Plan

Joe Silvey, General Counsel, provided an overview of the Fiscal Year (FY) 2016-2017 Work Plan for the Executive Director. He asked the EAC to approve the Executive Director’s Work Plan and

to recommend that the Regional Council ratify it and further to recommend that the Regional Council approve those changes to the Executive Director's Employment Agreement that were described in the agenda packet.. A copy of the FY 2016-2017 Work Plan was also included in the agenda packet.

A MOTION was made (Jahn) to approve staff's recommendation. Motion was SECONDED (Viegas-Walker) and passed by the following votes:

**FOR:** Martinez, Finlay, Wapner, Viegas-Walker Buscaino, Harnik, Jahn, Lorimore, Messina, O'Connor, Saleh, and Spiegel (12).

**AGAINST:** None (0).

**ABSTAIN:** None (0).

### **EXECUTIVE DIRECTOR'S REPORT**

Hasan Ikhtrata, Executive Director, provided an update on the Affordable Housing/Sustainable Communities Program. He reported on the latest update released from the California Air Resources Board concerning the 2015 Implemented Cap and Trade Projects. He expressed disappointment for the lack of projects selected for the Southern California region. He assured the Committee that they would continue to move forward in discussions with the state legislatures regarding providing geographic equity in the distribution of Cap and Trade funds.

Mr. Ikhtrata reported that on June 1, 2016, SCAG received joint conformity determination letters from the Federal Highway Administration and the Federal Transit Administration indicating that all federal air quality conformity requirements for the 2016 RTP/SCS and the associated 2015 FTIP Consistency Amendment through Amendment 15-12 have been met. He noted that the review and certification from the California Air Resources Board is expected soon.

Mr. Ikhtrata announced and invited the members to the SCAG/USC Sol Price School of Public Policy's 27<sup>th</sup> Annual Demographic Workshop on June 13, 2016, at the California Science Center. This year's theme is "*The Continued Rise of the Millennials?*"

Additional highlights can be found in the Executive Director's Monthly Report previously distributed.

### **PRESIDENT'S REPORT**

Chair Michele Martinez announced that the upcoming EAC Retreat is scheduled for June 9-10, 2016, at the DoubleTree Hotel in Santa Ana CA. She noted that the retreat will kick-off the year with discussions over common goals and priorities for the cities, counties and the region as a whole. Chair Martinez stated that guest speakers have been invited to discuss topics of common interest, such as a Earthquake Initiative, Goods Movements, Water, and Economic/Regional Equity and Housing Summit. She asked the members to RSVP as soon as possible.

Chair Martinez reported that she attended the GoHuman Campaign event in the city of Westminster on May 21, 2016. She thanked staff and everyone for their participation and leadership in the Active Transportation program.

## **CONSENT CALENDAR**

### **Approval Items**

2. Minutes of the April 7, 2016 Regular Meeting
3. Minutes of the May 16, 2016 Special Meeting
4. Legal Services
5. 2017 Active Transportation Program Regional Guidelines
6. Contracts \$200,000 or Greater: Contract No. 16-040A, Information Technology (IT) Technical Project Resources
7. Contracts \$200,000 or Greater: Contract No. 16-008-C1, Los Angeles River Bikeway Study
8. Contracts \$200,000 or Greater: Contract No. 17-001-SSG1, Burke, Williams & Sorensen, LLP
9. AB 2475 (Gordon) – California Infrastructure and Economic Development Bank: Affordable Housing – SUPPORT

[Item No.9 was pulled by SCAG staff]

10. AB 2742 (Nazarian) – Transportation Project P3s: Comprehensive Development Lease Agreements – SUPPORT

[Item No.10 was pulled by SCAG staff]

11. SB 885 (Wolk) – Construction Contracts: indemnity – OPPOSE
12. SJR 22 (Hueso) – Calexico West Land Port of Entry Project: Funding – SUPPORT
13. SCAG Memberships & Sponsorship

### **Receive and File**

14. Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000

15. Cap-and Trade/Greenhouse Gas Reduction Fund: Affordable Housing & Sustainable Communities (AHSC) Program Update

16. June State and Federal Legislative Update

President Martinez noted that Agenda Item Nos. 9 and 10 were pulled by staff as the status of these bills are “dead” after the agenda was posted and that these bills failed reconsideration from the Assembly Appropriations Committee.

A MOTION was made (Finlay) to approve the Consent Calendar, except for Approval Item Nos. 9 and 10. Motion was SECONDED (Viegas-Walker) and passed by the following votes:

**FOR:** Martinez, Finlay, Wapner, Viegas-Walker, Buscaino, Harnik, Jahn, Lorimore, McCallon, Messina, O’Connor, Saleh, and Spiegel (13).

**AGAINST:** None (0).

**ABSTAIN:** None (0).

**CFO MONTHLY REPORT**

Basil Panas, CFO, provided highlights of the CFO Monthly Financial Report. He reported that a team of Caltrans auditors will be on site to perform an incurred cost audit and an Indirect Cost Allocation Plan Audit.

Mr. Panas announced that SCAG was awarded the Certificate of Achievement for Excellence in Financial Reporting (CAFR) Program for Fiscal Year 2015. He thanked the accounting staff for their hard work.

SCAG staff, along with Gary Slater, Caltrans District 7 Deputy District Director, Division of Transportation Planning and Local Assistance, responded to questions regarding the frequency of the audit conducted by Caltrans.

**FUTURE AGENDA ITEMS**

There were no future agenda items requested.

**ANNOUNCEMENT**

There were no announcements given.

**ADJOURNMENT**

There being no further business, Chair Martinez adjourned the EAC meeting at 10:25 a.m. The next regular meeting of the EAC is scheduled for Thursday, July 7, 2016 at the SCAG Los Angeles Office.



**EXECUTIVE/ADMINISTRATION COMMITTEE ATTENDANCE REPORT**

	Members	Representing	X = County Represented						2016					
			IC	LA	OC	RC	SB	VC	JUNE	JULY	AUG	SEPT	OCT	NOV
1	Martinez, Michele, 1st VP	Santa Ana, District 16			X				X					
2	Finlay, Margaret, 2nd VP	Duarte, District 35		X					X					
3	Wapner, Alan	SANBAG					X		X					
4	Walker-Viegas, Cheryl, President	El Centro, District 1	X					X	X					
5	Buscaino, Joe	Los Angeles, District 62		X					X					
6	Chun, Ross	Aliso Viejo, TCA			X									
7	Harnik, Jan	Palm Desert, RCTC				X			X					
8	Jahn, Bill	Big Bear Lake, District 11					X		X					
9	Lewis, Randall, Ex Officio	Lewis Op. Corp., Ex-Officio							X					
10	Lorimore, Clint	Eastvale, District 4				X			X					
11	McCallon, Larry	Highland, District 7					X		X					
12	Messina, Barbara	Alhambra, District 34		X					X					
13	O'Connor, Pam	Santa Monica, District 41		X					X					
14	Ramirez, Carmen	Oxnard, District 45						X						
15	Resvaloso, Mary "Maxine"	Torres-Martinez Desert Cahuilla Indians				X								
16	Rex Richardson	Long Beach, District 29		X										
17	Saleh, Ali	Bell, District 27		X					X					
18	Spiegel, Karen	Corona, District 63				X			X					



**DATE:** July 7, 2016

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, [panas@scag.ca.gov](mailto:panas@scag.ca.gov) , 213-236-1817

**SUBJECT:** Final Report related to the California Strategic Growth Council's 2012 Sustainable Communities Planning Grant and Incentive Program

**EXECUTIVE DIRECTOR'S APPROVAL:**



**RECOMMENDED ACTION FOR EAC:**

Recommend that the Regional Council adopt Resolution No. 16-581-1, certifying SCAG's Final Report related to the California Strategic Growth Council's 2012 Sustainable Communities Planning Grant and Incentive Program.

**RECOMMENDED ACTION FOR RC:**

Adopt Resolution No. 16-581-1, certifying SCAG's Final Report related to the California Strategic Growth Council's 2012 Sustainable Communities Planning Grant and Incentive Program.

**EXECUTIVE SUMMARY:**

*In May, 2012, SCAG was awarded a \$1 million grant ("Grant") from the California Strategic Growth Council's Sustainable Communities Planning Grant and Incentive Program which was funded by Proposition 84 (the California Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond of 2006 ("Proposition 84 Grant Program")). The Strategic Growth Council (SGC) was delegated responsibility for the administration of the Proposition 84 Grant Program. Funding was directed toward programs that promote the integration of planning disciplines (such as transportation, land use, and environmental planning) as well as direct collaboration with local governments in the SCAG region for the purposes of implementing SB 375 and the 2012 RTP/SCS.*

*SCAG entered into a grant agreement ("Grant Agreement") with the California Department of Conservation, Division of Land Resource Protection ("Department"), related to the subject Proposition 84 Grant Program. The Grant Agreement expired on March 31, 2016, and as part of its obligations under the Grant Agreement, SCAG is to submit a final plan report ("Final Report"). The Final Report must be reviewed and certified as accurate before its submission to the Department and Strategic Growth Council, and must include all work products generated by the grant funds.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies, Objective (a): Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.



## **BACKGROUND:**

In 2012, SCAG applied for funding under the Proposition 84 Grant Program administered by the Strategic Growth Council (SGC). SGC was authorized by the California Legislature to appropriate funds for planning grants and incentives that reduce energy consumption, conserve water, improve air and water quality, and provide other community benefits. In this this second round of SGC awards, SCAG was selected out of a large applicant pool and was awarded a \$1 million grant to complete the projects outlined below that provide assistance in the local implementation of SB 375 and the 2012 RTP/SCS.

In accordance with the work plan of the Grant Agreement, the following projects (three tasks), collectively referred to as ‘Building Sustainable Communities in Southern California’, were pursued by SCAG over a period of two and a half years and supported by the Proposition 84 grant funds:

### **Task 1: Provide General Plan update related assistance to local jurisdictions to support SCS implementation**

- This task item consisted of four (4) local implementation projects: 1) SANBAG Sustainability Project; 2) City of Beaumont Climate Action Plan; 3) City of Chino Hills Climate Action Plan; and 4) WRCOG Climate Action Plan
- The SANBAG Sustainability Project created a set of implementation tools that cities may use in development of their local climate action plans (CAPs), such as the creation of a greenhouse gas (GHG) screening tool for new development projects, and a document template that cities can easily follow in preparing their CAPs
- The City of Beaumont Climate Action Plan created a CAP implementation tool to work toward achieving significant local GHG reductions. As a small city becoming increasingly urbanized, Beaumont provides a case study on effective climate change policies in smaller cities
- The City of Chino Hills Climate Action Plan developed a city-wide CAP and implementation strategy to reduce GHG emissions to meet AB 32 and SB 375 goals
- The WRCOG Climate Action Plan project builds upon existing sustainability programs and partnerships to decrease GHG emissions through efficient land and resource use policies in support of AB 32, SB 375, and 2012 RTP/SCS sustainability goals

### **Task 2: Develop performance monitoring tools and sustainability progress reports to assess SCS implementation progress**

- This task includes the transformational upgrade of the existing ‘CALOTS’ planning tool to support regional and local performance monitoring of the 2016 RTP/SCS. The ‘REVISION’ project, as the new tool is now known, focused on the development and public launch of a new integrated web-based planning application that is now available to the public. This powerful new tool provides local and regional land use planning decision-makers, both public and private, quick access to a large array of local demographic, socio-economic, transportation, and land use data to inform and encourage well-reasoned, sustainable land use decisions and development practices at the local level. The ‘REVISION’ tool is now available online at: <http://revision.lewis.ucla.edu/>

# REPORT

---

### **Task 3: Develop an SCS Implementation Guidebook and best practices resources through a regional learning network to aid local jurisdictions**

- The ‘Advancing Implementation of the Sustainable Communities Strategy – Strategic Assessment of Implementation Strategies and Best Practice Options’ project sought to identify innovative implementation strategies/best practices nationally and beyond for potential employment in the SCAG region for advancing implementation of the SCS

In order to complete this round of funding and discharge its obligations under the Grant Agreement, SCAG generated a Final Report detailing the accomplishments for each task, which is included in this report as ‘Exhibit A’ attached to Resolution No. 16-581-1. Staff recommends that the Regional Council review the Resolution and Final Report and certify its accuracy. It should be noted that because of its length, only the Final Report is attached with Resolution No. 16-581-1 and does not include all work products generated by the subject grant funds. The work products will be submitted to the Department as part of the Final Report.

These work products are available for review by the Regional Council at the following ftp site:  
<ftp://data.scag.ca.gov/SGC/>

If prompted for a username and password, please use the information below:

Username: scag-data

Password: \$cag424

#### **FISCAL IMPACT:**

SCAG received a total of \$1 million in SGC Sustainable Communities Planning Grant and Incentive Program funds to conduct the six projects included in the three tasks. Of the \$1 million, \$831,519 was actually expended to complete the six projects, as each of the three tasks were completed under budget.

#### **ATTACHMENT:**

Resolution No. 16-581-1, and its accompanying ‘Exhibit A’, SCAG’s Final Report related to the California Strategic Growth Council’s 2012 Sustainable Communities Planning Grant and Incentive Program.



**This Page Intentionally Left Blank**



**RESOLUTION NO. 16-581-1**

**A RESOLUTION OF THE SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS (SCAG) CERTIFYING SCAG'S FINAL REPORT RELATED TO THE SUSTAINABLE COMMUNITIES PLANNING GRANT AND INCENTIVES PROGRAM UNDER THE SAFE DRINKING WATER, WATER QUALITY AND SUPPLY, FLOOD CONTROL, RIVER AND COASTAL PROTECTION BOND ACT OF 2006 (PROPOSITION 84) AWARDED TO SCAG TO SUPPORT VARIOUS REGIONAL PLANNING ACTIVITIES**

**Main Office**

818 West 7th Street  
12th Floor  
Los Angeles, California  
90017-3435  
  
t (213) 236-1800  
f (213) 236-1825  
  
www.scag.ca.gov

**WHEREAS**, the Southern California Association of Governments (“SCAG”) is the Metropolitan Planning Organization, for the six county region comprising of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial pursuant to 23 U.S.C.§ 134 et seq. and 49 U.S.C. §5303 et seq.; and

**Officers**

President  
Michele Martinez, Santa Ana

First Vice President  
Margaret E. Finlay, Duarte

Second Vice President  
Alan Wapner, San Bernardino  
Associated Governments

Immediate Past President  
Cheryl Viegas-Walker, El Centro

**WHEREAS**, the Strategic Growth Council has been delegated the responsibility for the administration of the Proposition 84 grant program;

**WHEREAS**, SCAG, which is the Metropolitan Planning Organization (“MPO”), pursuant to 23 U.S.C. 134 et seq. and 49 U.S.C. 5303 et seq. for the six counties of Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial, submitted an application for the Proposition 84 grant program in 2012;

**Executive/Administration  
Committee Chair**

Michele Martinez, Santa Ana

**Policy Committee Chairs**

Community, Economic and  
Human Development  
Bill Jahn, Big Bear Lake

Energy & Environment  
Carmen Ramirez, Oxnard

Transportation  
Barbara Messina, Alhambra

**WHEREAS**, in 2012, SCAG was awarded a \$1 million grant from the Proposition 84 grant program and entered into to a certain grant agreement with the California Department of Conservation, Division of Land Resource Protection (“Department”), related to Grant Number 3012-585 (“Grant Agreement”);

**WHEREAS**, the Grant Agreement expired on March 31, 2016, and under specific terms of the Grant Agreement, SCAG’s grant obligations are discharged only upon acceptance of a final plan report (“Final Report”) by the Department. The Final Report is required to be submitted to the Department by July 31, 2016, must attach and incorporate all work-products generated by the grant funds and must be certified by SCAG’s governing board prior to submission to the Department.

**NOW, THEREFORE, BE IT RESOLVED** by the Regional Council of the Southern California Association of Governments, does hereby approves and certifies as accurate the Final Report, attached to this Resolution as “Exhibit A,” related to the applicable Proposition 84 grant/Grant Number 3012-585.

**BE IT FURTHER RESOLVED THAT:**

1. The Regional Council hereby authorize SCAG’s Executive Director or his designee to submit this Resolution and the Final Report to the California Department of Conservation, Division of Land Resource Protection, along with all related documents, including but not limited, the work products generated from the subject Proposition 84 grant funds by the July 31, 2016 deadline.
2. That SCAG’s Executive Director or his designee is also authorized to provide a copy of this Resolution and Final Report to appropriate representatives of the Strategic Growth Council.

**PASSED, APPROVED AND ADOPTED** by the Regional Council of the Southern California Association of Governments at its regular meeting this 7<sup>th</sup> day of July, 2016.

---

Michele Martinez  
President, SCAG  
Councilmember, City of Santa Ana

Attested by:

---

Hasan Ikhata  
Executive Director

Approved as to Form:

---

Joann Africa  
Chief Counsel

# California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2013 - 2016

## Department of Conservation/Division of Land Resource Protection

**Grantee:** Southern California Association of Governments **Grant No.** 3012-585

**Project Title:** Sustainable Communities Planning Grant and Incentives Program

The Southern California Association of Governments (SCAG) is the largest Metropolitan Planning Organization (MPO) in the nation encompassing six counties, 191 cities, and over 18 million residents. Senate Bill 375 requires SCAG to develop a Sustainable Communities Strategy (SCS) as an integral component of the Regional Transportation Plan (RTP). SCAG held more than 200 meetings and workshops to seek input from local jurisdictions, county transportation commissions, and other stakeholders on the development of the 2012 RTP/SCS. The 2012 RTP/SCS accommodates an additional 4 million residents by 2035 by encouraging compact and resource-efficient development patterns, revitalizing existing communities, improving accessibility, promoting public health through improved air quality and active transportation (walking and biking), ensuring social equity, and exceeding the regional greenhouse gas emissions reduction targets established by the California Air Resources Board.

While the 2012 RTP/SCS contains land use strategies, transportation investment projects and financial strategies, there is a need for SCAG as the MPO to facilitate its implementation among the 197 local jurisdictions in the region. Specifically, there is a need to assist local jurisdictions in updating their General Plans building on sustainability planning efforts, such as developing Climate Action Plans, to support SCS implementation. It is also essential to significantly improve the capacity to monitor and assess implementation progress amongst both the smaller and larger cities within the SCAG region. Considering the unique development patterns found in the region, developing guidance for SCS implementation for Southern California will also be useful to local jurisdictions.

With funding support from the Strategic Growth Council's Sustainable Communities Planning Grant and Incentives Program, SCAG has completed three (3) initiatives to facilitate implementation of the 2012 RTP/SCS, which was recently updated with the adopted 2016 RTP/SCS. SCAG's mutually-supportive initiatives included the following three task items:

- 1) Provide General Plan update related assistance to local jurisdictions to support SCS implementation:** Including technical assistance (data and tools) to all local jurisdictions as well as financial assistance to selected local jurisdictions for local General Plan updates including development of climate action plans
- 2) Develop performance monitoring tools and sustainability progress reports to assess SCS implementation progress:** Including tools for local jurisdictions to monitor growth, identify infill opportunities, track non-motorized accessibility, and progress reporting using performance indicators
- 3) Develop an SCS Implementation Guidebook and best practices resources through a regional learning network to assist local jurisdictions**

## **Task 1: Provide General Plan Update Related Assistance to Local Jurisdictions to Support SCS Implementation**

Under Task 1, SCAG received a total of seventy-six (76) proposals as part of its ‘Sustainability Call for Proposals’ in May 2013, with total funding requests slightly exceeding \$10 million. A review committee ranked all of the proposals in accordance with the defined selection criteria. On September 12, 2013, the SCAG Regional Council approved the Sustainability Program project list. Four (4) of the approved Sustainability Projects were selected to be funded through the SGC grant.

These four projects included: 1) Preparation of Climate Action Plan Implementation Tools in partnership with the San Bernardino Associated Governments (SANBAG); 2) a Climate Action Plan for the City of Beaumont; 3) a Climate Action Plan for the City of Chino Hills; and 4) Climate Action/Public Health Implementation in partnership with the Western Riverside Council of Governments (WRCOG). Work on each of these projects continued through FY 14/15 and FY 15/16 and was completed by March 31, 2016. It should be noted that Climate Action Plans not only help to implement the General Plan, but also provide a basis for informing future General Plan updates.

Highlights of each of the four Sustainability Projects under Task 1 include the following:

### **1) SANBAG Sustainability Project**

This project created a set of implementation tools that cities in San Bernardino County may use in the development of their local climate action plans (CAPs), such as the creation of a GHG Screening Tool for new development projects, and a document template that cities can easily follow in preparing their CAPs. Notice to Proceed for this project was issued on July 1, 2014. SANBAG conducted data gathering and outreach to verify the needs of the proposed users.

SANBAG drafted a template CAP that was reviewed by its member cities. During the review process, city staff indicated they wanted to actually see “how” the original regional reduction plan transforms into a CAP. To that end, SANBAG revised the project scope of work to provide the City of Yucaipa with a pilot project for a municipal CAP. The scope revision was approved and SANBAG then developed a completed CAP template for the City of Yucaipa.

SANBAG conducted webinars on both the CAP implementation toolkit and the GHG tracking template. The final draft of the toolkit was completed on July 30, 2015. The City of Yucaipa CAP template is included in that document. The City of Yucaipa and the SANBAG team, which included SCAG’s consultant Atkins, presented the CAP approval process to the steering committee. The project concluded on September 30, 2015, and the final products have been delivered to SCAG.

**Total Contract Value: \$49,736**

**Total Expenditures: \$49,736**

**Contract Balance: \$0**

## 2) City of Beaumont Climate Action Plan

This planning project supports the goals of SCAG's Sustainable Communities Strategy and Green Region initiative by creating a Climate Action Plan and implementation tool designed to facilitate achievement of significant greenhouse gas reductions. As a small city that is becoming increasingly urbanized, Beaumont provides a valuable case study on the development of effective climate change policies in smaller cities. SCAG collected initial data and provided a framework for the GHG inventory. Data analysis was then conducted.

City of Beaumont staff provided a project update to their City Council on February 3, 2015 and also presented a GHG inventory. The City Council directed staff to conduct public outreach to find out what the citizens would like to see in the 'Sustainable Beaumont' plan. Two public workshops were held on April 16th and 17th, 2015. The City then hosted a 'Lunch and Learn' event on the 'Sustainable Beaumont' plan on May 5, 2015.

City of Beaumont staff quantified the GHG reduction measures that were presented to the City Council on September 1, 2015. At that time, the City Council also adopted a reduction target based on the recommended measures. The final draft Climate Action Plan, prepared with the assistance of the project consultant Atkins, was presented for adoption on October 6, 2015. The completed project was approved by the Beaumont City Council in December, 2015. Final deliverables and reports have been completed and submitted to SCAG.

**Total Contract Value: \$174,653**

**Total Expenditures: \$138,849**

**Contract Balance: \$35,804**

## 3) City of Chino Hills Climate Action Plan

This project developed a Climate Action Plan and Implementation Strategy for the City of Chino Hills in order to reduce greenhouse gas emissions to meet AB 32 and SB 375 goals. SCAG conducted initial data gathering, developed a framework for the GHG inventory, and discussed how the Climate Action Plan would be used by the jurisdiction. Notice to Proceed for the project was issued on July 1, 2014.

The City completed the GHG inventory and received data from the utility provider regarding electricity consumption. SCAG provided the City with VMT and trip data for trips originating or ending in the City of Chino Hills. With this information, the City completed the community-wide and municipal inventory as a draft Inventory, Forecast, and Targets (IFT) report. They also identified potential reduction measures to help meet the agreed upon targets. The City researched the feasibility of additional reduction measures. City staff identified and met with an advisory panel and set up a public meeting. At this meeting, potential GHG reduction targets were reviewed and possible measures to help reach these targets were discussed. The next steps included the creation of an Implementation and Monitoring Strategy to provide the necessary tools to implement and monitor the proposed GHG reduction measures.

As a result of the closure of the San Onofre Nuclear Generating Station, the emissions factor increased for electricity supplied by Southern California Edison, which provides electrical utility service to the City of Chino Hills. The increase in the emissions factor necessitated a re-evaluation of the City's emissions inventory. To provide sufficient time to review the draft CAP, the City requested that the agreement with the project consultant, Atkins, be extended to March 31, 2016. The project is now completed and final deliverables and reports have been submitted to SCAG.

**Total Contract Value: \$102,252**

**Total Expenditures: \$99,253**

**Contract Balance: \$2,999**

#### **4) WRCOG Climate Action Plan**

The goal of this project is to implement the Western Riverside County Climate Action Plan that builds upon existing sustainability programs and partnerships to decrease greenhouse gas emissions through efficient land and resource use policies, and also supports statewide initiatives under the Global Warming Solutions Act of 2006 (AB 32), the Sustainable Communities and Climate Protection Act of 2008 (SB 375), and SCAG's 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS). SGC funding has provided for the expansion of outreach efforts and data gathering validation with local jurisdictions. In addition, the Western Riverside Council of Governments (WRCOG) has continued to refine the required GHG analysis.

In conjunction with the project consultant team PMC, WRCOG worked with its planning directors committee and health subcommittee to implement the climate action plan (CAP) and public health framework goals. WRCOG prepared an adaptation chapter to the CAP which recommends strategies for local jurisdictions to increase resiliency against four hazards expected to increase with climate change (extreme heat, drought, flooding, and wildfires). WRCOG then drafted a set of indicators and targets that demonstrate the health co-benefits of reducing greenhouse gas emissions and other sustainability measures, and this information was incorporated into an overall tracking tool to help jurisdictions monitor progress on CAP implementation.

WRCOG completed a Public Review Draft Climate Adaptation Study (available online at: <http://www.wrcog.cog.ca.us/community/sustainability>), which presents climate adaptation and resiliency strategies for jurisdictions to address the four major climate change hazards: extreme heat, drought, wildfires, and flooding. An Administrative Draft CAP Implementation Model Book has been prepared to provide best practice examples for local jurisdictions to use in implementing CAP and other sustainability plans, and includes model general plan policies, model zoning ordinances, and implementation considerations.

WRCOG has also completed the 'CAPtivate Monitoring Tool' which will enable WRCOG and member jurisdictions to track implementation of the CAP sustainability measures and monitor progress toward

reaching the sub-regional GHG reduction target. Additional tasks included presentation of the final CAPtivate Monitoring Tool, along with previous deliverables prepared as part of this project (Climate Adaptation Study, Model Implementation Book, and Health Indicators Memo), to the WRCOG committees, stakeholders, and the public. The project was completed and presented to all stakeholders in December 2015. Final deliverables and reports have been completed and submitted to SCAG.

**Total Contract Value: \$170,000**

**Total Expenditures: \$170,000**

**Contract Balance: \$0**

## **Task 2: Development of Performance Monitoring Tools & Sustainability Progress Reports to Assess SCS Implementation Progress**

Task 2 consists of the 'CALOTS' Upgrade ('REVISION') project and was focused on the development and public launch of the new 'REVISION' web-based application. The 'Revision' tool is a transformational upgrade of the previous 'CALOTS' planning tool to support regional and local performance monitoring of the 2016 RTP/SCS. The 'REVISION' project, as the new tool is now known, was focused on the development and public launch of a powerful new online application featuring a wide range of functions and equipped with an extensive, integrated dataset.

The new 'REVISION' tool provides local and regional land use planning decision-makers, both public and private, easy access to a large array of local demographic, socio-economic, transportation, and land use data to inform and encourage well-reasoned, sustainable land use decisions and development practices at the local level. The tool is also accessible to the public as a means for learning more about their communities and becoming more knowledgeable regarding the critical linkage between local land use choices and sustainable outcomes.

In support of the public release of the 'REVISION' application, a program of five in-person training sessions were conducted at SCAG and at the various SCAG subregional offices during the months of January and February, 2016. In addition to the in-person sessions, a program of five online training webinars were also conducted. The purpose for these training sessions was to allow regional stakeholders to interact directly with the project development team to ensure maximum utility of the application and to demonstrate its many uses.

The project development team also worked on various presentations and materials associated with the public launch of the application, including development of a full color, glossy single page double-sided project information flyer which has been made available to stakeholders to provide additional guidance on the many functions the 'REVISION' application is capable of performing. The flyer has been distributed at multiple public events, including the 2016 SCAG Regional Conference/General Assembly in May, 2016.

The contract with the project development consultant, UCLA, was extended through March 31, 2016 to allow adequate time to conduct the training sessions for regional stakeholders. This additional time was also used for further technical refinement of the application. This project is now complete and the 'REVISION' application is now available to the public online at: <http://revision.lewis.ucla.edu/>.

**Total Contract Value: \$250,000**

**Total Expenditures: \$240,469**

**Contract Balance: \$9,531**

### **Task 3: Development of SCS Implementation Guidebook & Best Practices Resources through a Regional Learning Network to Assist Local Jurisdictions**

For Task 3, 'Advancing Implementation of Sustainable Communities Strategy (SCS) – Strategic Assessment of Implementation Strategies and Best Practice Option', the project consultant, AECOM, officially started work on January 22, 2015. The overall purpose for the project is to learn from the early adopters of sustainability practices and actions in the SCAG region, as well as those jurisdictions and agencies implementing innovative measures in other parts of the U.S. and around the world, with the goal of expanding the toolbox of sustainability strategies available to SCAG communities by highlighting emerging concepts, technologies, and initiatives being implemented successfully in other regions. In addition, the project sought to determine the applicability of particular strategies for specific place types and over a diverse range of community contexts from urban to suburban and rural that are found within the SCAG region

The project RFP requested consultant assistance to identify innovative sustainability implementation strategies and best practices currently being used or developed nationally (and internationally) that demonstrate the potential to advance implementation of the SCAG SCS. The consultant, AECOM, analyzed the feasibility for deployment of those identified innovative implementation strategies and best practices in the SCAG region, identified potential barriers, and provided recommendations for moving forward.

Over the course of the project, the consultant reviewed various resources related to SCS implementation and conducted a number of stakeholder interviews with planning directors at key local jurisdictions, including in Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties; as well as with state agencies and other relevant organizations in the Sacramento area. These interviews also included subject matter experts with respect to potential best practices. Finally, the consultant produced a technical memorandum that includes various best practice strategies and tools, along with specific examples of existing plans and programs that have been implemented elsewhere, which were subsequently reviewed by stakeholders and SCAG staff for further consideration for possible implementation in the SCAG region.

# California Sustainable Communities Planning Grants and Incentives Program FINAL REPORT

2013 - 2016

A final report and appendix providing a strategic assessment of implementation strategies and best practice options applicable to the SCAG region was prepared by the project consultant in March, 2016. This project was completed on March 31, 2016 and the final report has been provided to SCAG.

**Total Contract Value: \$220,529**

**Total Expenditures: \$144,507**

**Contract Balance: \$76,022**

## **Deliverables**

Work products associated with these efforts are available for download on SCAG's FTP site at:

<ftp://data.scag.ca.gov/SGC/>

If prompted for a username and password, please use the information below:

Username: scag-data

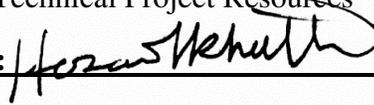
Password: \$cag424

## **Disclaimer**

The work upon which this publication is based was funded in whole or in part through a grant awarded by the Strategic Growth Council. The statements and conclusion of this report are those of SCAG and/or subcontractor and not necessarily those of the Strategic Growth Council or of the Department of Conservation, or its employees. The Strategic Growth Council and the Department make no warranties, express or implied, and assume no liability for the information contained in the succeeding text.

**This Page Intentionally Left Blank**

**DATE:** July 7, 2016  
**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)  
**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, [panas@scag.ca.gov](mailto:panas@scag.ca.gov)  
**SUBJECT:** Contracts \$200,000 or Greater: Contract Nos. 16-040B-C1 through 16-040B-C9,  
Information Technology (IT) Technical Project Resources

**EXECUTIVE DIRECTOR'S APPROVAL:** 

---

**RECOMMENDED ACTIONS:**

1) Approve contracts to be referenced as 16-040B-C1 through 16-040B-C9, with various firms, in an amount not-to-exceed \$980,000, to provide project-based technical resources; and 2) Staff also requests to exceed the \$200,000 contract limit per procurement procedures (explained below) for each individual contract for IT resources, when an individual resource is retained for project support for the purpose of maintaining consistency and effectiveness for each project's duration.

**EXECUTIVE SUMMARY:**

*On June 2, 2016, the Regional Council (Addenda Item No. 8) and Executive/Administration Committee (Agenda Item No. 6) authorized staff to enter into fiscal year 2016-17 (FY17) contracts using qualified IT Resource firms sourced through State of California (CA) Master Service Agreements (MSAs). Staff now seeks to add nine (9) qualified firms selected through SCAG's competitive procurement 16-040. This expands the number of firms available under the State of CA MSA to include local firms as well as those specializing in technologies compatible with SCAG's environment. For FY17, a Request for Offer (RFO) for each IT project or system-related scope of work will be sent to the nine (9) firms with the option to include the State of CA MSA firms. Both the June 2, 2016 action approved noted above and this item combined will not exceed the total \$980,000 SCAG IT resources budget approved by the Regional Council unless otherwise approved through the budget amendment process. It is the intent of this recommended action to simply expand the number of qualified firms from which to select within this \$980,000 budget.*

*Using contracted resources in lieu of hiring employees, gives SCAG the ability to quickly increase or decrease specialized and skilled IT resources. This helps control costs and use SCAG's staff where they are most needed. It also increases SCAG's ability to have more flexibility in technical direction and to implement emerging technologies on an expedited basis.*

*Staff shall return each year to the Regional Council (as it has done since 2012) to request additional funding for future fiscal years. As in the past, staff also requests to exceed the \$200,000 contract limit per procurement procedures for each individual contract for IT resources, when an individual resource is retained for project support to maintain consistency and effectiveness for up to 7-years. This includes amending a contract beyond \$75,000 or 30% of its original value or beyond the normal \$200,000 RC approval threshold.*

# REPORT

---

**STRATEGIC PLAN:**

This item supports SCAG’s Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective d: Integrate Advanced Information and Communication Technologies.

**BACKGROUND:**

**Staff recommends executing the following contract \$200,000 or greater:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Various IT firms (16-040B-C1 through 16-040B-C9)	The various IT firms shall provide technical project resources to complete defined scopes of work for the approved FY17 IT work plan, including some multi-year projects, under contracts 16-040B-C1 through 16-040B-C9.	\$980,000

**FISCAL IMPACT:**

Funds in the amount of \$980,000 for various IT contracts are available in the FY17 budget in the following project numbers: 810-0120.03, 811-1163.01 through .99, and 045-0142.01 through .99. This is the same funding approved by the June 2, 2016 Regional Council (Addenda Item No. 8) and Executive/Administration Committee (Agenda Item No. 6).

**ATTACHMENT:**

Consultant Contract No. 16-040B-C1 through 16-040B-C9

## CONSULTANT CONTRACT 16-040B-C1 through 16-040B-C9

### **Recommended Consultants:**

AgreeYa Solutions, Inc.  
Brite Global, Inc. (BGBS, Inc.)  
Commercial Programming Systems, Inc. (CPS)  
iBusiness Solution, LLC (IBS)  
Infojini, Inc.  
Logic House Ltd.  
Management Applications, Inc. (MAI)  
RADgov, Inc.  
vTech Solution Inc.

### **Background & Scope of Work:**

SCAG's Information Technology (IT) Division requires project-based technical resources to implement the IT work plan in support of SCAG's mission. On June 2, 2016, the Regional Council (Addenda Item No. 8) and Executive/Administration Committee (Agenda Item No. 6) authorized staff to enter into fiscal year 2016-17 (FY17) contracts using qualified IT Resource firms sourced through State of California (CA) Master Service Agreements (MSAs). Staff now seeks to add nine (9) qualified firms selected through SCAG's competitive procurement 16-040. This expands the number of firms available under the State of CA MSA to include local firms as well as those specializing in technologies compatible with SCAG's environment. For FY17, a Request for Offer (RFO) for each IT project or system-related scope of work will be sent to these 9 firms with the option to include the State of CA MSA firms.

Using contracted resources in lieu of hiring employees, gives SCAG the ability to quickly increase or decrease specialized and skilled IT resources. This helps control costs and use SCAG's staff where they are most needed. It also increases SCAG's ability to have more flexibility in technical direction and to implement emerging technologies on an expedited basis.

Staff shall return each year to the Regional Council (as it has done since 2012) to request additional funding for future fiscal years. As in the past, staff also requests to exceed the \$200,000 contract limit per procurement procedures for each individual contract for IT resources, when an individual resource is retained for project support to maintain consistency and effectiveness for up to 7-years. This includes amending a contract beyond \$75,000 or 30% of its original value or beyond the normal \$200,000 RC approval threshold.

The approved FY17 IT work plan includes, but is not limited to system development and support related to:

- The Federal Transportation Improvement Program (FTIP) system used by SCAG TIP staff and the County Transportation Commissions (CTCs);
- Enterprise Geographic Information System (EGIS) geodatabases used by SCAG planners, city staff and the public, accessible through SCAG's websites;
- Planning applications, including Inter Governmental Review (IGR), and others;
- Websites, external and internal, used by staff, partners and the public;
- Administrative systems, such as Records and Information Management (RIM), Customer Relationship Management (CRM), and others; and
- Financial system upgrades.

**Project's Benefits & Key Deliverables:** Using IT resources on a contract-by-contract basis gives SCAG the agility to acquire specialized skills to meet varying demands and workloads. This increases SCAG's effectiveness in deploying new technology, broadens the qualified resource pool, shortens project delivery time, and in many cases, reduces the overall cost of projects. The work of each IT firm is tied to a specific scope related to a particular system, including agreed deliverables and rates, estimated hours, and schedules. RFP 16-040 adds nine (9) additional firms, some of which are high quality firms that do not hold contracts through the State of CA MSA.

**Strategic Plan** This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective d: Integrate Advanced Information and Communication Technologies.

**Contract Amount:** **Annual Total not-to-exceed** **\$980,000**  
To be awarded to eligible firms specified through SCAG RFP 16-040 and the State of California's MSA for various scopes of work related to IT projects. This is the same funding approved by the June 2, 2016 Regional Council (Addenda Item No. 8) and Executive/Administration Committee (Agenda Item No. 6). This report authorizes nine (9) additional firms to respond to IT project scopes of work.

**Contract Period:** July 7, 2016 through June 30, 2017

**Project Number:** 810-0120.03, 811-1163.01 through .99, and 045-0142.01 through .99  
Funding sources: Consolidated Planning Grant (FHWA PL and FTA 5303) and Indirect Funds.

A total of \$980,000 is available in the FY17 budget for IT consulting resources. Staff will utilize firms in the combined pool of SCAG RFP 16-040 and State of CA MSA contracts. For each of the remaining Fiscal Years, staff shall return to the RC to request additional funding, subject to budget availability

**Request-for-Proposal (RFP):** SCAG staff notified 683 firms of the release of RFP 16-040 via SCAG's Solicitation Management System. A total of 62 firms downloaded the RFP. SCAG received the following 17 proposals in response to the solicitation:

AgreeYa Solutions, Inc.  
Brite Global, Inc. (BGBS, Inc.)  
CNC Consulting, Inc.  
Commercial Programming Systems, Inc. (CPS)  
Civic Resource Group International, Inc. (CRG)  
Estrada Consulting, Inc. (ECI)  
Elegant Enterprise-Wide Solutions, Inc.  
iBusiness Solution, LLC (IBS)  
Infojini, Inc.  
Logic House Ltd.  
Management Applications, Inc. (MAI)  
MGO Strategic Staffing/Macias Gini & O'Connell  
RADgov, Inc.  
Robert Half Technology  
Tallan, Inc.  
Ved Innovations, Inc.  
vTech Solution Inc.

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed nine (9) offerors.

The PRC consisted of the following individuals:

Alex Yu, Manager of IT, Application Development, SCAG  
Gurpreet Kaur, Senior Programmer Analyst - Software QA Engineer, SCAG  
Anthony Phan, Transportation Engineer, Caltrans, District 7  
Ping Wang, Regional Planner Specialist, SCAG

**Basis for Selection:** The PRC recommends each of the nine (9) firms listed above for the contract award based on technical qualifications, breadth and quality of resources, company stability and viability, and customer references. Additionally each firm:

- Clearly identified previous experience performing similar work scopes;
- Demonstrated the best understanding of the project's intent and scope;
- Provided rates that were reasonable and within the desired range; and
- Demonstrated the best experience with Enterprise Geographic Information System (EGIS) geodatabases and applications, website development, records and information management and financial system upgrades.

**Conflict Of Interest (COI) Form - Attachment  
For July 2, 2016 Regional Council Approval**

Approve Contract No. 16-040B1 through 16-040B9 to assist staff with information technology application development and support.

The consultant team for this contract includes:

Firm Name	Did the firm disclose a conflict in the Conflict Form they submitted with their original proposal (Yes or No)?
AgreeYa Solutions, Inc.	No - form attached
Brite Global, Inc. (BGBS, Inc.)	No - form attached
Commercial Programming Systems, Inc. (CPS)	No - form attached
iBusiness Solution, LLC (IBS)	No - form attached
Infojini, Inc.	No - form attached
Logic House Ltd.	No - form attached
Management Applications, Inc. (MAI)	No - form attached
RADgov, Inc.	No - form attached
vTech Solution Inc.	No - form attached

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-040

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm:     RADgov, Inc.    

Name of Preparer:     Ajaya Kapoor    

Project Title:     Information Technology (IT) Application Development and Support    

RFP Number:     16-040     Date Submitted:     04/26/2016    

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____





**SCAG CONFLICT OF INTEREST FORM**

**RFP No. 16-040**

**SECTION I: INSTRUCTIONS**

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal.

**Name of Firm:** AgreeYa Solutions, Inc.  
**Name of Preparer:** Ajay Kaul  
**Project Title:** IT Application Development and Support  
**RFP Number:** 16-040      **Date Submitted:** April 28, 2016

**SECTION II: QUESTIONS**

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

**Yes**       **No**

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

Yes     No

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

Yes     No

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

Yes     No

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

Yes       No

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, Ajay Kaul, hereby declare that I am the Managing Partner of AgreeYa Solutions, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated April 28, 2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

	<u>April 28, 2016</u>
Signature of Person Certifying for Proposer (original signature required)	Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**RFP No. 16-040**

**SECTION I: INSTRUCTIONS**

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared nonresponsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under “Doing Business with SCAG,” whereas the SCAG staff and Regional Council members lists can be found under “About SCAG.”

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: **BGBS, INC.**  
 Name of Preparer: **ARIC LEVIN**  
 Project Title: **Information Technology Application Development and Support**  
 RFP Number: **16-040** Date Submitted: **4/25/2016**

**SECTION II: QUESTIONS**

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If “yes”, please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes", please list the name, position and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes", please list name and the nature of the relationship:

Name	Nature of Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as director, officer, partner, trustee, employee or any position of management?

YES     NO

If "yes", please list name and the nature of the relationship:

Name	Nature of Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you are any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to an current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate?

YES       NO

If "yes", please list the name, position date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

The Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) ARIC LEVIN, (Social Security Number; optional) \_\_\_\_\_ hereby declare that I the (position or title) CHIEF SOFTWARE ARCHITECT of (firm name) BGBS, INC., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 4/25/2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer  
 (original signature required)

4/25/2016  
 \_\_\_\_\_  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-040

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Commercial Programming Systems, Inc.

Name of Preparer: Philip Sawyer

Project Title: Information Technology (IT) Application Development and Support

RFP Number: 16-040 Date Submitted: April 28, 2016

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

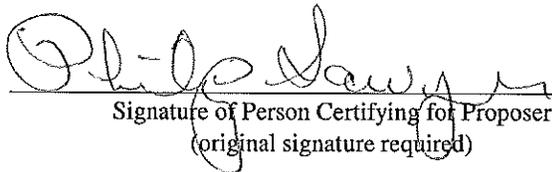
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Philip Sawyer, hereby declare that I am the (position or title) President of (firm name) Commercial Programming Systems, Inc, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated April 28, 2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

      April 4, 2016  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-040

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: iBusiness Solution, LLC  
Name of Preparer: Pramod Srivastava  
Project Title: Information Technology (IT) Application Development and Support  
RFP Number: 16-040 Date Submitted: 04/28/2016

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____



# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-040

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Infojini, Inc.  
Name of Preparer: Sandeep Harjani  
Project Title: Information Technology (IT) Application Development and Support.  
RFP Number: 16-040 Date Submitted: Apr 28, 2016

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Sandeep Harjani, hereby declare that I am the (position or title) Director of (firm name) Infojini, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated Apr 28, 2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Apr 28, 2016

\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

\_\_\_\_\_  
Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-040

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Logic House Ltd.  
Name of Preparer: Keith House  
Project Title: Contract Manager  
RFP Number: 16-040 Date Submitted: 4/23/16

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES     NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES     NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Keith House, hereby declare that I am the (position or title) Vice President of (firm name) Logic House Ltd., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 4/23/16 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer  
 (original signature required)

4/23/16  
 \_\_\_\_\_  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-040

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Management Applications, Inc.

Name of Preparer: Jay Bushman

Project Title: IT Application Development and Support

RFP Number: 16-040 Date Submitted: 4/28/16

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Jay Bushman, hereby declare that I am the (position or title) President and CEO of (firm name) Management Applications, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 4/28/16 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_      4/28/16  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**SCAG CONFLICT OF INTEREST FORM**  
**RFP No. 16-040**

**SECTION I: INSTRUCTIONS**

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

**Name of Firm:** vTech Solution Inc.  
**Name of Preparer:** Mohsin Shaikh, Sr. Client Relationship Executive  
**Project Title:** Information Technology (IT) Application Development And Support  
**RFP Number:** 16-040                      **Date Submitted:** 04/27/2016

**SECTION II: QUESTIONS**

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

<b>Name</b>	<b>Nature of Financial Interest</b>
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If “yes,” please list name, position, and dates of service:

<b>Name Position</b>	<b>Dates of Service</b>
<hr/>	<hr/>
<hr/>	<hr/>

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES  NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Haresh Vataliya, hereby declare that I am the (position or title) Director of (firm name) vTech Solution Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 04/26/2016 is correct and current as submitted.

I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

04/26/2016  
Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



DECLARATION

I, (printed full name) Haresh Vataliya, hereby declare that I am the (position or title) Director of (firm name) vTech Solution Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 04/26/2016 is correct and current as submitted.

I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

04/26/2016  
Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**DATE:** July 7, 2016  
**TO:** Executive/Administration Committee (EAC)  
 Regional Council (RC)  
**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, [panas@scag.ca.gov](mailto:panas@scag.ca.gov)  
**SUBJECT:** Contracts \$200,000 or Greater: Contract No. 16-042-C1, Active Transportation Safety and Encouragement Campaign (Tactical Urbanism)

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**

Approve Contract No. 16-042-C1, in an amount not-to-exceed \$934,911 to Alta Planning + Design, to develop and implement ten (10) open streets and temporary demonstrations projects in ten (10) jurisdictions throughout the SCAG region.

**EXECUTIVE SUMMARY:**

*The purpose of this procurement is to promote walking and biking across the region by implementing a series of open streets and/or temporary demonstration projects that allow residents to temporarily (one-day to one-month) experience roadways that are designed for people and not just cars. The consultant will plan, facilitate public outreach, develop event schedules as well as to design and produce ten (10) open streets and/or temporary demonstration projects in ten (10) jurisdictions. The events will commence during Walktober (October 2016) and occur throughout the year leading up to and culminating with Bike to Work Month (May 2017). The open streets and temporary demonstration projects will leverage complementary encouragement messaging and events across the region. The events will also incorporate and disseminate the GoHuman branding and messaging developed in SCAG's Active Transportation Safety and Encouragement Campaign (a major SCAG initiative designed to reduce vehicle versus pedestrian and bicycle collisions, while increasing levels of walking and biking in Southern California).*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**BACKGROUND:**

**Staff recommends executing the following contract \$200,000 or greater:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
Alta Planning + Design	The consultant shall develop and implement the open streets and temporary demonstrations projects to promote walking and biking at various events throughout the region.	\$934,911

# REPORT

---

**FISCAL IMPACT:**

Funding of \$789,899 is available in the FY 2016-17 budget in the following project/task numbers:

<u>Project/Task No.</u>	<u>Funding Source</u>	<u>Amount</u>
225.03564.01	ATP	\$347,600
225.03564.02	MSRC	\$76,202
225.03564.04	MSRC	\$75,058
225.03564.04	TDA	\$68,000
225.03564.05	MSRC	\$160,559
225.03564.07	MSRC	\$62,480
Total		\$789,899

Additional funds in the amount of \$145,012 will be included in future amendments of the FY 2016-17 budget, subject to budget approval.

**ATTACHMENT:**

Consultant Contract No. 16-042-C1

## CONSULTANT CONTRACT 16-042-C1

**Recommended Consultant:**

Alta Planning + Design

**Background & Scope of Work:**

Consistent with the requirements of the 2014 Active Transportation Planning Grant and additional Mobile Source Air Pollution Reduction Review Committee (MSRC) money that funds this project, the consultant shall provide services for SCAG's *GoHuman* Open Streets and Temporary Demonstration Events to be conducted for ten (10) jurisdictions. Specifically, the consultant will develop and implement the second round of projects for the open streets and temporary demonstration events component of SCAG's *GoHuman* media campaign (a major SCAG initiative designed to reduce vehicle versus pedestrian and bicycle collisions, while increasing levels of walking and biking in Southern California). This project will capitalize on the success of past projects and is designed to stage events that serve as effective outreach mechanisms and implement temporary demonstrations that provide a real world in-the-street example of potential improvements. The primary goal of the project is to promote walking, biking as well as varying human-powered modes of transportation across the region by implementing a series of events that allow residents to temporarily experience roadways that are designed for people and not just cars.

**Project's Benefits & Key Deliverables:**

The project's benefits and key deliverables include, but are not limited to:

- Provide opportunities where residents can experience walking and biking in a safe, low-stress and festive environment;
- Engage communities and build support for the development and/or implementation of bike and pedestrian plans/projects;
- Educate participants on the benefits and strategies for incorporating active transportation into their daily activities;
- Generate media coverage through social and traditional media outlets to educate the broader community on the benefits of active transportation and inspire a vision for a more walkable/bikable region; and
- Facilitate the development and implementation of active transportation infrastructure and programs.

**Strategic Plan**

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

<b>Total not-to-exceed</b>	<b>\$934,911</b>
Alta Planning + Design (prime consultant)	\$645,003
Street Plans Collaborative (subconsultant)	\$172,650
Katherine Padilla and Associates (subconsultant)	\$56,710
UCLA ITS (subconsultant)	\$45,548
Streetfilms (subconsultant)	\$15,000

Note: Alta Planning + Design originally proposed \$964,096, but staff negotiated the price down to \$934,911 without reducing the scope of work.

**Contract Period:**

Notice-to-Proceed through June, 30, 2017

<b>Project Numbers:</b>	<b><u>Project/Task No.</u></b>	<b><u>Funding Source</u></b>	<b><u>Amount</u></b>
	225.03564.01	ATP	\$347,600
	225.03564.02	MSRC	\$76,202
	225.03564.04	MSRC	\$75,058
	225.03564.04	TDA	\$68,000
	225.03564.05	MSRC	\$160,559
	225.03564.07	MSRC	<u>\$62,480</u>
	<b>Total:</b>		<b>\$789,899</b>

Funding of \$789,899 is available in the FY 2016-17 budget, and the remaining \$145,012 will be included in future amendments of the FY 2016-17 budget, subject to budget approval.

**Request-for-Proposal (RFP):** SCAG staff notified 3,116 firms of the release of RFP 16-042-C1 via SCAG's Solicitation Management System. A total of 67 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:

<b>Alta Planning + Design (4 subconsultants)</b>	<b>\$964,096</b>
ECLEI (2 subconsultants)	\$422,491
Here Design (2 subconsultants)	\$704,318
Estolano Lezar Perez Advisors (4 subconsultants)	\$1,103,238

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interview the two (2) highest ranked offerors.

The PRC consisted of the following individuals:

Alek Bartrosouf, Assistant Regional Planner, SCAG  
Dale Benson, District 7 Bicycle and Pedestrian Coordinator, Caltrans District 7  
Julia Lippe-Klein, Assistant Regional Planner, SCAG  
Rye Baerg, Associate Regional Planner, SCAG  
Stephen Patchan, Senior Regional Planner, SCAG

**Basis for Selection:** The PRC recommended Alta Planning + Design for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically pertaining to how the project can provide long term benefits for each community in regards to future active transportation infrastructure projects and programs;
- Provided the best technical approach, for example they illustrated the most comprehensive approach for public outreach and stakeholder participation to develop the most effective and impactful active transportation projects; and
- Provided the best overall value for the level of effort proposed.

Although other firm(s) proposed a lower priced proposal(s), the PRC did not recommend the other firm(s) for contract award because their proposal(s):

- Did not clearly demonstrate a sufficient level of effort, primarily in the form of staff hours, to satisfactorily complete the tasks in the Scope of Work. The firm that proposed the lowest price did not demonstrate event hosting expertise in their proposal and staff believes they did not propose a level of effort sufficient to fulfill all of the Scope of Work (i.e., they substantially underpriced the project); and
- Did not demonstrate an understanding of the requested services.

**Conflict Of Interest Form - Attachment  
For July 7, 2016 Regional Council Approval**

Approve Contract No. 16-042-C1, in an amount not-to-exceed \$934,911 to Alta Planning + Design, to develop and implement ten (10) open streets and temporary demonstrations projects in ten (10) jurisdictions throughout the SCAG region.

The consultant team for this contract includes:

Firm Name	Did the firm disclose a conflict in the Conflict Form they submitted with their original proposal (Yes or No)?
Alta Planning & Design (prime consultant)	No - form attached
Street Plans Collaborative (subconsultant)	No - form attached
Katherine Padilla & Associates (subconsultant)	No - form attached
UCLA ITS (subconsultant)	No - form attached
Streetfilms (subconsultant)	No - form attached

# SCAG CONFLICT OF INTEREST FORM

**RFP No. 16-042**

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so **MAY** also disqualify your firm from submitting an offer on this proposal

**Name of Firm:** Alta Planning + Design, Inc.

**Name of Preparer:** Brett Hondorp

**Project Title:** 2016-2017 Tactical Urbanism Events

**RFP Number:** 16-042      **Date Submitted:** 5/4/2016

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

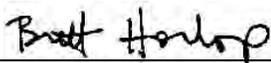
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

**I, (printed full name) Brett Hondorp, hereby declare that I am the (position or title) Principal of (firm name) Alta Planning + Design, Inc, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 5/4/2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.**



5/4/2016
  
 Signature of Person Certifying for Proposer (original signature required) Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

## SCAG CONFLICT OF INTEREST FORM

RFP No. 16-042

### SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: The Street Plans Collaborative  
Name of Preparer: Mike Lydon, Principal  
Project Title: 2016-2017 Tactical Urbanism Events  
RFP Number: 16-042 Date Submitted: 5/4/16

### SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES  NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Mike Lyden, hereby declare that I am the (position or title) Principal of (firm name) The Street Plans Collaborative, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 4.29.16 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer (original signature required)

4.29.16  
 \_\_\_\_\_  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-042

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Katherine Padilla & Associates (KPA)  
Name of Preparer: Katherine Padilla Otanez  
Project Title: Tactical Urbanism Events  
RFP Number: 16-042 Date Submitted: April 29, 2016

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Katherine Padilla Otanez, hereby declare that I am the (position or title) Owner of (firm name) Katherine Padilla Otanez, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated April 29, 2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

      April 29, 2016  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

**RFP No. 16-042**

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

**Name of Firm:** UC Regents

**Name of Preparer:** Amy Warder

**Project Title:** Tactical Urbanism Events

**RFP Number:** 16-042      **Date Submitted:** 4/28/16

## SECTION II: QUESTIONS

- During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>
<hr/>	<hr/>
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Brian Roe, hereby declare that I am the (position or title) Director, Industry Research of (firm name) UC Regents (UCLA), and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 4/28/16 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



\_\_\_\_\_ 4/28/16  
 Signature of Person Certifying for Proposer Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.



# SCAG CONFLICT OF INTEREST FORM

**RFP No. 16-042**

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

**Name of Firm:** ioby

**Name of Preparer:** Erin Barnes

**Project Title:** Tactical Urbanism Events

**RFP Number:** 16-042      **Date Submitted:** 5/4/2016

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____



5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Erin Barnes, hereby declare that I am the (position or title) Executive Director of (firm name) ioby, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated April 29, 2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_      April 29, 2016  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-042

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Streetfilms  
Name of Preparer: Clarence Eckerson Jr.  
Project Title: 2016-2017 Tactical Urbanism Events  
RFP Number: 16-042 Date Submitted: 5/4/2016

## SECTION II: QUESTIONS

- 1) During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

2. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Clarence Eckerson, hereby declare that I am the (position or title) Director of (firm name) Streetfilms, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 5-2-2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


5-2-2016  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer      Date  
 (original signature required)

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**DATE:** July 7, 2016

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, [panas@scag.ca.gov](mailto:panas@scag.ca.gov)

**SUBJECT:** Contracts \$200,000 or Greater: Contract No. 16-039-C1, Metro Green Line Extension/Orange County to Los Angeles International Airport Connectivity Study

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**  
Approve Contract No. 16-039-C1, in an amount not-to-exceed \$817,613 to provide consultant services to conduct a Metro Green Line extension study.

**EXECUTIVE SUMMARY:**  
*SCAG, in cooperation with the Cities of Norwalk and Santa Fe Springs, the Los Angeles County Metropolitan Transportation Authority (Metro), and the Orange County Transportation Authority (OCTA), is preparing a transportation planning study to improve transit connectivity between Orange County (OC) and Los Angeles International Airport (LAX).*

*The study will investigate the feasibility of an extension of the Metro Green Line from its existing eastern terminus at the I-605 freeway in Norwalk to the Norwalk/Santa Fe Springs Metrolink Station. This 2.8 mile Light Rail Transit (LRT) extension would complete a critical link in the regional rail transit network and provide an important connection between the Metro Rail system and the Metrolink commuter rail system, and potentially future California High Speed Rail.*

**STRATEGIC PLAN:**  
This item supports SCAG's Strategic Plan Goal 2: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**BACKGROUND:**

**Staff recommends executing the following contract \$200,000 or greater:**

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
IBI Group	The consultant shall conduct a Metro Green Line Extension/Orange County to Los Angeles International Airport Connectivity Study	\$817,613

**FISCAL IMPACT:**  
Funding of \$600,000 is available in the FY 2016-17 budget in project/task number 140.0121.07 (TDA funds), and the remaining amount of \$217,613 is expected to be available in the FY 2017-18 budget, subject to budget approval.

**ATTACHMENT:**  
Consultant Contract No. 16-039-C1

## CONSULTANT CONTRACT 16-039-C1

<b>Recommended Consultant:</b>	IBI Group												
<b>Background &amp; Scope of Work:</b>	<p>SCAG, in cooperation with the Cities of Norwalk and Santa Fe Springs, the Los Angeles County Metropolitan Transportation Authority (Metro), and the Orange County Transportation Authority (OCTA), is seeking to prepare a transportation planning study to improve transit connectivity between Orange County (OC) and Los Angeles International Airport (LAX).</p> <p>Consultant shall investigate the feasibility of an extension of the Metro Green Line from its existing eastern terminus at the I-605 freeway in Norwalk to the Norwalk/Santa Fe Springs Metrolink Station. This proposed 2.8 mile Light Rail Transit (LRT) extension would complete a critical link in the regional rail transit network and provide an important connection between the Metro Rail system and the Metrolink commuter rail system, and potentially future California High Speed Rail.</p>												
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Technical analysis (including ridership, costs, and benefits) leading to recommendations on alignments and station locations for further technical study in future engineering/environmental stages of project development;</li><li>• Stakeholder and public participation including public meetings and inter-agency coordination to provide ongoing input to the development of study goals, objectives, methodology and assumptions; and</li><li>• Economic impact analysis, development of urban design concepts and conceptual station area plans, and evaluation of opportunities for transit-oriented development.</li></ul>												
<b>Strategic Plan</b>	This item supports SCAG's Strategic Plan Goal 2: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.												
<b>Contract Amount:</b>	<table border="0" style="width: 100%;"><tr><td style="width: 50%;"><b>Total not-to-exceed</b></td><td style="text-align: right;"><b>\$817,613</b></td></tr><tr><td>IBI Group (prime consultant)</td><td style="text-align: right;">\$420,040</td></tr><tr><td>Hatch Mott Macdonald (subconsultant)</td><td style="text-align: right;">\$175,846</td></tr><tr><td>PlaceWorks (subconsultant)</td><td style="text-align: right;">\$91,190</td></tr><tr><td>Katherine Padillia &amp; Associates (subconsultant)</td><td style="text-align: right;">\$83,116</td></tr><tr><td>Keyser Marston Associates (subconsultant)</td><td style="text-align: right;">\$47,421</td></tr></table>	<b>Total not-to-exceed</b>	<b>\$817,613</b>	IBI Group (prime consultant)	\$420,040	Hatch Mott Macdonald (subconsultant)	\$175,846	PlaceWorks (subconsultant)	\$91,190	Katherine Padillia & Associates (subconsultant)	\$83,116	Keyser Marston Associates (subconsultant)	\$47,421
<b>Total not-to-exceed</b>	<b>\$817,613</b>												
IBI Group (prime consultant)	\$420,040												
Hatch Mott Macdonald (subconsultant)	\$175,846												
PlaceWorks (subconsultant)	\$91,190												
Katherine Padillia & Associates (subconsultant)	\$83,116												
Keyser Marston Associates (subconsultant)	\$47,421												
<b>Contract Period:</b>	Notice-to-Proceed through June 30, 2018												
<b>Project Number:</b>	140.0121E.07                      \$817,613												
	<p>Funding source: Transportation Development Act (TDA) Funding of \$600,000 is available in the FY 2016-17 budget, and the remaining amount of \$217,613 is expected to be available in the FY 2017-18 budget, subject to budget approval.</p>												

**Request-for-Proposal (RFP):** SCAG staff notified 1,182 firms of the release of RFP 16-039-C1 via SCAG’s Solicitation Management System, and posted the solicitation on American Planning Association’s website and Urban Transportation Monitor. A total of 74 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:

<b>IBI Group (4 subconsultants)</b>	<b>\$817,613</b>
KOA Corporation (4 subconsultants)	\$759,586
HDR Engineering, Inc. (4 subconsultants)	\$849,975
STV Incorporated (7 subconsultants)	\$1,824,929

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interview all four (4) offerors.

The PRC consisted of the following individuals:

- Philip Law, Manager of Transit/Rail, SCAG
- Matt Gleason, Senior Regional Planner, SCAG
- Karen Heit, Transportation Deputy, Gateway Cities COG
- Bing Hyun, Economic Development Manager, City of Norwalk
- Wells Lawson, Director, Joint Development, Metro

**Basis for Selection:** The PRC recommended IBI Group for the contract award because the consultant:

- Demonstrated the best understanding of the project, specifically their proposal emphasized a strong integrated approach to the study as a joint transportation and land use project;
- Provided the best technical approach to determining the potential economic benefits and fiscal impacts of the project through analysis of market feasibility and financial feasibility;
- Brought the most extensive experience both with developers and with public agencies, allowing for a strong understanding of the economic health of local jurisdictions, which is critical to the project; and
- Provided the best overall value for the level of effort proposed.

Although one other firm proposed a lower price, the PRC did not recommend this firm for contract award because this firm did not demonstrate the same level of creativity and understanding within their proposed technical approach with respect to the station area planning and transit oriented development task as did IBI Group.

**Conflict Of Interest Form - Attachment  
For July 2, 2016 Regional Council Approval**

Approve Contract No. 16-039-C1, in an amount not to exceed \$818,720, to assist staff with the Metro Green Line Extension/Orange County to Los Angeles International Airport Connectivity Study.

The consultant team for this contract includes:

Firm Name	Did the firm disclose conflict in the Conflict Form they submitted with their original proposal (Yes or No)?
IBI Group (prime consultant)	No - form attached
Hatch Mott Macdonald (subconsultant)	No - form attached
Placeworks (subconsultant)	No - form attached
Katherine Padilla & Associates (subconsultant)	No - form attached
Keyser Marston Associates (subconsultant)	No - form attached

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-039

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: IBI Group  
Name of Preparer: William Delo, AICP  
Project Title: Metro Green Line Extension/Orange County to Los Angeles International Airport - Connectivity Study  
RFP Number: 16-039 Date Submitted: 4/27/16

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

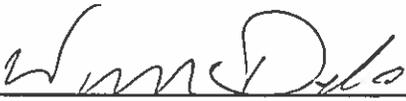
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) William Delo, hereby declare that I am the (position or title) Managing Principal of (firm name) IBI Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 4/22/16 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 _____ Signature of Person Certifying for Proposer (original signature required)	<u>4/22/16</u> _____ Date
--	---------------------------------

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-039

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

**Name of Firm:** Hatch Mott MacDonald, LLC \_\_\_\_\_

**Name of Preparer:** Daniel Tempelis \_\_\_\_\_

**Project Title:** Senior Vice President \_\_\_\_\_

**RFP Number:** 16-039 \_\_\_\_\_ **Date Submitted:** April 14, 2016 \_\_\_\_\_

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES       NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES       NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES       NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

**I, (printed full name) Daniel Tempelis, hereby declare that I am the (position or title) Senior Vice President of (firm name) Hatch Mott MacDonald, LLC, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated April 14, 2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.**

  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer  
 (original signature required)

4/13/2016  
 \_\_\_\_\_  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-039

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG’s Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG’s Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under “OPPORTUNITIES”, then “Doing Business with SCAG” and scroll down under the “CONTRACTS” tab; whereas the SCAG staff may be found under “ABOUT” then “Employee Directory”; and Regional Council members can be found under “ABOUT”, then scroll down to “ELECTED OFFICIALS” on the left side of the page and click on “See the list of SCAG representative and their Districts.”

Any questions regarding the information required to be disclosed in this form should be directed to SCAG’s Deputy Legal Counsel, especially if you answer “yes” to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

**Name of Firm:** PlaceWorks

**Name of Preparer:** Kara Kosel, Contracts Manager

**Project Title:** Metro Green Line Extension/Orange County to LAX Connectivity Study

**RFP Number:** 16-039 **Date Submitted:** April 8, 2016

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If “yes,” please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<u>N/A</u>	<u>N/A</u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
N/A	N/A	N/A
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
N/A	N/A
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
N/A	N/A
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If “yes,” please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
N/A	N/A	N/A
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

**I, (printed full name)** Karen Gulley, **hereby declare that I am the (position or title)** Principal **of (firm name)** PlaceWorks, **and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 04/08/2016 **is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.****



\_\_\_\_\_  
Signature of Person Certifying for Proposer  
(original signature required)

April 8, 2016

\_\_\_\_\_  
Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-039

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Katherin Padilla & Associates (KPA)  
Name of Preparer: Katherine Padilla Otanez  
Project Title: Metro GreenLine Extension-Orange County to LAX Connectivity Study  
RFP Number: 16-039 Date Submitted: April 7, 2016

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

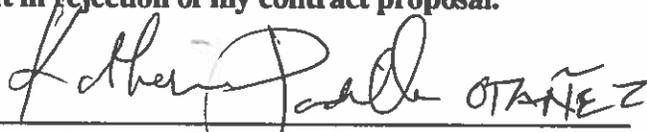
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Katherine Padilla Otanez, hereby declare that I am the (position or title) Owner, managing principal of (firm name) Katherine Padilla & Associates, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated \_\_\_\_\_ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer  
(original signature required)

April 6, 2016

Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

# SCAG CONFLICT OF INTEREST FORM

RFP No. 16-039

## SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at [www.scag.ca.gov](http://www.scag.ca.gov). The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Keyser Marston Associates, Inc.  
Name of Preparer: Kevin Engstrom  
Project Title: SCAG Metro Green Line Extension  
RFP Number: 16-039 Date Submitted: 4-14-16

## SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES  NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES  NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES  NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES       NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

**SECTION III: VALIDATION STATEMENT**

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

**DECLARATION**

I, (printed full name) Kevin Engstrom, hereby declare that I am the (position or title) Vice President of (firm name) Keyser Marston Associates, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated \_\_\_\_\_ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

  
 \_\_\_\_\_  
 Signature of Person Certifying for Proposer  
 (original signature required)

4-14-16  
 \_\_\_\_\_  
 Date

**NOTICE**

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

**This Page Intentionally Left Blank**

**DATE:** July 7, 2016

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Darin Chidsey; Director, Strategy, Policy & Public Affairs; (213) 236-1836;  
[chidsey@scag.ca.gov](mailto:chidsey@scag.ca.gov)

**SUBJECT:** SB 1465 (De Leon) – Public Contracts: 2024 Olympic Games and Paralympic Games -  
SUPPORT

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**  
Support

**EXECUTIVE SUMMARY:**

*Contingent upon the selection of Los Angeles as the Host City for the 2024 Olympic and Paralympic Games, this legislation establishes an Olympic Games Trust Fund and authorizes the Governor to sign agreements that would make the state jointly liability with the Organizing Committee for the Olympic Games (OCOG) for any financial deficit relating to the Games. The state's potential liability is capped at \$250 million, and is a last resort that is only triggered after a significant list of contingencies including the exhaustion of all other security, coverage from all insurance policies, and an initial contribution from the City. Consistent with Board adopted 2016 legislative priorities to support new sources of funding for transportation infrastructure, to be reasonably expected should Los Angeles be selected to host the 2024 games, the Legislative/Communications and Membership Committee (LCMC) at its June 2016 meeting forwarded a support recommendation.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

**BACKGROUND:**

In September 2015, the City of Los Angeles was named the Candidate City to host the 2024 Olympic and Paralympic Games by the United States Olympic Committee (USOC). Los Angeles is one of four candidate cities vying for the games - with Paris, France; Rome, Italy; and Budapest, Hungary. The International Olympic Committee (IOC) is scheduled to select a host city in September 2017. Los Angeles has hosted two prior Olympic Games with great success - in 1932 (the X Olympiad) and 1984 (the XXIII Olympiad).

According to the author's office, this bill establishes a structure to provide state financial guarantees to demonstrate that California is prepared to host the 2024 Olympic Games and Paralympic Games. This

guarantee is required by the USOC and the IOC as a prerequisite before any city can be selected to host an Olympic Games. Further, the USOC and IOC require that all bid states and bid committees execute certain agreements including the authorized agreements contained in this bill.

The State has twice before passed legislation similar to SB 1465 - AB 300 in 2007 and SB 1987 in 2002. AB 300 (Nunez, Chapter 4, Statutes of 2007) authorized the Governor to sign agreements required by the USOC as part of the City of Los Angeles' 2016 Olympic Games bid process, as well as provisions similar to those of this bill making the state jointly liable (not to exceed \$250 million) for any financial deficit relating to the games. SB 1987 (Burton, Chapter 16, Statutes of 2002) established a structure for the state to indemnify, insure and provide financial guarantees up to \$250 million as required by the USOC to further the bid of the San Francisco Bay Area to host the 2012 Summer Olympic Games. The bill also provided the Governor the authority to sign any contracts on behalf of the state as part of the bid process.

### **Bill Provisions**

SB 1465 would enact the 2024 Olympic Games and Paralympic Games Act for the purpose of establishing a structure to provide financial guarantees, as required by the IOC, that California and the City of Los Angeles are prepared to host the summer games.

This bill authorizes the Governor to sign agreements with the International Olympic Committee (IOC) and the International Paralympic Committee (IPC) as part of the site selection process for the City of Los Angeles' bid to host the 2024 Olympic Games and Paralympic Games. This bill also authorizes the Governor to enter into an agreement for the state to be jointly liable (up to \$250 million) with the Organizing Committee for the Olympic Games (OCOG), a nonprofit corporation, for obligations of the OCOG and for any financial deficit relating to the games, and establishes the Olympic Games Trust Fund.

Substantive provisions of the bill are as follows:

- Authorizes the Governor to enter into a joinder agreement with the IOC and the IPC specifying that the state shall provide any or all of the state government funding, facilities, and other resources specified in the OCOG's bid to host the games;
- Provides that the agreements shall accept liability for obligations of the OCOG to a site selection organization, including obligations indemnifying the IOC and IPC against claims and liabilities to third parties arising out of or relating to the games;
- Provides that any liability for an amount in excess of \$250 million shall be the responsibility of the OCOG. Also, provides that the OCOG will be bound by a series of agreements with the site selection organization as set forth in the joinder agreement;
- Establishes within the State Treasury a special fund to be known as the "Olympic Games Trust Fund" funded by the state to be used for the sole purpose of fulfilling the obligations of the state under a games support contract;
- Provides that no additional state funds shall be deposited into the Olympic Games Trust Fund once the Director of Finance determines that the account has achieved a sufficient balance to provide adequate security to demonstrate the state's ability to fulfill its obligations to indemnify

# REPORT

and insure up to \$250 million of any net financial deficit and general liability resulting from the conduct of the games;

- Provides that if the City of Los Angeles is selected as the host city for the games, the Olympic Games Trust Fund shall be maintained until a determination by the Department of Finance is made that the state's obligations to indemnify and insure against any net financial deficit and general liability resulting from the conduct of the games are satisfied and concluded, at which time the trust fund shall be terminated. Also, stipulates that if the City of Los Angeles is not selected by the site selection organization as the host city for the games, the Olympic Games Trust Fund shall be immediately terminated and any monies therein shall immediately revert to the General Fund;
- Stipulates that all moneys deposited, transferred, or otherwise contained in the trust fund shall be, upon appropriation by the Legislature, used for the sole purpose of obtaining adequate security to demonstrate the state's ability to fulfill its obligations to indemnify and insure up to \$250 million of any net financial deficit and general liability resulting from the conduct of the games;
- Makes it explicit that the state, along with the City of Los Angeles, will be the payer of last resort with regard to any net financial deficit. Also, provides that any financial security provided by this bill may not be accessed until the security provided by the OCOG or by any other entity is exhausted and any insurance policies covering the state's liability are exhausted.

SB 1465 passed the Senate June 1, 2016 by 35-1 vote; and the Assembly Committee on Arts, Entertainment, Sports, Tourism, and Internet Media on June 21, 2016, by 7-0 vote. It is currently calendared for hearing on June 29, 2016 before the Assembly Appropriations Committee. The bill is supported by:

- City of Anaheim
- City of Bakersfield
- City of Fresno
- City of Long Beach
- City of Los Angeles
- City of Oakland
- City of Sacramento
- City of San Diego
- City and County of San Francisco
- City of San Jose
- City of Santa Ana
- Los Angeles Area Chamber of Commerce
- Los Angeles Olympic Games 2024
- Los Angeles/Orange County Building Trades Council
- State Building and Construction Trades Council of California

Presently there is no on-record opposition to the bill.

# REPORT

---

The LCMC at its June 21, 2016 meeting carefully considered this measure and forwarded a support recommendation to the Regional Council to ensure that Los Angeles meets IOC requirements for further consideration as the Host City as well as to demonstrate regional and state support to the IOC of Los Angeles' bid. Should Los Angeles host the 2024 Games it is very likely that additional state and federal funding resources may become available to help fund transportation infrastructure improvements necessary to host the Games, on objective consistent with SCAG's board adopted 2016 legislative priorities to support new funding sources for transportation infrastructure. LCMC recommends support.

**ATTACHMENT:**

None

**DATE:** July 7, 2016

**TO:** Regional Council (RC)  
Executive Administration Committee (EAC)  
Community, Economic and Human Development (CEHD) Committee  
Transportation Committee (TC)  
Energy and Environment Committee (EEC)

**FROM:** Hasan Ikhata, Executive Director, 213-236-1944, Ikhata@scag.ca.gov

**SUBJECT:** Housing Summit – October 11, 2016

**EXECUTIVE DIRECTOR'S APPROVAL:** 

---

**RECOMMENDED ACTION:**  
For Information Only – No Action Required.

**EXECUTIVE SUMMARY:**  
*SCAG, in partnership with 20 non-profit, private and public entities is planning to hold a Housing Summit on October 11, 2016 to connect attendees with resources and opportunities created by State legislation and local policies to build more housing, including affordable housing, as aligned with the goals of SCAG's Regional Transportation Plan/ Sustainable Communities Strategy (RTP/SCS). The goal of the Housing Summit is to address causes to California's housing crisis and offer solutions for more housing to be built. Based on the discussion from the Housing Summit Steering Committee and Executive Administration Committee Retreat, SCAG and its partners developed a draft Housing Policy Framework Proposal. The Proposal will serve as a blueprint for developing the Housing Summit program. Anticipated Summit participants include elected officials, planning directors/staff, city managers, developers, housing advocates, public health department directors, and transit planners.*

**STRATEGIC PLAN:**  
This item supports SCAG's Strategic Plan; Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective A: Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

**BACKGROUND:**  
SCAG, in partnership with 20 non-profit, private and public entities is planning to host a Housing Summit on October 11, 2016 in downtown Los Angeles. The Housing Summit will connect attendees with strategies, resources and opportunities created by State legislation and local policies to build more housing as aligned with the goals of SCAG's Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS). The goal of the Summit will clearly explain the causes of California's housing crisis and offer solutions to allow for more housing to be built.

To prepare for the Summit, a Steering Committee meeting was held at SCAG headquarters on May 26, 2016. Attendees for the Steering Committee included various partners and stakeholders who agreed to



# REPORT

participate in this event. The Steering Committee meeting included a discussion of the housing crisis in California and the agenda for the Housing Summit. A discussion of the Housing Summit also occurred at the Executive Administrative Committee (EAC) Retreat on June 9, 2016. Similar to the Steering Committee meeting, attendees of the EAC Retreat voiced many opinions regarding the Housing Summit.

Based on the discussion at Steering Committee meeting and the EAC retreat, SCAG and its partners developed a draft Housing Policy Discussion Framework Proposal. The Proposal provides four buckets that will serve as a blueprint to develop the Summit program. Currently, it is envisioned that Bucket No. 1 will present the current state of affairs with respect to housing, within a general session. Buckets No. 2 to 4 will provide solutions in separate sessions. The Proposal will also assist in the development of a publication that will accompany the Housing Summit. A summary of the four buckets are as follows:

## Bucket No. 1: Data Points

Present data showing the current condition of California's housing deficit, explain the cause of the deficit and show the consequences from the lack of adequate housing. Bucket No. 1 will present possible reasons for the housing crisis which may include but are not limited to, population growth outpacing housing supply, NIMBYism, lack of local fiscal incentives for housing projects, lack of dedicated funding for housing, lack of adequate infrastructure and an increase in environmental regulation. It will also present the consequences of lack of planned adequate housing which may include but are not limited to, adverse impacts on quality of life, lack of household's ability to accumulate wealth and a decrease in regional economic wellbeing and strained infrastructure.

## Bucket No. 2: Supply and Demand

Present demographic, employment and income trends on housing demand. It will also provide a snapshot of the cost of housing (i.e., materials, labor, technology, and infrastructure).

## Bucket No 3: Policy Consensus

Present policy based strategies that fosters housing development including affordable housing. Possible strategies include regulatory relief (CEQA exemption, local general plan and zoning modernizations, permit streamlining), preservation of existing affordable housing, State, Local and Regional Planning policies (SCS, TODs, TRDs, inclusionary zoning, etc.) and ways to secure federal, state and local housing funding.

## Bucket No. 4: Tools to get to "BUILD"

Present tools to assist in planning for affordable housing. Such tools may include utilizing specific plans (with certified Environmental Impact Reports) within Transit Priority Areas, Enhanced Infrastructure Financing District (EIFD), Community Revitalization and Investment Authorities (CRIAs), GreenTrip Credits and best practices on good design and management, all of which will allow decision-makers to say "YES" to housing.

Anticipated participants include elected officials, planning directors/planning staff, city managers, developers, housing advocates, public health department directors, and transit planners. To ensure sufficient

# REPORT

---

geographical representation for different challenges and solutions, SCAG is currently partnering with several agencies and stakeholders (See Attachment 1, Housing Summit Steering Committee Members). Partnership with these agencies can help secure keynote speakers and enhance marketing efforts to promote the event. SCAG has begun its marketing campaign for the conference and is reaching out to potential sponsors and partners concurrently.

**FISCAL IMPACT:**

Work associated with this item is included in the Fiscal Year 2016-2017 Overall Work Program (WBS Number 16-080.SCG00153.04: Regional Assessment).

**ATTACHMENTS:**

1. List of Housing Summit Steering Committee Partners
2. Housing Summit Invitation Flyer

**This Page Intentionally Left Blank**

### Housing Summit Steering Committee Members

City of Santa Ana	Michele Martinez	Regional Council Member/President
City of Duarte	Margaret Finlay	Regional Council Member/First Vice President
City of El Centro	Cheryl Viegas-Walker	Regional Council/Immediate Past President
City of Big Bear Lake	Bill Jahn	Community, Economic and Human Development Committee Chair
City of Eastvale	Clint Lorimore	Regional Council Member
City of Glendale	Vartan Gharpetian	Regional Council Member
City of San Buenaventura	Carl Morehouse	Regional Council Member
City of Santa Monica	Pam O'Connor	Regional Council Member
OCCOG/City of Mission Viejo	Wendy Bucknum	Community, Economic and Human Development Committee Member
BIA Southern California	Mark Knorringa	CEO
BizFed	Tracy Rafter	Founding CEO
California Association of Councils of Governments	Bill Higgins	Executive Director
California Department of Housing and Community Development	Lisa Bates	Deputy Director
California Forward	Susan Lovenburg	Director
Inland Empire Economic Partnership	Paul Granillo	President & CEO
Kennedy Commission	Cesar Covarrubias	Executive Director
Kosmont Companies	Larry Kosmont	President & CEO
LA n Sync	Ellah Ronen	Program Administrator
LA Thrives	Thomas Yee	Initiative Officer
Lewis Management Corp.	Randall Lewis	Executive Vice President
Move LA	Denny Zane	Executive Director
National CORE	Steve PonTell	President & CEO
Newhall Land and Farming Company	Greg McWilliams	President
Orange County Business Council	Lucy Dunn	President & CEO
Southern California Association of Non-Profit Housing	Alan Greenlee	Executive Director
Southern California Leadership Council	Kish Rajan/Richard Lambros	President/Managing Director
Urban Land Institute Los Angeles	Gail Goldberg	Executive Director

**This Page Intentionally Left Blank**

SAVE THE DATE

CALIFORNIA

# HOUSING

## Summit

### THE COST OF NOT HOUSING

**TUESDAY, OCTOBER 11, 2016**

8:00 a.m. - 3:00 p.m.

L.A. HOTEL  
333 S. Figueroa St.  
Los Angeles, CA 90071

[www.scag.ca.gov/housingsummit](http://www.scag.ca.gov/housingsummit)



CALIFORNIA

# HOUSING SUMMIT

There is a chronic shortage of housing throughout California. Major institutions, employers, and startups cite lack of housing options as a serious impediment to recruiting and retaining talent. The impact of housing affordability is a critical challenge to local, regional, and Statewide economies, particularly as people from all income groups are increasingly frustrated with the lack of affordable options to rent or buy and instead opt to develop their careers in more affordable areas. The California Housing Summit will focus on resources and opportunities created by State legislation and local policies to build more housing, including affordable housing, and will provide innovative tools to get to **YES** for housing development in local communities. The program will also include speakers on funding infrastructure to support housing and how to convey the health, economic, and accessibility benefits to communities.

Learn more at:

[www.scag.ca.gov/housingsummit](http://www.scag.ca.gov/housingsummit)

IN PARTNERSHIP WITH



Southern California



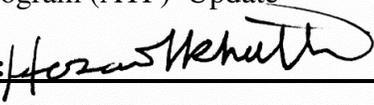
For more information, contact Ma'Avn Johnson (213) 236-1975 or [johnson@scag.ca.gov](mailto:johnson@scag.ca.gov).

**DATE:** July 7, 2016

**TO:** Regional Council (RC)  
Executive/Administration Committee (EAC)  
Community, Economic and Human Committee (CEHD)  
Energy and Environment Committee (EEC)  
Transportation Committee (TC)

**FROM:** Huasha Liu, Director, Land Use and Environmental Planning, 213-236-1838, liu@scag.ca.gov

**SUBJECT:** 2017 Active Transportation Program (ATP) Update

**EXECUTIVE DIRECTOR'S APPROVAL:** 

---

**RECOMMENDED ACTION:**

Receive and File.

**EXECUTIVE SUMMARY:**

*On June 2, 2016, the Regional Council adopted the 2017 Active Transportation Program (ATP) Regional Guidelines (Guidelines), which includes the policy, standards, criteria, and procedures for the development, adoption and management of 2017 Regional Program of the ATP. The Guidelines are expected to be approved by the California Transportation Commission (CTC) in August with minor administrative adjustments. This report provides information on the CTC requested adjustments, as well as the actions staff will be pursuing over the next few months to implement the Regional Program. Key work elements include the development of an Active Transportation Planning and Capacity Building Call for Proposals to supplement the application process that is administered by the CTC, as well as developing a funding strategy and program framework to coordinate the Call for Proposals with SCAG's Sustainability Program to leverage resources and expand program eligibility.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan Goal 2: Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities; Objective 1: Identify new infrastructure funding opportunities with State, Federal and private partners.

**BACKGROUND:**

The California Active Transportation Program (ATP) was created by Senate Bill 99 (Chapter 359, Statutes 2013) and Assembly Bill 101 (Chapter 354, Statutes 2013), to encourage increased use of active modes of transportation, such as biking and walking, as well as to ensure compliance with the federal transportation authorization Moving Ahead for Progress in the 21st Century (MAP-21). The CTC initiated the 2017 ATP in March 2016 with the release of the statewide 2017 Active Transportation Program Guidelines and intends to adopt the funding recommendations for the program by April 1, 2017. Approximately \$240m is available statewide to be programmed through the 2017 ATP. An estimated \$50m will be allocated to the SCAG region for the MPO component of the 2017 ATP, referred to as SCAG's Regional Program.

## ATP Regional Guidelines

On June 2, 2016, the Regional Council (RC) adopted the 2017 Active Transportation Program (ATP) Regional Guidelines (Guidelines), which includes the policy, standards, criteria, and procedures for the development, adoption and management of 2017 Regional Program of the ATP. The Guidelines included a request for the CTC to increase the amount of funding available for planning projects from 2% to 5% of the overall program, and to expand eligibility for these funds to all communities, not just those considered disadvantaged per by the statewide ATP Guidelines. The regional guidelines also recognized the CTC's authority to deny SCAG's request and included contingency language that would limit awards of planning funds to the amount and eligibility requirements dictated by the statewide ATP Guidelines, if necessary. CTC staff has determined that the contingency language must be used in order for the Regional Guidelines to be approved by the Commission, as they do not believe the requested modifications are within the scope of the changes that MPOs are authorized to make under state law. The attached Regional Guidelines reflect the administrative changes requested by the California Transportation Commission staff to clarify that the contingency language will be used to guide project selection, limiting the programming of funds for planning to a maximum of 2% for planning in disadvantaged communities only. Staff will submit the amended Guidelines to the CTC for approval at the August CTC meeting.

## Active Transportation Planning & Capacity Building Call for Projects

The 2017 ATP Regional Program is the first funding cycle SCAG has exercised its option to implement a supplemental call for projects. Per the Regional Guidelines, the SCAG Call for Projects will provide an alternative means for new project sponsors to apply for the funds to be awarded through the Planning & Capacity Building portion of the Regional ATP. A total of \$2.5 million is expected to be awarded under the Planning and Capacity Building portion of the program, the remaining \$47.5 million will be awarded under the Implementation Projects portion of the program, which focuses funding awards for infrastructure projects. A CTC issued Call for Proposals that closed on June 15 served as the sole means for applying for the Implementation Project funds, as further described in the attached Regional Guidelines.

The Active Transportation Planning & Capacity Building Call for Projects will simplify the ATP application process for smaller projects and new applicants and is intended to provide a vehicle to leverage additional regional funds for active transportation planning and local capacity building through coordination with the Sustainability Program, as described below.

The tentative schedule for developing the application and issuing the Call for Proposals is outlined below. Greater details on eligibility, selection criteria and the evaluation process can be found in the attached Regional Guidelines.

- July-August 2016 Planning & Capacity Building Application Development and Stakeholder Engagement
- September 1, 2016 Issue Planning & Capacity Building Call for Projects.
- November 11, 2016 Applications Due
- November 14, 2016 Project Review and Scoring in concert with review of proposals submitted through the Statewide ATP Call for Projects (See Guidelines)
- December 14, 2016 Staff recommended Project Scores/Draft Regional Program
- January 27, 2017 County Transportation Commission Regional Program Approvals completed
- February 2, 2017 Regional Council Approval of 2017 Regional Program

# REPORT

- February 6, 2017 Submittal of 2017 Regional Program to CTC
- March 2017 CTC adoption of 2017 Regional Program

## Sustainability Program Coordination

Since 2005, SCAG's Sustainability Planning Grant Program has provided resources and direct technical assistance to member jurisdictions to complete important local planning efforts and enable implementation of the RTP/SCS. The Program is structured with three categories:

- Active Transportation – Examples includes bicycle, pedestrian and safe routes to school plans
- Green Region – Examples include natural resource plans, climate action plans (CAPs) and greenhouse gas (GHG) reduction programs
- Integrated Land Use – Examples include sustainable land use planning, Transit Oriented Development (TOD) and land use & transportation integration

The Sustainability Planning Grant Program illustrates the value that effective growth planning can bring to jurisdictions and to the region as a whole. The most recent call for projects was issued in 2013, and a total seventy (70) important planning projects were funded throughout the region over the past three years. In preparing for a 2016 Sustainability Planning Grant Program call for projects, staff is refining the Program's scope, structure, framework and guidelines to promote implementation of the goals, objectives and strategies of the recently adopted 2016 RTP/SCS, and to facilitate the development concepts that contribute to a shared vision for the region.

Staff is recommending the Active Transportation Planning and Capacity Building Call for Projects, discussed above, that is being issued to supplement the ATP Regional Program selection process, also serve as the vehicle for selecting projects to be awarded funds dedicated to active transportation in the 2016 Sustainability Planning Grant Program. The Sustainability Planning Grant Program resources would increase the number and types of planning and capacity building projects to be awarded through the Active Transportation Planning and Capacity Building Call of Projects, including providing some resources to fund plans outside of disadvantaged communities and to support project and corridor-based plans. Project-level plans that are not ATP-eligible but are needed to support local competitiveness for future ATP funding cycles.

## Next Steps

Staff will provide a presentation to the Policy Committees and Regional Council in September on the release of the Active Transportation Planning and Capacity Building Call for Projects, as well as, the guidelines, application, schedule and funding strategy for the broader 2016 Sustainability Planning Grant Program.

## **FISCAL IMPACT:**

Funding is included in SCAG's FY 2015-16 Overall Work Program (OWP) Budget in 050-SCG00169.06.

## **ATTACHMENT:**

Amended 2017 Active Transportation Program (ATP) Regional Guidelines

# 2017 Active Transportation Program

## Southern California Association of Governments Regional Guidelines

### Purpose

The intent of this document is to successfully implement the active transportation related programs and funding components of the Moving Ahead for Progress in the 21<sup>st</sup> Century Act (MAP-21) and California Senate Bill 99 (SB 99). The following 2017 Active Transportation Program Regional Guidelines (Regional Guidelines) outline the roles, responsibilities and processes for selecting projects to receive funding from the SCAG region's dedicated share of the 2017 California Active Transportation Program (ATP). The SCAG region's annual share is approximately \$25 million, which includes 100% of SCAG's federal Transportation Alternative Program apportionments (approximately \$14 million) plus approximately \$11 million/year from other federal and state funding programs that were consolidated by SB 99 into the ATP. These Guidelines relate to the 2017 California Active Transportation Program only, which includes two years of funding in Fiscal Years 2019/20 and 2020/21. The Regional Guidelines may be revisited and modified for future rounds of funding.

### Background

- The goals of the ATP program are to:
  - Increase the proportion of trips accomplished by biking and walking.
  - Increase the safety and mobility of non-motorized users.
  - Advance the active transportation efforts of regional agencies to achieve greenhouse gas reductions goals as established pursuant to SB 375.
  - Enhance public health, including reduction of childhood obesity through the use of programs including, but not limited to, projects eligible for Safe Routes to School Program funding.
  - Ensure that disadvantaged communities fully share in the benefits of the program.
  - Provide a broad spectrum of projects to benefit many types of active transportation users.
- The 2017 Active Transportation Program Statewide Guidelines, adopted by the California Transportation Commission on March 26, 2016 describe the policy, standards, criteria and procedures for the development, adoption and management of ATP.
- Per the requirements of SB 99 and Map-21, 40% of the funds for the ATP program must be distributed by Metropolitan Planning Organizations (MPO) in urban areas with populations greater than 200,000, with funds distributed to each MPO based on total MPO population.
- The funds distributed by the MPOs must be programmed and allocated to projects selected through a competitive process in accordance with the ATP Statewide Guidelines.
- The ATP Statewide Guidelines establish four eligible project types:
  - Infrastructure Projects: Capital improvements that will further the goals of this program. This typically includes the environmental, design, right-of-way, and

## 2017 Active Transportation Program

### Southern California Association of Governments Regional Guidelines

construction phases of a capital (facilities) project. A new infrastructure project will not be programmed without a complete project study report (PSR) or PSR equivalent. The application will be considered a PSR equivalent if it defines and justifies the project scope, cost and schedule. Though the PSR or equivalent may focus on the project components proposed for programming, it must provide at least a preliminary estimate of costs for all components. PSR guidelines are posted on the Commission's website: <http://www.catc.ca.gov/programs/ATP.htm>

*A capital improvement that is required as a condition for private development approval or permits is not eligible for funding from the Active Transportation Program.*

- Plans: The development of a community wide bicycle, pedestrian, safe routes to school, or active transportation plan in a disadvantaged community.
- Non-infrastructure Projects: Education, encouragement, and enforcement activities that further the goals of this program. The Commission intends to focus funding for non-infrastructure on start-up projects. A project is considered to be a start-up when no program currently exists. Start-up projects must demonstrate how the program is sustainable after ATP funding is exhausted. ATP funds cannot fund ongoing program operations. Non-infrastructure projects are not limited to those benefiting school students. Program expansions or new components of existing programs are eligible for ATP funds as long as the applicant can demonstrate that the existing program will be continued with non-ATP funds.
- Infrastructure projects with non-infrastructure components.
- Per SB 99 and the ATP Statewide Guidelines, the following requirements apply specifically to SCAG:
  - SCAG must consult with the county transportation commission, the California Transportation Commission (CTC), and the State Department of Transportation (Caltrans) in the development of the competitive project selection criteria. The criteria should include consideration of geographic equity, consistent with program objectives;
  - SCAG must place priority on projects that are consistent with plans adopted by local and regional governments within the county where the project is located; and
  - SCAG must obtain concurrence from the county transportation commissions.
- A MPO choosing to use the same project selection criteria and weighting, minimum project size, match requirement, and definition of disadvantaged communities as used by the CTC for the statewide competition may defer its project selection to the CTC.
- 25% of the regional funds must benefit disadvantaged communities.
- The ATP Statewide Guidelines allow for a large MPO to make up to 2% of its funding available for active transportation plans in disadvantaged communities; SB 99 does not

## 2017 Active Transportation Program

### Southern California Association of Governments Regional Guidelines

impose a funding cap on planning nor does it limit the development of active transportation plans to disadvantaged communities.

- Pending legislation, including AB 2796, could impact the statewide guidelines by increasing funding thresholds for planning projects to 5% and establishing a 10% funding set-aside for non-infrastructure projects. If the legislation is approved, the proposed Regional Guidelines will be revised to meet all legislative requirements.

#### Regional Program Project Selection

The Regional Program will be segmented into two categories. These categories include: 1. Implementation Projects and 2. Planning & Capacity Building Projects.

*Implementation Projects* may include Infrastructure, Non-Infrastructure, and Infrastructure projects with non-infrastructure components, as defined by the statewide ATP Guidelines and included in the Background (above). No less than 95% of the total regional funds will be dedicated to funding Implementation Projects.

*Planning & Capacity Building Projects* may include the development of Non-Infrastructure projects and Plans, as defined by the statewide ATP Guidelines and included in the Background (above). No more than 5% of the total regional funds will be allocated in this category with a maximum of 2% being dedicated to planning projects. **Error! Bookmark not defined.** In the event that the funding requested in this category is below the 5% threshold, and/or in consideration of geographic equity, the funding surplus will be directed accordingly to Implementation Projects.

#### Implementation Projects Category

In this category, SCAG intends to build upon the CTC statewide application, scoring and ranking process and forgo its option to issue a supplemental application and call for proposals. This means that an evaluation committee will not be required at the county or regional level within the SCAG region to separately score Implementation Projects. The selection process will occur as follows:

- Prior to scoring by the CTC, SCAG will provide each county with the Implementation Project applications submitted through the statewide call for proposals.
- The county transportation commissions will review the Implementation Project applications and determine which projects “are consistent with plans adopted by local and regional governments within the county” per the requirements of SB 99. If a project is consistent, the county may assign up to 10 points to each project.

## 2017 Active Transportation Program

### Southern California Association of Governments Regional Guidelines

- If a county transportation commission assigns additional points (up to 10, as noted above) to a project for which they are the lead applicant, an explanation must be provided to SCAG on how the scoring process resulted in an unbiased evaluation of the project.
- The Board of each respective county transportation commission will approve the scoring methodology/guidelines and point assignments, and submit the scores to SCAG for inclusion in the preliminary ranking of regional projects.
- SCAG will establish a preliminary regional Implementation Projects list based on the county's submissions that will program no less than 95% of the total regional funds and rely on population-based funding targets to achieve geographic equity.

#### Planning & Capacity Building Projects Category

In this category, SCAG intends to build upon the CTC application, scoring and ranking process for the selection of planning and non-infrastructure projects. To reduce administrative burden and ensure disadvantaged communities can effectively participate in the process, SCAG will provide the option for “new” project sponsors seeking awards of less than \$200,000 to apply through a supplemental call for projects. This supplemental application option will only be available to project sponsors that have not received an ATP award in previous funding cycles.

- Application Process:
  - All eligible applicants are encouraged to first submit proposals for planning and non-infrastructure projects to the CTC to be considered for funding in the statewide funding program. Projects seeking more than \$200,000 or project sponsors that have previously been awarded ATP grants are required to submit a proposal through the CTC application process to be eligible for funding awards in the Regional Program. Projects submitted but not funded through the statewide process, will be considered for funding in the Regional Program. SCAG intends to use the scores provided by the statewide review process to rank and select projects, alongside projects submitted through the supplemental call as described below.
  - A supplemental call for projects and application process will be available to “new” project sponsors for projects seeking funding requests of less than \$200,000. To qualify as “new”, a project sponsor must not have received funds in a previous ATP funding cycle. There will be no minimum project size.
    - Proposals received through the supplemental call will be scored using the same project selection criteria and weighting, match requirement, and definition of disadvantaged communities as used by the CTC in the statewide selection process.

## **2017 Active Transportation Program**

### **Southern California Association of Governments Regional Guidelines**

- SCAG in consultation with the counties and a multi-disciplinary working group will develop supplemental call for project applications to score the proposals that are submitted through the supplemental call.
  - County-specific evaluation committees comprised of county transportation commission and SCAG staff will be assembled to score the projects submitted in each county through the supplemental call.
  - Project sponsors that have submitted projects in the statewide competition, but were unsuccessful, may also choose to complete a supplemental application, if desired. If a supplemental application is not provided, SCAG will rely on the scores provided by the CTC through the statewide review process to rank and select projects, alongside projects submitted through the supplemental call. The \$200,000 cap will not be applied to projects that first submitted an application through the statewide call for projects.
- To establish a preliminary Planning & Capacity Building project list, project proposals will be ranked by county and prioritized by score and in consideration of the following principles:
    - The total funding recommended in this category will not exceed 5% of the total Regional Program. Planning projects funding shall not exceed 2% of the total Regional Program.
    - Each county shall receive its population based share of funds available in this category.

#### **Recommended Regional Program of Projects**

SCAG shall create a draft Regional Program List that incorporates the preliminary project lists from the Implementation and Planning & Capacity Building project categories.

SCAG will analyze the draft Regional Program list to ensure it meets the disadvantaged communities' requirements by allocating at least 25% to disadvantaged communities' projects (as defined by the state guidelines).

If the total is less than 25%, SCAG will modify the preliminary regional project list to ensure the 25% mark is achieved, as follows:

- Across all counties, the highest scored disadvantaged communities' project that is below the funding mark will be added to the regional project list. This project will displace the lowest scoring project that is above the funding mark and does not benefit a disadvantaged community, regardless of the county.

## **2017 Active Transportation Program**

### **Southern California Association of Governments Regional Guidelines**

- This process will be repeated until the 25% target is met.
- This process may lead to an outcome where a county receives less than its population-based share of the funding, but is necessary to ensure the disadvantaged communities' requirements for the regional program are met.

The final recommended Regional Program of Projects will be reviewed by the CEOs of the county commissions, Caltrans and CTC staff to make any final adjustments and achieve consensus prior to submitting the Regional Program recommendations to SCAG's Regional Council and the Boards or Chief Executive Officers of the county transportation commissions for approval and submission to the CTC.

Technical Adjustments: The SCAG CEO, the CEO of each County Transportation Commission, and their designees may make technical changes to the program as needed to ensure the timely delivery of the regionally-selected projects.

#### **Schedule**

- July-August 2016 Planning & Capacity Building Application Development and Stakeholder Engagement
- September 1, 2016 Issue Planning & Capacity Building Call for Projects.
- October 28, 2016 Project Applications Due
- October 28, 2016- November 11, 2016 Application Review and Scoring in concert with review of applications submitted through the Statewide ATP Call for Projects (See Guidelines)
- November 11, 2016 Staff recommended Application Scores/Draft Regional Program
- December-January 27 2017—County Transportation Commission Regional Program Approvals
- February 2, 2017 Regional Council Approval of 2017 Regional Program
- February 6, 2017 Submit Regional Program to CTC
- March 2017 CTC adopts Regional Program

**This Page Intentionally Left Blank**

**DATE:** July 7, 2016

**TO:** Regional Council (RC)  
Community, Economic and Human Development (CEHD) Committee  
Energy and Environment Committee (EEC)  
Transportation Committee (TC)

**FROM:** Huasha Liu, Director, Land Use and Environmental Planning, 213-236-1838,  
liu@scag.ca.gov

**SUBJECT:** Highlights from the 27<sup>th</sup> Annual SCAG/USC Demographic Workshop - June 13, 2016

**EXECUTIVE DIRECTOR'S APPROVAL:** 

---

**RECOMMENDED ACTION:**

For Information Only – No Action Required.

**EXECUTIVE SUMMARY:**

*SCAG staff will provide highlights from the 27<sup>th</sup> Annual Demographic Workshop, which was jointly held with the University of Southern California (USC) Sol Price School of Public Policy, on June 13, 2016 at the California Science Center.*

**STRATEGIC PLAN:**

This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies, and Objective (a): Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

**BACKGROUND:**

SCAG and USC Sol Price School of Public Policy jointly hosted the 27<sup>th</sup> Annual Demographic workshop at California Science Center on June 13, 2016. This year's workshop program was developed under the main theme, "*The Continued Rise of the Millennials?*" The workshop provided new insights and research on this important demographic group and what that means for the region's future, including housing, employment and services. 180 demographers, policy makers, business leaders, and professionals from California registered for the workshop. The PPT and videotaped presentations are posted on the SCAG website (<http://www.scag.ca.gov/calendar/Pages/DemographicWorkshop.aspx>). The following is a summary of five key sessions.

**The Road to 2020**

Mr. James T. Christy started with a brief overview of the 2010 census including the contribution of 2010 census, the overview of census official form and the input for contacting addresses that didn't respond. His presentation listed four innovation areas for the 2020 Census: better address validation, better response options, better use of existing information, and better field operations. In order to understand the specific measures to re-engineering the census, Los Angeles County was selected as an example. According to the preliminary findings, the change in the response options and the improvement in the language setting for census test both contributed to more efficient process.

## **Growth and Diversity of Millennials: Is Decline Coming?**

This session discussed two major questions about the future urban growth of Millennials and their impact on housing needs. The first question was about urban preferences of Millennials. Prof. Dowell Myers found that the younger generation had stronger preferences for urban living, but their urban presence will not last when they grow older due to changing impacts of three reinforcing cycles that generated millennial impacts, including the birth cycle, the employment or business cycle and the housing lifecycle. The second question was about the rental crisis. Prof. Myers found that Millennials slowed down, backed up into parents' homes, and bottled up in singles areas, but Millennials over age 25 or 30 were breaking out and looking for better housing where they could find it. This may result in gentrification of housing close to singles districts.

## **The Critical Importance of Millennials and Housing:**

This panel discussed the housing behavior of baby boomers and Millennials. Most baby boomers preferred to stay in their current houses, while the majority of Millennials want to buy their own houses but they still face lots of challenges. With an acknowledgement of the increasing housing needs of the Millennials, the panel discussed the barriers to improving their housing conditions. The outdated regulations and unstable and declining funding sources may be the challenges. The panel discussed that more housing was proposed as the key solution for economic competitiveness, equity and quality of life, and at the same time, with the increasing supply, the displacement would also decrease. The panel agrees that the framework of connecting different segments is important. Millennials and seniors are connected, low income and middle/high income residents are connected, and in general, housing is connected to economic competitiveness, transportation and environmental progress.

## **Urban Revitalization and Gentrification:**

This panel began with a presentation of different perspectives on gentrification and the historical cycle of neighborhood transition in Northeast Los Angeles. Eagle Rock and Highland Park were selected to show the different growth dynamics experienced during revitalization stages from 1970 to 2016. The second presentation discussed the importance of gentrification in planning for housing and how it impacted housing and planning. The third presentation focused on the ongoing housing crisis and the current housing situation in Los Angeles. A few examples included the baseline mansions, accessory dwelling units, small lot subdivision, multi-family redevelopment, the LA River development and transit oriented development. It suggested to produce more market-rate and affordable house in order to solve the displacement issues of the city, and presented flexible tools for diverse neighborhoods and new code approach.

## **Forecast LA 2016:**

Professor Fernando Guerra at Loyola Marymount University made a keynote speech on the findings from the third annual LA public opinion survey of 2016. Using interviews with 2,425 LA county residents from January 4th to February 13th, 2016, the 2016 survey results were presented and compared with the survey results of 2014 and 2015. The 2016 public opinion survey shows that Los Angeles County residents are generally optimistic about the future of Los Angeles. 65 percent of LA County

# REPORT

---

residents said the region was headed in the right direction, 74 percent said their city was headed in the right direction, and 75 percent said their neighborhood was headed in the right direction. However, last year, all of these numbers were higher: 69 percent, 75 percent, and 80 percent, respectively. When compared with other generations, Millennials tend to show more positive attitudes about the expectations on the direction of the regional outlook, regional economy, housing affordability of the city, housing prices, financial situation, and race relations.

**FISCAL IMPACT:**

Work associated with this item is included in the current FY 2015-16 Budget under 800-0160.04.

**ATTACHMENT:**

Highlights from the 27th Annual SCAG/USC Demographic Workshop, June 13, 2016.

**This Page Intentionally Left Blank**

# Highlights from the 27th Annual SCAG-USC Demographic Workshop (June 13, 2016): CONTINUED RISE OF THE MILLENNIALS

Simon Choi, Chief of Research and Forecasting  
Frank Wen, Manager of Research and Analysis

CEHD Committee  
July 7, 2016



## AGENDA

**27TH ANNUAL DEMOGRAPHIC WORKSHOP  
THE CONTINUED RISE OF THE MILLENNIALS?  
JUNE 13, 2016**

**7:30 AM Registration/Continental Breakfast**

**8:00 AM Welcome/Introductions**  
**Jack Koval**, Dean, USC SoPace School of Public Policy  
**Wes Michal**, Member, Council Member, City of San Marcos, President, Southern California Association of Governments  
 Introduced by  
**Heaven Menden**, Executive Director, Southern California Association of Governments  
**James T. Orling**, Regional Director, Los Angeles Regional Office, U.S. Census Bureau

**8:15 AM 2020 Census: Open Digital: Early Findings from the LA Experiment**  
**James T. Orling**, Regional Director, Los Angeles Regional Office, U.S. Census Bureau

**8:45 AM Growth and Diversity of Millennials: Is Decline Coming?**  
**David Warren**, Professor and Director of the Population Dynamics Research Group, USC SoPace School of Public Policy

**9:25 AM Panel 1: Critical Importance of Millennials and Housing**  
**David Warren** (Moderator), Professor and Director of the Population Dynamics Research Group, USC SoPace School of Public Policy  
**Leila Kaplan-Frang**, Vice President and Chief Economic, California Association of Realtors  
**Shel Canino**, Assistant Deputy Director, California Department of Housing and Community Development  
**Walter Long**, Director, Center for the Continuing Study of the California Economy  
**Russell Lewis**, Executive Vice President and a Principal, Lewis Operating Corp.

**10:40 AM Break**

**10:50 AM Panel 2: Urban Revitalization and Gentrification**  
**Marcus Johnson** (Moderator), Associate Editor, Curbed LA  
**Liz Fabian**, Associate Professor, USC SoPace School of Public Policy  
**Matthew Shinn**, City Planner, City of Los Angeles  
**Jackie**, Professor of Sociology, Buckner College

**12:00 PM Working Lunch**

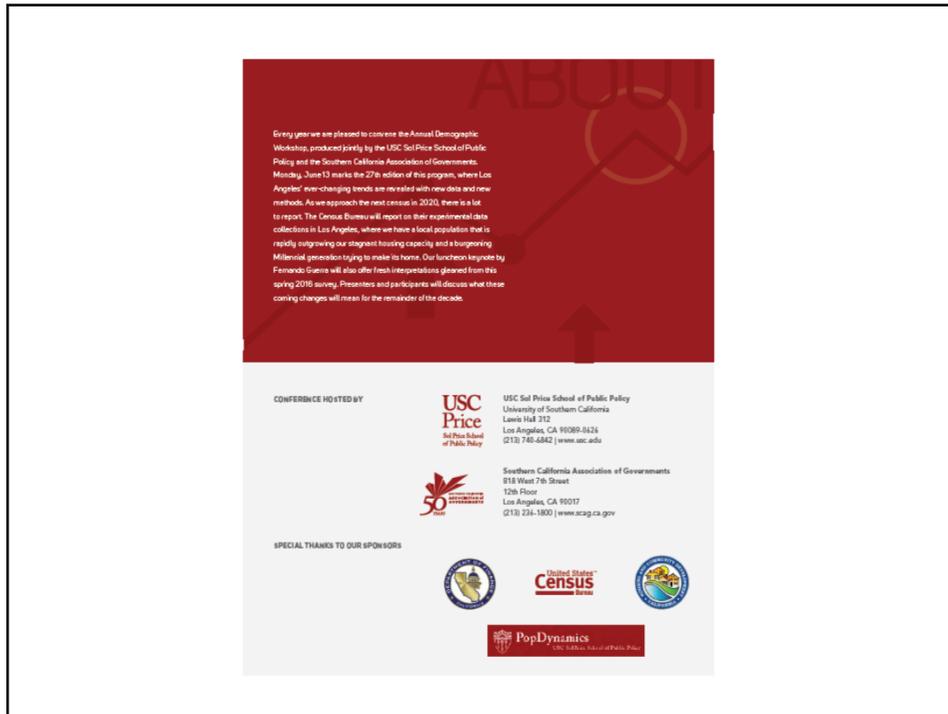
**12:15 PM Luncheon Keynote Speech**  
**Fernando Savera**, Professor of Political Science and Director of Lowrey Center for the Study of Los Angeles, Loyola Marymount University

**1:15 PM Meetings**  
**Ellen Wenzel**, Demographer, State Census Data Center, California Department of Finance

**1:25 PM Afternoon Roundtables**  
 Table 1—Envisioning America's Future (Gang Wang)  
 Table 2—Smart Growth: Population Estimates (Premy Rajagopal)  
 Table 3—Population Projections (Shan Wang)  
 Table 4—Millennials & Labor Market Outlook (Walter Long, James & Mary Elizabeth Probst)  
 Table 5—SCAG RIVISDS—Performance Monitoring (Tom Urban, Melissa, Ping Chang & Michael Sato)

**2:35 PM Takeaways of Roundtables, Questions & Answers**

**3:00 PM Concluding Remarks**



## Highlights

- SCAG and USC Sol Price School of Public Policy jointly hosted the 27<sup>th</sup> Annual Demographic workshop at California Science Center on June 13, 2016. This year's workshop program was developed under the main theme, *"The Continued Rise of the Millennials?"*
- The Census Bureau reported on their experimental data collections for 2020 Census in Los Angeles. The workshop provided new insights and research findings on the rapidly rising Millennial generation and what that means for the region's future housing and gentrification. Our luncheon keynote by Fernando Guerra offered fresh interpretations gleaned from this spring 2016 survey. Presenters and participants discussed what these coming changes mean for the region's future.
- 180 demographers, policy makers, business leaders, and professionals from California registered for the workshop.
- The PPT and videotaped presentations are posted on the SCAG website (<http://www.scag.ca.gov/calendar/Pages/DemographicWorkshop.aspx>).

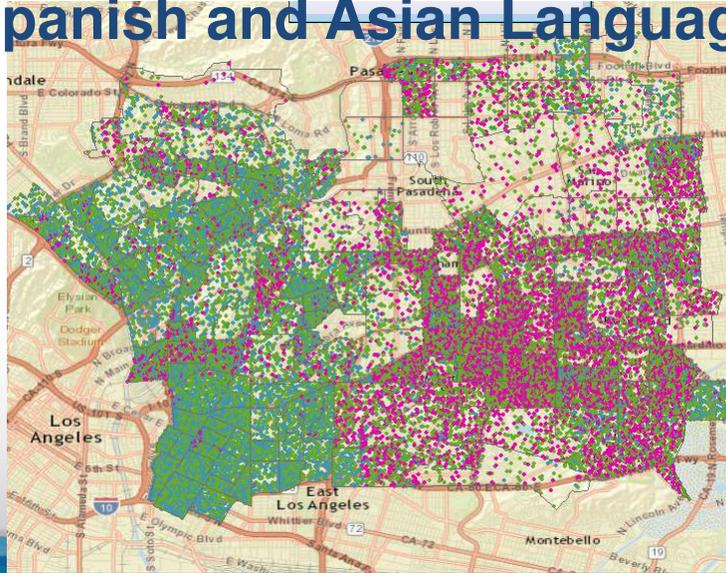
# The Road to 2020

Jamey Christy  
Regional Director  
US Census Bureau  
Los Angeles Region

## Better Response Options

- People do a better job of counting themselves than we do
- Goal is to make it as easy to respond as possible
- Incorporating heavy use of web and mobile response options
- Expanded telephone response options
- Paper and personal visits

# Spanish and Asian Language



United States  
**Census**  
Bureau

U.S. Department of Commerce  
Economics and Statistics Administration  
U.S. CENSUS BUREAU  
census.gov

---The 2020 Census

## *Peak Millennials and the Rental Crisis*

Dowell Myers  
**USC**Price

Sol Price School of Public Policy

## New Urban Preferences

The younger generation has stronger preferences for urban living,  
but will it last when they grow older?

Yes there is some **survey evidence**....

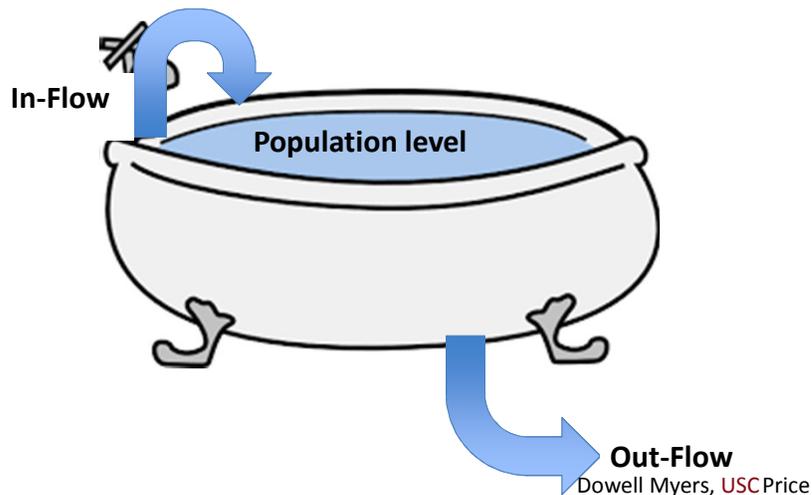
But mainly we see “preference” based on **urban presence** and how their numbers are growing.

How strong was the contextual effect  
of the Great Recession?

Supposed preferences might be driven by limited  
opportunities, but those are now improving....

Dowell Myers, USCPrice

## How Does the Number of Millennials Grow in Cities?



Inflow = Number of Eligible Candidates  
X Preference X Ability

Outflow = Number of Eligible Candidates  
X Preference X Ability

“Ability” represents the access to resources  
and opportunities.

“Preference” is desire, not just revealed location.

All of these components are changing, but  
preferences are least understood and so are not a  
solid basis for judging future outcomes.

Dowell Myers, USCPrice

## Three Reinforcing Cycles that Generate Millennial Impacts

Rise and fall of **births** 25 years earlier

Rise and fall of **employment** growth, 1990  
to (projected) 2022

Progress through **the housing lifecycle** is  
blocked but then resumed (we expect)

Dowell Myers, USCPrice

## Net Result for Millennials

- Slowed down, backed up into parents' homes, and bottled up in singles areas
- But Millennials over age 25 or 30 are breaking out and looking for better housing where they can find it
- That includes gentrifying housing close to singles districts

Dowell Myers, USCPrice

# OVER-VIEW OF CALIFORNIA HOME BUYING TRENDS

---

June 13, 2016

USC – SCAG 27<sup>th</sup> Annual Demographic Workshop

Leslie Appleton-Young, C.A.R. Chief Economist

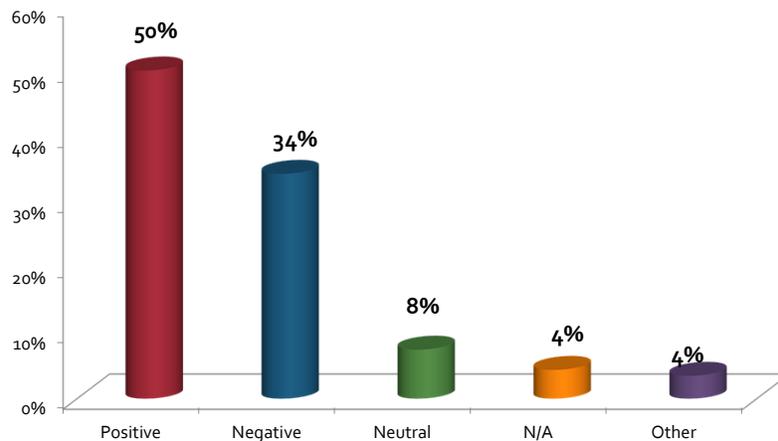
## BOOMERS AREN'T GOING ANYWHERE

Affordability challenge for repeat buyers

- Low rate on current mortgage
- Low property taxes
- Capital gains hit is viewed as onerous
- Could not qualify for a mortgage today
- Why sell when there is nowhere to go I can afford?

---Over-view of California Home Buying Trends

## ATTITUDE TOWARD THE HOME BUYING PROCESS – MIXED RESULTS

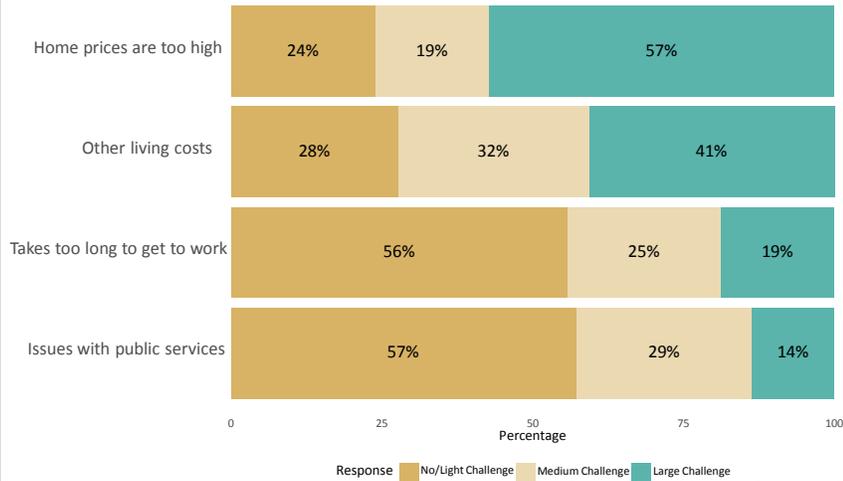


**SOURCE:** How would you describe your attitude towards the home buying process?  
C.A.R. 2014 Millennial Survey ---Over-view of California Home Buying Trends

## HOUSING CHALLENGES FACING YOU

What are the biggest housing challenges that you face today?

Rate from No/Light Challenge to Large / Constant Challenge  
n: 1319



---Over-view of California Home Buying Trends

## California Department of Housing and Community Development

### Draft 2025 Statewide Housing Plan Overview of Draft Findings

USC/SCAG 27th Annual Demographic Workshop

*“The Continued Rise of the Millennials?”*

Glen Campora, Assistant Deputy Director, Housing Policy Division  
[Glen.Campora@hcd.ca.gov](mailto:Glen.Campora@hcd.ca.gov) (916.263.7427)

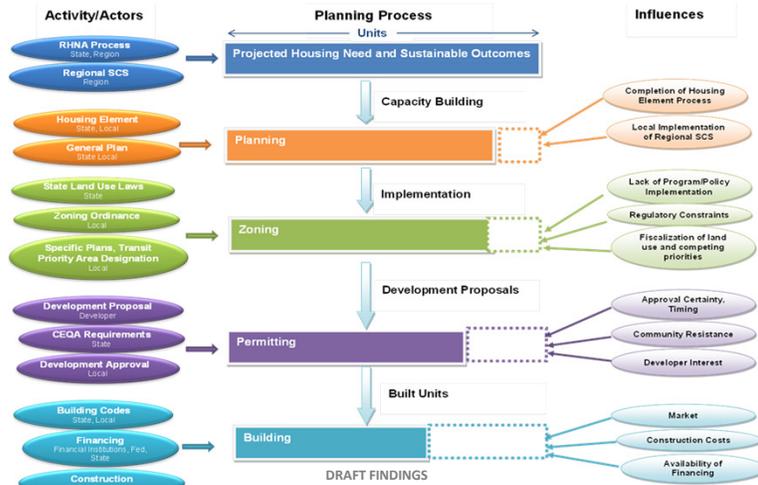
Megan Kirkeby, Policy Research Specialist, Housing Policy Division  
[Megan.Kirkeby@hcd.ca.gov](mailto:Megan.Kirkeby@hcd.ca.gov) (916.263.7428)





# California's Residential Planning and Development Process

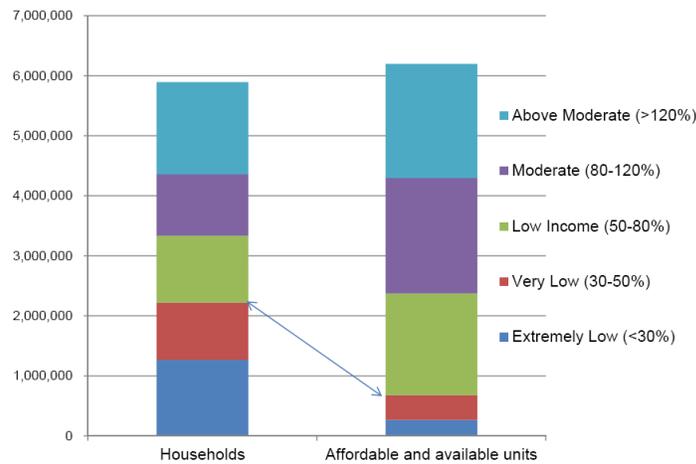
Figure 1: Constraints Create a Large Gap Between Planned Capacity and Built Units



---Draft 2025 Statewide Housing Plan Overview of Draft Findings



# Households in Greatest Need Outnumber (2:1) Affordable and Available Rentals



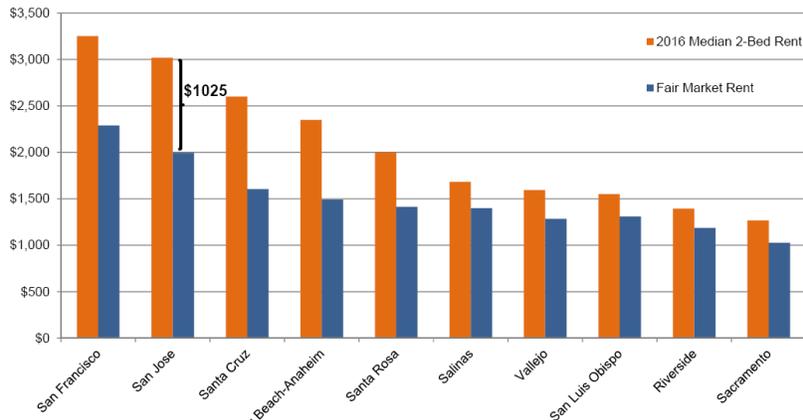
Source: 2016 National Low Income Housing Coalition tabulations of 2014 American Community Survey Public Use Microdata Sample (PUMS) housing file, Graphic created by HCD.

DRAFT FINDINGS

---Draft 2025 Statewide Housing Plan Overview of Draft Findings



## Section 8 Housing Assistance Not Enough to Afford Median Rent



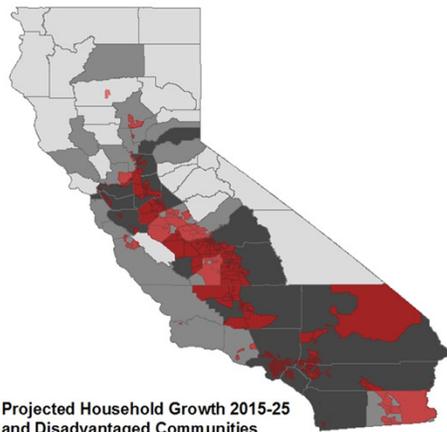
Sources: 2016 Fair Market Rents – HUD, 2016 2-Bedroom Median Rents - Zillow

DRAFT FINDINGS

---Draft 2025 Statewide Housing Plan Overview of Draft Findings <sup>21</sup>



## Projected Household Growth is High in Counties with Disadvantaged Communities



Projected Household Growth 2015-25  
and Disadvantaged Communities

- Disadvantaged Communities (Top 25%)
- -42 to +4,000 Households
- +4,001 to 20,000 Households
- +20,000 to 179,219 Households

Sources: State of California, Department of Finance P-4; State and County Projected Households, Household Population, Group Quarters, and Persons per Household 2010-2030—Based on Baseline 2013 Population Projection Series, 3/10/2015, CalEnviroScreen 2.0, Disadvantaged Communities, Graphic by HCD

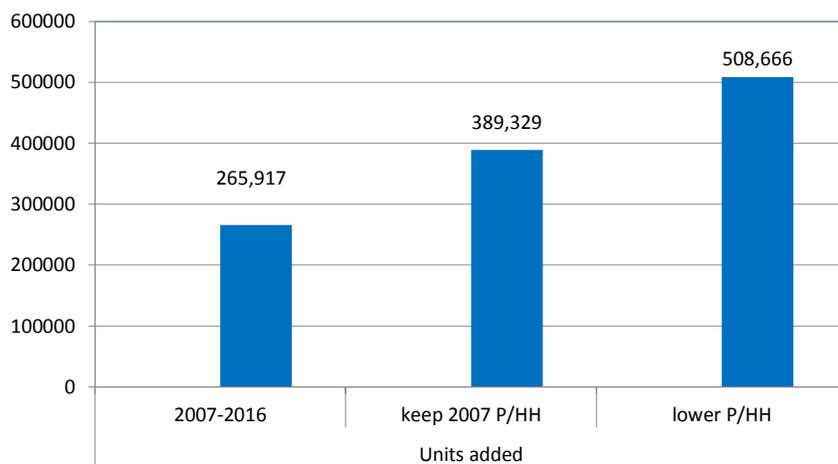
DRAFT FINDINGS

---Draft 2025 Statewide Housing Plan Overview of Draft Findings <sup>22</sup>

# Millennials, Housing, the Economy and Equity

Stephen Levy, CCSCE  
USC/SCAG Demographic Workshop  
June 13, 2016

## Measures of A Regional Housing Shortage 2007-2016



---Millennials, Housing, the Economy and Equity

## If You Remember One Phrase from this Presentation

- “More housing is an imperative for economic competitiveness, equity and quality of life”
- If workers can’t find housing, companies will shy away from investing here
- If the shortage fosters economic segregation, that is a blow to equity, a sense that our fate is connected and will cause more travel, congestion and pollution

---Millennials, Housing, the Economy and Equity

## If You Remember One Word about Housing Markets

- Remember ‘CONNECTED’
- It’s true AND it is the only way housing politics can work
- Millennials and seniors are connected, low income and middle/high income residents are connected, housing is connected to economic competitiveness and (in the right location) to transportation and environmental progress
- OVERCOME SILO THINKING AND ACTION

---Millennials, Housing, the Economy and Equity

# USC/SCAG Demographic Workshop - June 2016

Urban Revitalization and  
Gentrification panel  
Jan Lin, Occidental College

## Outline

- Gentrification in comparative and historical context
- Ethnographic and demographic data
- Demand vs. supply-side perspectives
- Stage model of gentrification
- New housing projects, displacement and neighborhood activism in Highland Park
- Ethnic-based revitalization/gentrification
- See KCET-Departures for my online work
- Book forthcoming with NYU Press.

---USC/SCAG Demographic Workshop – June 2016

## Revitalization stage: NE LA art scene and slow growth activism

- 1970s Chicano/Latino arts collectives – Mechicano Art Center and Centro de Arte Publico
- 1989 Arroyo Arts Collective
- 1997 Eagle Rock Center for the Arts
- 1998 First Eagle Rock Music Festival
- 1999 Avenue 50 Studio
- 2006 First Lummis Day Festival in Highland Park
- 1987-1991 TERA protests of mini-malls, condos, mansions
- 1988-1994 Highland Park campaign for Historical Preservation Overlay Zone
- 1992 Colorado Boulevard Specific Plan passed
- 1995 McDonald's controversy
- 2000-2003 Walgreen's protests
- 2010-2013 Take Back the Boulevard campaign
- 2005-2015 Friends of the Southwest Museum Coalition

---USC/SCAG Demographic Workshop – June 2016

## Business Profile and Sectoral Growth in Northeast LA

Source: L.A. City Dept of Finance, June 2015, 90041 and 90042 combined

Figure 4: Currently active businesses that opened since 2000

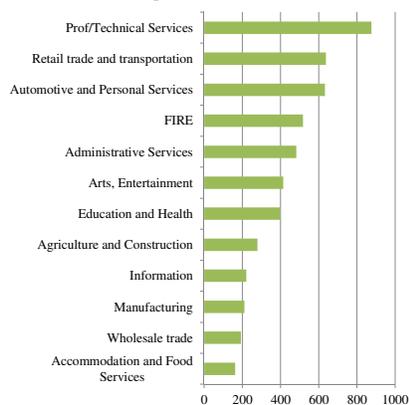
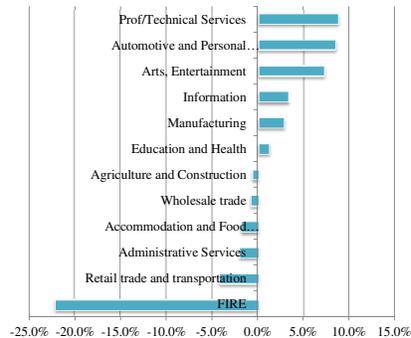


Figure 5: Sectoral growth and decline among currently active businesses that opened since 2000 as compared to before 2000



---USC/SCAG Demographic Workshop – June 2016

## Displacement and “root shock”

- Traumatic stress reaction to loss of community’s multi-family inter-generational social networks caused by urban renewal and displacement
- Mindy Fullilove, 2005. *Root Shock: How Tearing Up City Neighborhoods Hurts America and What We Can Do About It*. One World/Ballantine
- Strategic Alliance for a Just Economy (SAJE) study in 2015 cited root shock impacts of proposed \$775 million Reef development project in South LA

---USC/SCAG Demographic Workshop – June 2016

## The Gentrification Debate

And How It Impacts Housing and Planning

Matthew Glesne, Housing Planner,  
Los Angeles Department of City Planning

## Why **Gentrification** Matters in Planning for Housing

It influences the world:

Population density and transit ridership

Impact on Equity, Sustainability (VMT, GHG, etc.)

How we understand it then shapes the decisions we make:

Public investments

Used to stop/modify development

Planning Paradigm of Analysis

---The Gentrification Debate: and how it impact housing and planning

## Neighborhood Change Research

Neighborhood change is rare and pretty slow<sup>1</sup>

Few areas really gentrify

Increasing poverty/spatial inequality is dominant

Gentrification not all bad...

Displacement is very bad

Whether a neighborhood remains in concentrated poverty (75%), or becomes a place slightly less poor (25%), the likelihood of a neighborhood rebounding is just 1 in 20.



1. Wei and Knox, 2014; US Census

---The Gentrification Debate: and how it impact housing and planning

## Gentrification and Transit Investment

- Kahn (2007) - some - but not in LA
- Dukakis Report (Bluestone/Pollack 2011)
  - Higher rent/income increases than non TOD
  - Higher vehicle ownership than TOD
- SCAG Study (RTP 2012)
  - Higher incomes and housing prices
  - More cars
- Hollywood Thesis (Boland 2011)
  - Fewer transit users today than pre-red line



---The Gentrification Debate: and how it impact housing and planning

## Do Disadvantaged Communities Benefit?

**Yes**, if the adjacent development<sup>1</sup>:

- improves mobility
- supports neighborhood revitalization
- lowers transportation costs
- provides other spillover amenities

**No**, if leads to<sup>2</sup>:

- Displacement of low-income or minority residents
- Doesn't provide more housing choices and improved job opportunities

1. Cervero (2004)
2. Pollack, Bluestone and Billingham (2010)

---The Gentrification Debate: and how it impact housing and planning



IMAGE SOURCE: CurbedLA, accessed 6/12/16.

## GENTRIFICATION & HOUSING TRADE OFFS IN LA

Liz Falletta - 27th Annual Demographic Conference - 6/13/16

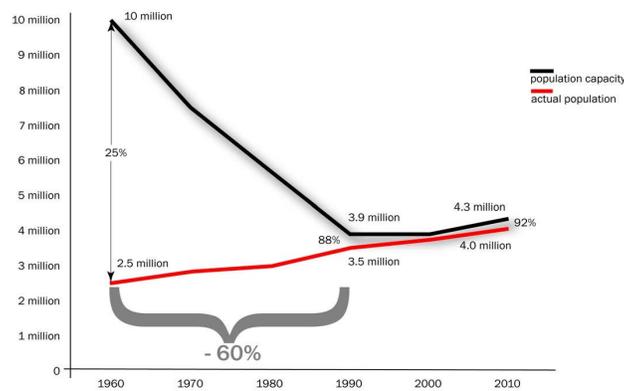


Fig. 1-1: Down-Zoning versus Population Growth  
NOTE: SOURCE: Irvine, Greg. "The Postwar Revolution: Demography, Land Use, and the Los Angeles Slow-Growth Movement, 1965-1990." 2013. Page 5.

**LONG IN THE MAKING**  
 ---Gentrification & Housing Trade Offs in LA

Liz Falletta - 27th Annual Demographic Conference - 6/13/16



**SMALL LOT SUBDIVISION**  
 ---Gentrification & Housing Trade Offs in LA

in Fallotta - 27th Annual Demographic Conference - 6/13/16



**LA RIVER DEVELOPMENT**  
 ---Gentrification & Housing Trade Offs in LA

in Fallotta - 27th Annual Demographic Conference - 6/13/16

**Tool Kit Used To Create Solutions**

**R1-C FLOOR AREA RATIO TABLE**

FAR	>10K	10K	5K	5K	7K	5K	5K	<5K
0.45								
0.43								
0.41								
0.39								
0.37								
0.35								

**BUILDING COVERAGE**

**BUILDING ENVELOPE**

**SIDE WALL LENGTH**

**DETACHED SECONDARY STRUCTURE**

**FRONTAGE PACKAGES**

**F1** Lotterystreet Rearparking  
**F2** 2 storyfront Rearparking  
**F4** Lotterystreet Frontparking

RANGE SOURCE: [http://www.lapublicaffairs.com](#), accessed: 6/22/16

**FLEXIBLE TOOLS FOR DIVERSE NEIGHBORHOODS**  
---Gentrification & Housing Trade Offs in LA

Dr. Filletto - 27th Annual Demographic Conference - 6/13/16

**Forecast LA 2016**

**Loyola Marymount University**

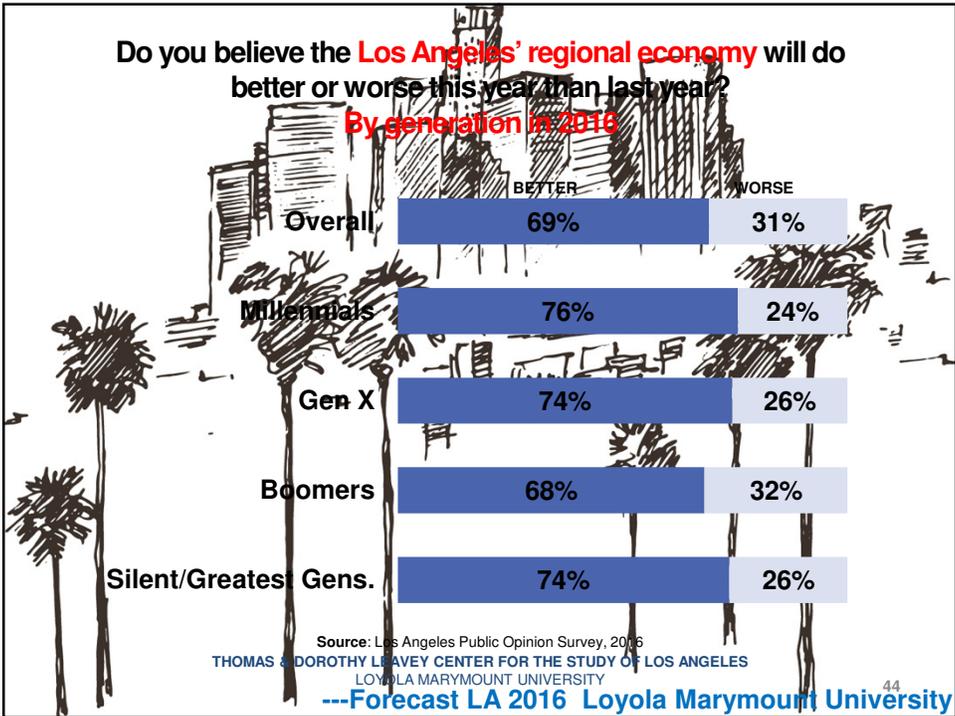
*Fernando J. Guerra, Ph.D.*

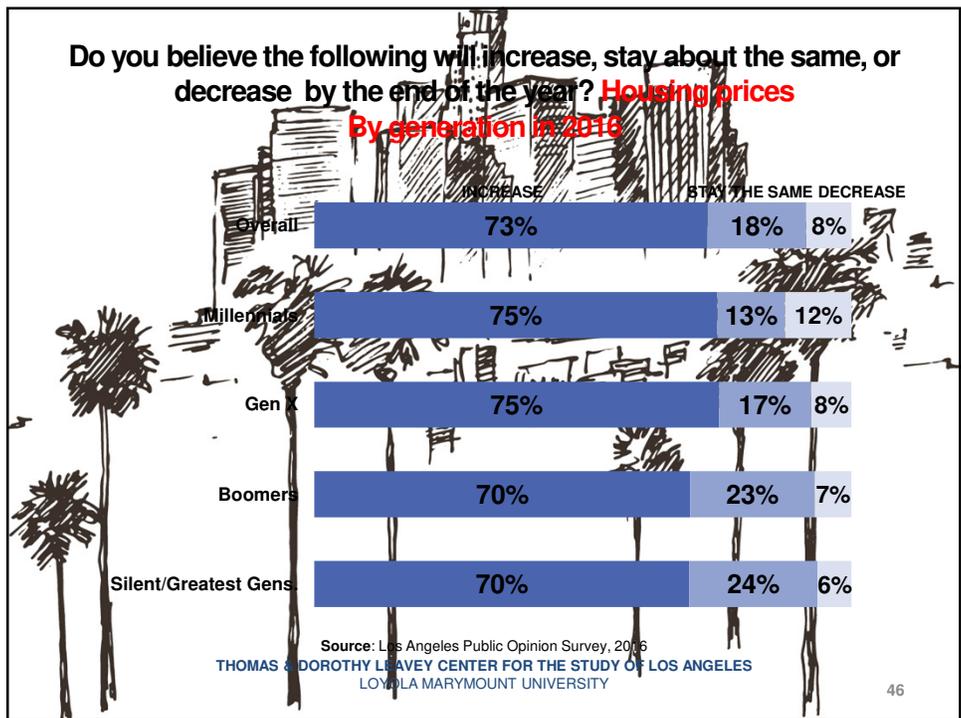
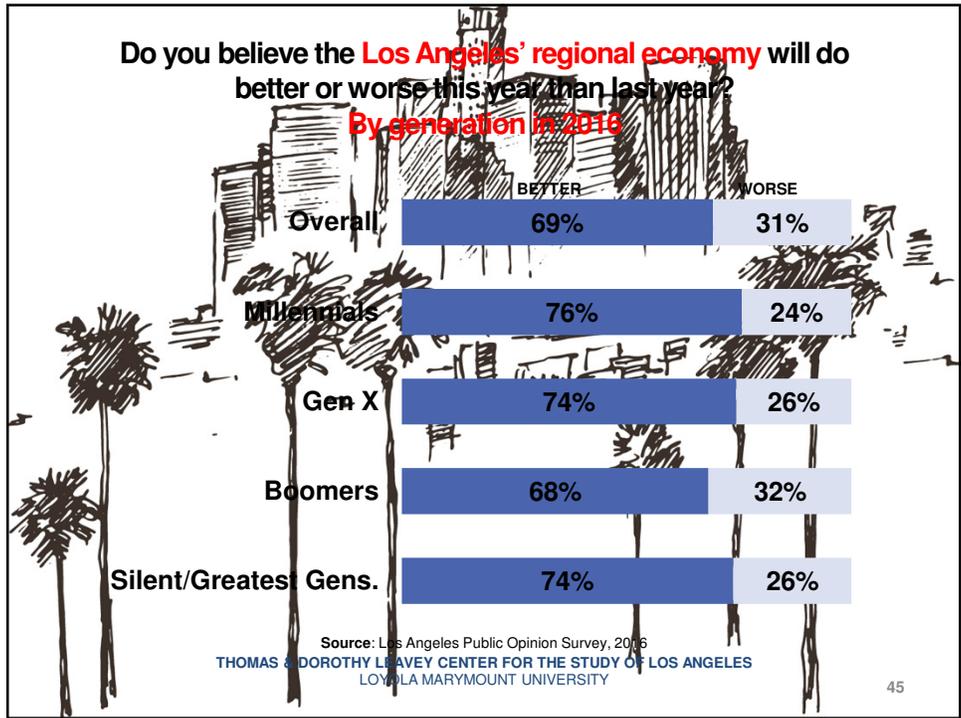
THOMAS & DOROTHY LEAVEY CENTER FOR THE STUDY OF LOS ANGELES  
LOYOLA MARYMOUNT UNIVERSITY

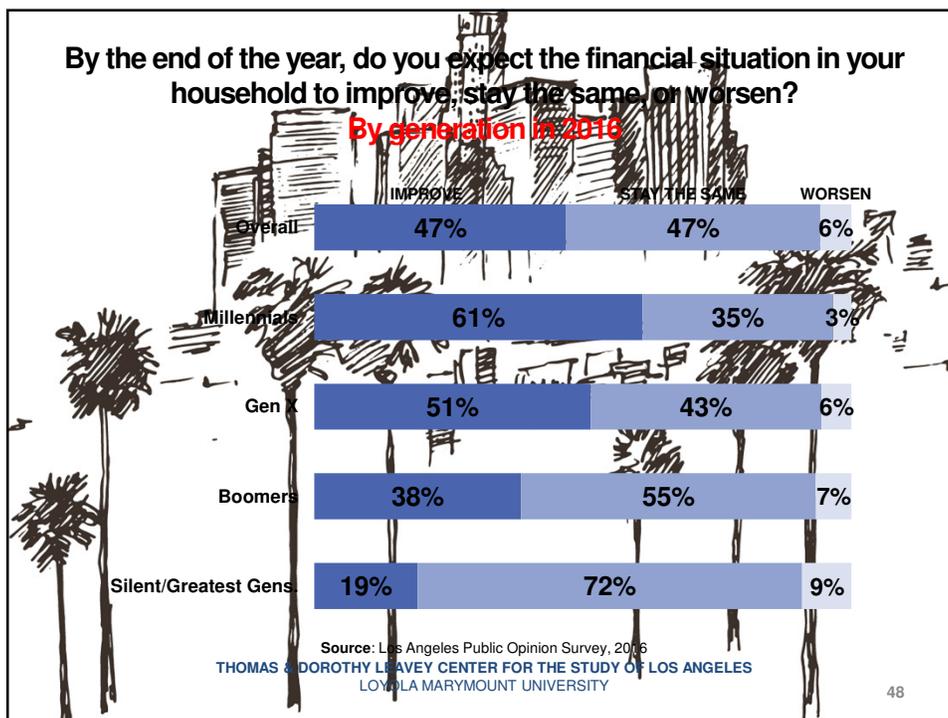
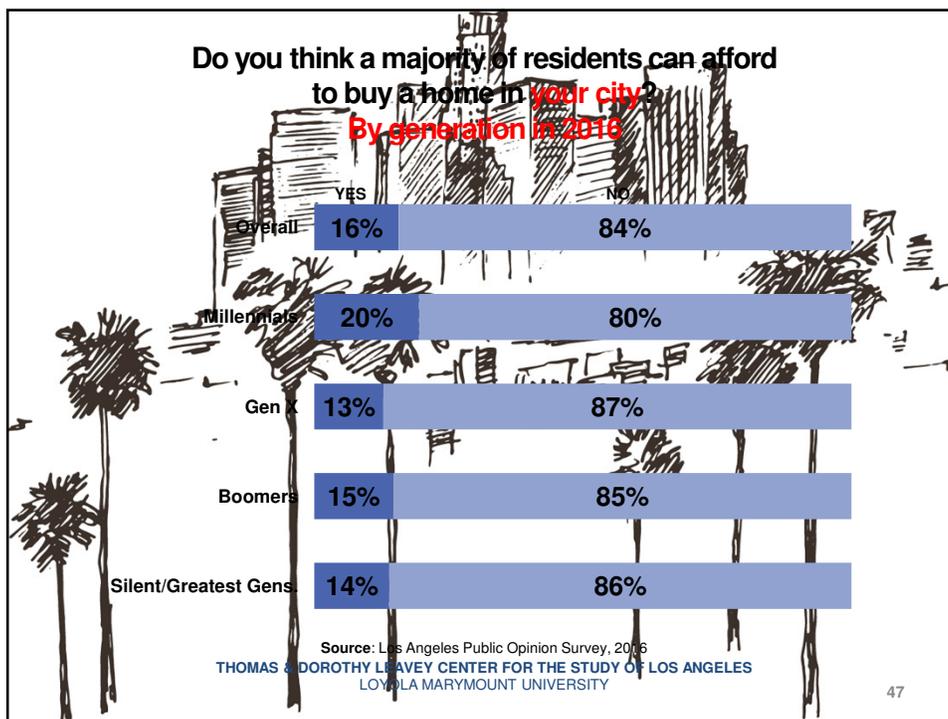
### Third annual Forecast: LA Public Opinion Survey

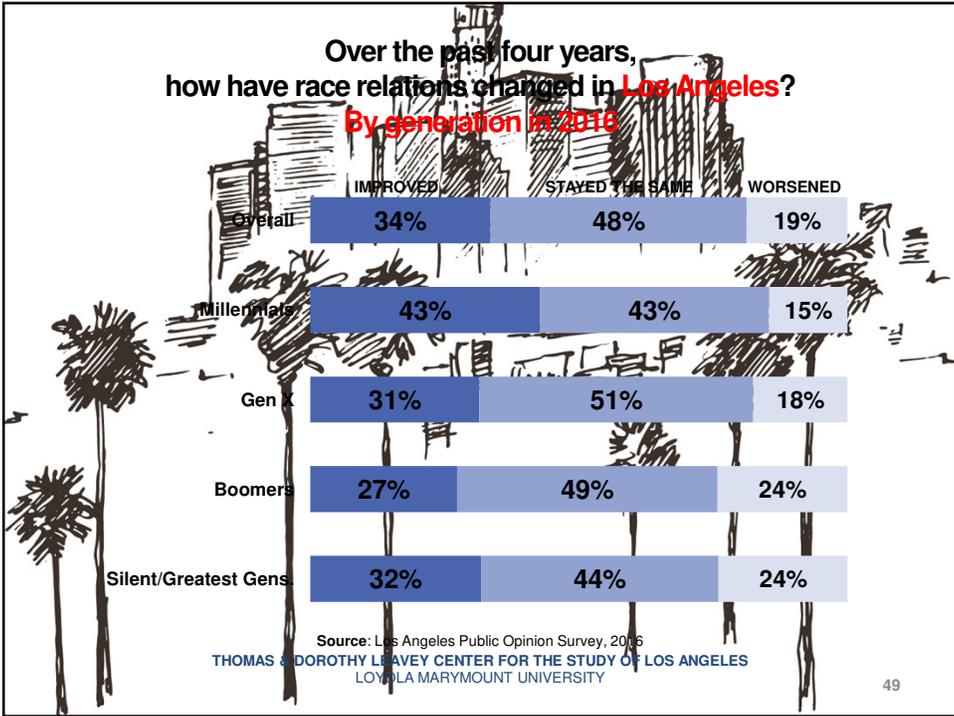
- Largest annual social survey in the region
- 2,425 Los Angeles County residents interviewed
- Interviews of 20 minutes conducted Jan. 4-Feb. 13, 2016
- Interviews conducted in English, Spanish, Mandarin, & Korean
- Significant demographic & geographic groups oversampled
- The only systemic survey of leadership in the region

THOMAS & DOROTHY LEAVEY CENTER FOR THE STUDY OF LOS ANGELES  
 LOYOLA MARYMOUNT UNIVERSITY  
**---Forecast LA 2016 Loyola Marymount University**









For workshop materials  
please visit  
<http://www.scag.ca.gov/calendar/Pages/DemographicWorkshop.aspx>

Thank you!

Simon Choi, Ph. D.  
Chief of Research and Forecasting  
choi@scag.ca.gov

SOUTHERN CALIFORNIA  
ASSOCIATION OF GOVERNMENTS

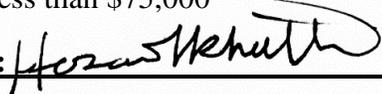
**This Page Intentionally Left Blank**

**DATE:** July 7, 2016

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

**SUBJECT:** Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000

**EXECUTIVE DIRECTOR'S APPROVAL:** 

**RECOMMENDED ACTION:**  
For Information Only - No Action Required.

**STRATEGIC PLAN:**  
This item supports SCAG'S Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

**BACKGROUND:**

**SCAG executed the following Purchase Orders (PO's) between \$5,000 and \$200,000**

<u>Vendor</u>	<u>PO Purpose</u>	<u>PO Amount</u>
Sacramento Area Council of Governments	Case Studies Related Senate Bill (SB) 743, Environmental Quality	\$100,000
Regional Economic Models, Inc.	REMI Software License Renewal	\$39,540
IVCi, LLC	Video Conference Hardware Support	\$15,808
Citilabs Inc.	Software License Renewal	\$9,607
Doubletree by Hilton, Santa Ana	Executive Administration Retreat, Hotel Accommodation	\$5,001

**SCAG executed the following Contracts between \$25,000 and \$200,000**

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
1. Savills Studley (16-035-C1)	The consultant shall provide real estate project management assistance for various office build-outs related to SCAG's leased offices. Some involve relocations, or remodeling/build outs.	\$186,000
2. Stantec Consulting Services, Inc. (16-007-C1)	Consistent with the requirements of the Caltrans Transportation Planning Grant that funds this project, the consultant shall conduct Community-based Opportunities Analysis (Analysis) that will identify the preferred option for dealing with a Malaga Bridge, a historic structure, while meeting the transportation needs in the City of Fontana	\$154,800



# REPORT

## SCAG executed the following Contracts between \$25,000 and \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
	(City). The Analysis will address safety, regional connectivity, and preservation options for the historical bridge.	
3. Nelson Nygaard Consulting (16-009A-C1)	Consistent with the requirements of the Caltrans 5304 Transportation Planning Grant that funds this project, the consultant shall review and evaluate the current public transportation system within the City of Calexico and identify its mobility needs.	\$81,885
4. University of Southern California (15-026-C1)	The consultant shall analyze the major factors business owners consider when deciding where to locate their business and assess what if any differences proximity to a High-Quality Transit Area (HQTA – areas near transit service that operates with service every 15 minutes or less) can make in terms of business location patterns for various types of businesses within the region.	\$49,800
5. Cal Poly Pomona Foundation, Inc. (16-032-C1)	They will analyze job growth in Los Angeles County, using the data between 1990 and 2011. The consultant shall conduct statistical analysis to test whether more job growth happened in those areas near rail/subway stations, or in those areas with a large increase in residents.	\$39,956
6. Windsong Productions, LLC (16-041-C1)	The consultant shall create a short video and provide presentation materials to illustrate Southern California's goods movement challenges and opportunities. The video shall include scenes relevant to goods movement across the SCAG region such as port activities, freight bottlenecks, logistics jobs, warehousing, freeway conditions, rail operations, truck movements, and community and air quality impacts.	\$28,368

## SCAG executed the Amendment between \$5,000 and \$74,999

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
1. Caliper Corporation (15-009-C2)	The consultant shall implement various improvements to the modeling software codes and procedures for SCAG's Subregional Model Development Tool, "Tool". The consultant shall also	\$30,000



# REPORT

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
	provide additional technical support on model software implementation, including model procedure and framework revision, and model parameters and source code update.	
2. Calthorpe Analytics (15-009-C3)	The consultant shall provide additional training to staff on the use of Scenario Planning Data management system (modeling software) to help ensure staff is adequately trained to operate and maintain the detailed inner workings and programming structure of the system.	\$11,750
3. One Eighteen Advertising, Inc. (16-015-C1)	The consultant shall perform additional work on Task 4, Logo/Tagline Search & Discover. Specifically, the consultant shall conduct a thorough legal review, search and discovery of any copyright, trademark or intellectual property rights of the proposed SCAG logo design.	\$7,482
4. Sierra Research Inc. (15-009-C10)	The consultant shall incorporate significant comments received to revise the draft analyses required for the 2016 South Coast Air Quality Management Plan.	\$4,921

**ATTACHMENT:**  
Contract Summaries

## CONSULTANT CONTRACT 16-035-C1

<b>Recommended Consultant:</b>	Savills Studley
<b>Background &amp; Scope of Work:</b>	<p>SCAG currently leases five (5) offices: its headquarters office located in Downtown Los Angeles, and its four (4) satellite offices located in Ventura, San Bernardino, Riverside, and El Centro. SCAG also sub-leases one (1) space in the City of Orange through a Memorandum of Understanding. As SCAG's real estate portfolio has expanded in the last seven years, the need for specialized expertise in managing the physical and financial aspects of its leased offices has increased. SCAG has not added staff to address these needs and as it approaches the lease term end date for the Los Angeles Office of December 31, 2019, it recognizes the need to expand its capacity with specialized expertise to assist with managing small and large scale tenant construction/build-out, and move projects for its real estate portfolio.</p> <p>Consultant shall provide real estate project management assistance for various office build-outs related to SCAG's leased offices. Some involve relocations, or remodeling/build outs.</p>
<b>Project's Benefits &amp; Key Deliverables:</b>	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Pre-lease transaction project management services; pre-construction planning; engineer and consultant selection; budget analysis; evaluation of alternatives; negotiation of landlord fees, post-lease transaction services; contractor procurement; landlord coordination; monitoring of construction drawings; managing construction progress; furniture/equipment planning; information technology planning; and other services as required.</li></ul>
<b>Strategic Plan</b>	This item supports SCAG's Strategic Plan Goal 5: Optimize Organizational Efficiency and Cultivate an Engaged Workforce.
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> <span style="float: right;"><b>\$186,000</b></span> Savills Studley
<b>Contract Period:</b>	June 6, 2016 through June 30, 2019
<b>Project Number:</b>	810-0120.03 \$186,000 Funding source: Indirect Cost
	Funding of \$50,000 is available in the FY 2015-16 budget, and the remaining \$136,000 is available in the FY 2016-17 budget. Should funding be needed in FY 2017-18 and FY 2018-19, it will be included in the Indirect Cost budget. The majority of the contract budget is dedicated to needs of the Los Angeles office.
<b>Request-for-Proposal (RFP):</b>	SCAG staff notified 1,245 firms of the release of RFP 16-035-C1 via SCAG's Solicitation Management System. A total of 28 firms downloaded the RFP. SCAG received the following proposal in response to the solicitation:
	<b>Savills Studley (no subconsultants)</b> <span style="float: right;"><b>N/A – Labor Hour Contract</b></span>

After receiving only one proposal, staff surveyed the 27 firms that downloaded the RFP to determine why each did not submit a proposal. There were 13 firms that responded to staff's inquiry, which disclosed the main reason these firms did not respond was that they did not have the required expertise. Note, staff advertised the RFP four (4) weeks as required by SCAG's Procurement Manual (Section 6.6.3)

**Selection Process:** The Proposal Review Committee (PRC) evaluated the proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposal, the PRC interviewed the offeror.

The PRC consisted of the following individuals:

Debbie Dillon, Deputy Executive Director of Administration, SCAG  
Catherine Kirschbaum, Chief Information Officer, SCAG  
David Milner, Operations Supervisor, SCAG

**Basis for Selection:** The PRC recommended Savills Studley for the contract award because the consultant demonstrated:

- An excellent understanding of the project, specifically the needs for project management assistance for the Los Angeles office (larger projects) and all of the regional offices located throughout Southern California (smaller projects);
- In depth experience managing similar projects in the public sector and familiarity with and sensitivity to public sector requirements;
- Success in delivering projects on time and at or under budget;
- Excellent availability. Their office is located across the street from SCAG's downtown Los Angeles office and team members are a good match with SCAG's staff; and
- Highly qualified team members who showed passion for SCAG's work in the region.

## CONSULTANT CONTRACT 16-007-C1

<b>Recommended Consultant:</b>	Stantec Consulting Services, Inc.	
<b>Background &amp; Scope of Work:</b>	Consistent with the requirements of the Caltrans Transportation Planning Grant that funds this project, the consultant shall conduct Community-based Opportunities Analysis (Analysis) that will identify the preferred option for dealing with a Malaga Bridge, a historic structure, while meeting the transportation needs in the City of Fontana (City). The Analysis will address safety, regional connectivity, and preservation options for the historical bridge.	
<b>Project's Benefits &amp; Key Deliverables:</b>	The project's benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• A final report that includes options for the bridge, depending on the ranking of options by residents and stakeholders; and</li><li>• Potential conceptual designs for Malaga Bridge replacement, reconfiguration and relocation</li></ul>	
<b>Strategic Plan</b>	This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.	
<b>Contract Amount:</b>	<b>Total not-to-exceed</b>	<b>\$154,800</b>
	Stantec Consulting Services, Inc. (prime consultant)	\$112,106
	Arellano Associates (subconsultant)	\$42,694
	Note: Stantec Consulting Services, Inc. originally proposed \$182,172, but staff negotiated the price down to \$154,800 without reducing the Scope of Work.	
<b>Contract Period:</b>	May 13, 2016 through June 30, 2017	
<b>Project Number:</b>	145-3482H1.01	\$154,800
	Funding sources: Federal Highway Administration (FHWA) Sustainable Communities Transportation Planning Grant	
<b>Request for Qualifications (RFQ):<sup>1</sup></b>	SCAG staff notified 2,747 firms of the release of RFP 16-007-C1 via SCAG's Solicitation Management System. A total of 19 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:	
	<b>Stantec Consulting, Inc. (1 subconsultant)</b>	<b>\$182,172</b>
	Kimley-Horn and Associates, Inc. (1 subconsultant)	N/A <sup>2</sup>

<sup>1</sup> The nature of the work involved in this project required staff to use a Request for Qualifications (RFQ) procurement process rather than the normal Request for Proposal (RFP) procurement process.

<sup>2</sup> Consistent with federal and state contracting regulations that govern RFQ's, staff did not (and could not) review cost proposals for the two other firms (cost is only reviewed for the highest ranked firm to determine if it is fair and reasonable).

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the solicitation, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the both offerors.

The PRC consisted of the following individuals:

Noel Castillo, Engineering Manager, City of Fontana

Monique Reza, Administrative Analyst II, Engineering Dept., City of Fontana

Rebecca Forbes, Associate Transportation Planner, Caltrans District 8

Alan Thompson, Project Manager, SCAG

**Basis for Selection:** The PRC recommended Stantec Consulting, Inc. for the contract award because the consultant:

- Provided the best technical approach. Specifically, they demonstrated the best understanding of the design requirements necessary to support modifications that would enable a widened Malaga Bridge to support loads from the Pacific Electric Trail;
- Provided the best understanding of how the public will accept relocation (a critical consideration), and relocation options which may enhance public approval, such as a public park versus a remote location; and
- Demonstrated the most relevant experience. Specifically, the consultant has performed similar work involving bridges and bike paths such as, the Pacific Electric Bicycle Trail, including the Orange County Loop, the Burbank Channel Bikeway Project and the Folsom Parkway Rail Trail.

## CONSULTANT CONTRACT 16-009A-C1

**Recommended Consultant:** Nelson Nygaard Consulting Associates, Inc.

**Background & Scope of Work:** Consistent with the requirements of the Caltrans 5304 Transportation Planning Grant that funds this project, the consultant shall review and evaluate the current public transportation system within the City of Calexico (City) and identify its mobility needs. The consultant shall prepare an analysis of existing and future transit needs within the City to develop a detailed prioritization and implementation plan. They will also help the City develop guidelines, standards and ordinances in order to regulate and/or provide sustainable solutions to improve transit services within Calexico.

**Project's Benefits & Key Deliverables:** The project's benefit and key deliverable includes, but is not limited to:

- A report with recommendations to assist the City with addressing traffic congestion and providing transportation alternatives.

**Strategic Plan** This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

**Contract Amount:**

<b>Total not-to-exceed</b>	<b>\$81,851</b>
Nelson Nygaard (prime consultant)	\$66,966
Katherine Padilla & Associates (subconsultant)	\$14,885

Note: Nelson Nygaard originally proposed \$81,885, but staff reduced the price due to a calculation error in Nelson Nygaard's cost proposal.

**Contract Period:** May 12, 2016 to October 31, 2016

**Project Number:** 145.SCG02567.01 \$12,000  
145.SCG02567.01 \$69,851  
Funding sources: Federal Transit Administration (FTA) 5304

**Request-for-Proposal (RFP):** SCAG staff notified 1,837 firms of the release of RFP 16-009A-C1 via SCAG's Solicitation Management System. A total of 54 firms downloaded the RFP. SCAG received the following four (4) proposals in response to the solicitation:

<b>Nelson Nygaard Consulting Associates (1 subconsultant)</b>	<b>\$81,885</b>
Stantec Consulting (1 subconsultant)	\$84,397
Maroon Society (no subconsultants)	\$84,551
IBI Group (1 subconsultant)	\$94,582

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC interviewed the three (3) highest ranked offerors.

The PRC consisted of the following individuals:

Beth Landrum, Associate Transportation Planner, Caltrans District 11  
Matt Gleason, Senior Regional Planner, SCAG  
Lilliana Falomir, Project Coordinator, City of Calexico  
David Salgado, Transit Planner, Imperial County Transportation Commission

- Basis for Selection:** The PRC recommended Nelson Nygaard Consulting Associates, Inc. for the contract award because the consultant:
- Demonstrated the best understanding of the scope of work and scheduling constraints facing this project. Specifically, they provided the strongest assessment of the pace at which tasks should be initiated, and the role of community outreach in the study. Additionally, the consultant included the strongest assessment of potential schedule risks, and potential strategies to mitigate those risks;
  - Demonstrated the best experience with projects of similar size and scope. Specifically, the consultant demonstrate extensive experience working with small and medium sized transit providers in rural and small urbanized areas, including the Imperial Valley. They have conducted Comprehensive Operational Analyses, Short Range Transit Plans, Master Plans, development plans and service evaluations. The consultant has also worked with the taxi industry, and has demonstrated mobility management experience with the developing and analyzing policy and regulatory guidelines; and
  - Proposed the lowest price.

## CONSULTANT CONTRACT 15-026-C1

<b>Recommended Consultant:</b>	University of Southern California				
<b>Background &amp; Scope of Work:</b>	The consultant shall provide Advanced Research on Transit-Oriented Employment Development in the SCAG region. Specifically, they shall analyze the major factors business owners consider when deciding where to locate their business and assess what if any differences proximity to a High-Quality Transit Area (HQTA – areas near transit service that operates with service every 15 minutes or less) can make in terms of business location patterns for various types of businesses within the region.				
<b>Project’s Benefits &amp; Key Deliverables:</b>	The project’s benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• A report identifying any differences that HQTA can make in terms of business location patterns for various types of businesses within the region; and</li><li>• Statistical models to explain the location choice of various types of businesses in the region.</li></ul>				
<b>Strategic Plan</b>	This item supports SCAG’s Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Develop and Maintain Planning Models that Support Regional Planning.				
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> <span style="float: right;"><b>\$49,800</b></span> University of Southern California (prime consultant)				
	Note: The University of Southern California originally proposed \$91,000, but staff negotiated the price down to \$49,800 without reducing the scope of work (the consultant originally offered a much higher level of effort that SCAG required).				
<b>Contract Period:</b>	May 11, 2016 through September 30, 2016				
<b>Project Number:</b>	055.SCG0133.06      \$10,000 055.SCG0133.06      \$39,800 Funding sources: Transportation Development Act (TDA)				
<b>Request-for-Proposal (RFP):</b>	Consistent with SCAG procedures (Procurement Manual 6.6) for issuing informal procurements, SCAG staff notified five (5) Universities of the release of this informal RFP 15-026-C1 via e-mail notification. SCAG received the following two (2) proposals in response to the solicitation:  <table style="width: 100%;"><tr><td style="width: 80%;"><b>University of Southern California (no subconsultants)</b></td><td style="text-align: right;"><b>\$91,000</b></td></tr><tr><td>University of California, Irvine (no subconsultants)</td><td style="text-align: right;">\$70,352</td></tr></table>	<b>University of Southern California (no subconsultants)</b>	<b>\$91,000</b>	University of California, Irvine (no subconsultants)	\$70,352
<b>University of Southern California (no subconsultants)</b>	<b>\$91,000</b>				
University of California, Irvine (no subconsultants)	\$70,352				
<b>Selection Process:</b>	The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.				

The PRC consisted of the following individuals:

Simon Choi, Chief of Research and Forecasting, SCAG  
Hsi-hwa Hu SCAG Transportation Modeler IV, SCAG  
Ying Zhou, Program Manager II, SCAG

**Basis for Selection:**

The PRC initially recommended awarding the contract to University of California, Irvine (UCI). However, UCI was unable to accept SCAG's standard boilerplate contract terms and conditions and requested SCAG staff to remove various clauses that posed an unacceptable risk to SCAG. Consequently the PRC subsequently recommended University of Southern California for the contract award because the consultant:

- Demonstrated the most comprehensive and broadest range of services and solutions that will meet SCAG's requirements, specifically, their tasks and methodologies were clearly described and they demonstrated extensive experience with similar research projects, such as Agglomeration Potential: the Spatial Scale of Industry linkages in the Southern California Economy; *Growth and Change*, volume 39, number 1, March, 2008, pp. 24-57 and Poverty Concentration, job access, and employment outcomes; *Journal of Urban Affairs*, 9/14/2014 (online version), pp. 1-17; and
- Provided the best overall value.

## **CONSULTANT CONTRACT 16-032-C1**

<b>Recommended Consultant:</b>	Cal Poly Pomona Foundation, Inc.	
<b>Background &amp; Scope of Work:</b>	The consultant shall provide SCAG with urban planning research. They will analyze job growth in Los Angeles County, using the data between 1990 and 2011. The consultant shall conduct statistical analysis to test whether more job growth happened in those areas near rail/subway stations, or in those areas with a large increase in residents.	
<b>Project's Benefits &amp; Key Deliverables:</b>	The project's benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• Organizing historical data for employment; and</li><li>• Identifying where job growth occurred near transit/rail stations to provide useful information for SCAG planning.</li></ul>	
<b>Strategic Plan</b>	This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Develop and Maintain Planning Models that Support Regional Planning.	
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> Cal Poly Pomona Foundation, Inc. (prime consultant)	<b>\$39,956</b>
	Note: Cal Poly Pomona Foundation, Inc., originally proposed \$46,368, but staff negotiated the price down to \$39,956 without reducing the scope of work.	
<b>Contract Period:</b>	May 27, 2016 through August 31, 2016	
<b>Project Number:</b>	055.SCG0133.05      \$21,424.71 (FY 15-16) 055.SCG0133.05      \$18,531.29 (FY 16-17) Funding sources: Consolidated Planning Grant (CPG) – Federal Transit Administration (FTA) and Transportation Development Act (TDA)	
<b>Request-for-Proposal (RFP):</b>	Consistent with SCAG procedures (Procurement Manual 6.6) for issuing informal procurements, SCAG staff notified three (3) Universities of the release of this informal RFP 16-032-C1 via e-mail notification. SCAG received the following proposal in response to the solicitation:  <b>Cal Poly Pomona Foundation, Inc. (no subconsultants)</b> <span style="float: right;"><b>\$46,368</b></span>  After receiving only one proposal, staff surveyed the other two (2) Universities that were emailed the RFP to determine why both did not submit a proposal. Neither University was interested in participating.	
<b>Selection Process:</b>	The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposal, the PRC did not conduct interviews because the proposal contained sufficient information on which to base a contract award.	

The PRC consisted of the following individuals:

Simon Choi, Chief of Research and Forecasting, SCAG  
Hsi-hwa Hu SCAG Transportation Modeler IV, SCAG  
Christopher Tzeng, Associate Regional Planner, SCAG

**Basis for Selection:**

The PRC recommended Cal Poly Pomona Foundation, Inc., for the contract award because the consultant:

- Demonstrated a complete understanding of the project and deliverables required. Specifically, their approach pertaining to the advanced statistical model to analyze employment growth near rail/subway stations; and
- Demonstrated extensive experience and knowledge on socio-demographic characteristics of an area (such as higher income, younger people, etc.) and land use features of the area (higher density, suburbs) on transit ridership.

## CONSULTANT CONTRACT 16-041-C1

<b>Recommended Consultant:</b>	Windsong Productions, LLC										
<b>Background &amp; Scope of Work:</b>	SCAG has a duty to ensure the efficient mobility of goods throughout the region, and to undertake efforts to promote ongoing education about the importance of freight and goods movement throughout Southern California. As a result, SCAG solicited proposals from qualified consultants to create a short video and presentation materials to illustrate Southern California's goods movement challenges and opportunities. The video shall include scenes relevant to goods movement across the SCAG region such as port activities, freight bottlenecks, logistics jobs, warehousing, freeway conditions, rail operations, truck movements, and community and air quality impacts.										
<b>Project's Benefits &amp; Key Deliverables:</b>	The project's benefits and key deliverables include, but are not limited to: <ul style="list-style-type: none"><li>• Draft and final script and story-board about goods movement in the region;</li><li>• High-resolution photos and audio-video recordings, including photos, aerial images, and digital audio and video as appropriate; and</li><li>• Master copy of video on DVD and internet compatible formats illustrating the importance of goods movement to the region, as well as the goods movement challenges the region faces.</li></ul>										
<b>Strategic Plan:</b>	This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective b: Develop External Communications and Media Strategy to Promote Partnerships, Build Consensus, and Foster Inclusiveness in the Decision Making Process.										
<b>Contract Amount:</b>	<b>Total not-to-exceed</b> <span style="float: right;"><b>\$28,368</b></span> Windsong Productions, LLC (prime consultant) Note: Windsong Productions, LLC originally proposed \$31,520, and during negotiations offered a 10% discount to bring the actual cost to \$28,368 without reducing the scope of work.										
<b>Contract Period:</b>	May 16, 2016 through June 30, 2016										
<b>Project Number:</b>	130-0162B.18/130-0162E.18    \$49,000 Funding source: Consolidated Planning Grant –Federal Transit Administration (FTA) and Transportation Development Act (TDA)										
<b>Request –for-Proposal (RFP):</b>	SCAG staff notified 587 firms of the release of RFP 16-041 via SCAG's Solicitation Management System. A total of 42 firms downloaded the RFP. SCAG received the following five (5) proposals in response to the solicitation:  <table style="width: 100%;"><tr><td><b>Windsong Productions, LLC (no subconsultants)</b></td><td style="text-align: right;"><b>\$31,520</b></td></tr><tr><td>4<sup>th</sup> Street Productions (no subconsultants)</td><td style="text-align: right;">\$32,467</td></tr><tr><td>MBI Media, Inc. (no subconsultants)</td><td style="text-align: right;">\$39,830</td></tr><tr><td>Showreel International, Inc. (no subconsultants)</td><td style="text-align: right;">\$39,927</td></tr><tr><td>Phelps Group (1 subconsultant)</td><td style="text-align: right;">\$77,894</td></tr></table>	<b>Windsong Productions, LLC (no subconsultants)</b>	<b>\$31,520</b>	4 <sup>th</sup> Street Productions (no subconsultants)	\$32,467	MBI Media, Inc. (no subconsultants)	\$39,830	Showreel International, Inc. (no subconsultants)	\$39,927	Phelps Group (1 subconsultant)	\$77,894
<b>Windsong Productions, LLC (no subconsultants)</b>	<b>\$31,520</b>										
4 <sup>th</sup> Street Productions (no subconsultants)	\$32,467										
MBI Media, Inc. (no subconsultants)	\$39,830										
Showreel International, Inc. (no subconsultants)	\$39,927										
Phelps Group (1 subconsultant)	\$77,894										

**Selection Process:** The Proposal Review Committee (PRC) evaluated each proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

Annie Nam, Manager, Transportation Finance and Goods Movement, SCAG  
Akiko Yamagami, Senior Regional Planner, SCAG  
Mike Jones, Senior Regional Planner, SCAG

**Basis for Selection:** The PRC recommended Windsong Productions, LLC for the contract award because the consultant:

- Proposed the lowest price;
- Demonstrated the best understanding of the project, specifically the need to craft a meaningful message to the public audience regarding regional goods movement challenges; and
- Provided the best technical equipment in terms of cameras and other related equipment.

## CONSULTANT CONTRACT 15-009-C2 Amendment 3

**Consultant:** Caliper Corporation

**Background & Scope of Work:** On November 6, 2014, SCAG awarded contracts 15-009-C1 through 15-009-C10, to ten (10) different consultants, in an amount not-to exceed \$500,000, to provide various on-call modeling and technical support activities. SCAG awarded contract 15-009 -C2 to Caliper Corporation specifically to provide transportation modeling analysis and development to support SCAG's transportation modeling program. Specific models include SCAG's Trip-Based Model, Activity-Based Model, and Subregional Model. These models are used to estimate various types of the trips in the region, classify them by location and type of trip, and predict their use in the regional transportation networks.

The purpose of Amendment 3 to contract 15-009-C2 is to implement various improvements to the modeling software codes and procedures for SCAG's Subregional Model Development Tool, "Tool". The consultant shall provide additional technical support on model software implementation, including model procedure and framework revision, and model parameters and source code update. The consultant shall assist in the analysis and interpretation of model input and output data. The improved Tool will become available to SCAG Subregions to augment the individual Subregion's transportation model.

Amendment 3 increases the value of contract 15-009-C2 by \$30,000, from \$181,250 to \$211,250 and will close-out on June 30, 2016.

**Project's Benefits & Key Deliverables:** The project's benefits and key deliverables include, but are not limited to:

- Delivering improved TransCAD modeling code and procedures; and
- Providing technical support for model development for SCAG subregions and local jurisdictions.

**Strategic Plan:** This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective b: Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.

<b>Amendment Amount:</b> Amendment 3	\$30,000
Amendment 2 (administrative - no change to contract's value)	\$0
Amendment 1 (administrative - no change to contract's value)	\$0
Original contract value	<u>\$181,250</u>
<b>Total contract value is not to exceed</b>	<b><u>\$211,250</u></b>

The aggregate value of this amendment is less than \$75,000, as well as 30 percent of the overall contract's \$500,000 not-to-exceed amount. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it does not require the Regional Council's approval.

**Contract Period:** January 22, 2015 to June 30, 2016

**Project Number:** 070.SCG00130  
Funding sources: Consolidated Planning Grant (CPG)

Administration (FTA) and Transportation Development Act (TDA)

**Basis for the  
Amendment:**

Caliper Corporation is currently under contract to conduct the transportation modeling to support of SCAG's model application, development and enhancement. The upgrades of model software are necessary in order to align SCAG's 2016 Regional Model of the 2016 Regional Transportation Plan (RTP) which has been updated while the Subregional models have not yet been updated and aligned. This Tool enhancement is designed to align the Subregional models with SCAG's 2016 RTP Regional Model. The consultant will not only provide software enhancement but also will assist in the analysis of model input and output data for transportation model development. Without these enhancements, the Subregional Models will not have robust functions to be aligned with SCAG's 2016 RTP Regional Model.

## CONSULTANT CONTRACT 15-009-C3 Amendment 3

**Consultant:** Calthorpe Analytics

**Background & Scope of Work:** On November 6, 2014, SCAG awarded contracts 15-009-C1 through 15-009-C10, to ten (10) different consultants, in an amount not-to exceed \$500,000, to provide various on-call modeling and technical support activities. SCAG award contract 15-009-C3 to Calthorpe Analytics to provide transportation model support and development to prepare input data of SCAG's Scenario Planning Model (SPM) for region-wide release. The SPM helps regional planners to understand, anticipate, and act decisively to align transportation planning with the trends and forces shaping the region.

The purpose of Amendment 3 to contract 15-009-C3 is to provide funding to enable the consultant to conduct a technical workshop, to ensure staff is adequately trained to operate and maintain the detailed inner workings and programming structure of the enhanced SPM Data management system. The original scope of work only included the front-end usage training of the system and did not include the detailed inner workings and programming structure of the system. Staff also plans to compete the ongoing maintenance of the system under a separate contract, and the additional training is intended to help reduce the amount of support staff will need from the consultant selected for this contract.

Amendment 3 increases the value of contract 15-009-C3 by \$11,750, from \$163,520 to \$175,270 and will close-out on June 30, 2016.

**Project's Benefits & Key Deliverables:** The project's benefits and key deliverables include, but are not limited to:

- Enhancing staff's ability to conduct SPM improvement projects, provide SCAG staff technical assistance, as well as provide software and programming support.

**Strategic Plan:** This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective b: Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.

<b>Amendment Amount:</b>	Amendment 3	\$11,750
	Amendment 2 (administrative - no change to contract's value)	\$0
	Amendment 1 (administrative - no change to contract's value)	\$0
	Original contract value	<u>\$163,520</u>
	<b>Total contract value is not-to-exceed</b>	<b>\$175,270</b>

The aggregate value of this amendment is less than \$75,000 as well as 30 percent of the overall contract's \$500,000 not-to-exceed amount. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it does not require the Regional Council's approval

**Contract Period:** July 7, 2015 to June 30, 2016

**Project Number:** 070-0130B.10      \$11,750  
Funding sources: Consolidated Planning Grant (CPG)  
Federal Transit Administration (FTA) and Transportation Development Act (TDA)

**Basis for the  
Amendment:**

The SPM Data Management system allows planners in the region to access, review and update a variety of planning datasets as well as to update the existing datasets in preparation for the next Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS). As the original developer of the SPM Data Management system, SCAG requires the consultant to provide training on the system's detailed inner workings and programming structure in order to be able to most effectively operate and maintain the system. Additionally, staff plans to compete the ongoing maintenance of the system under a separate contract, and Amendment 3 better positions staff to monitor the consultant selected for the aforementioned contract. Without this amendment, staff will not be able to operate and maintain the SPM Data Management system as effectively.

## CONTRACT 16-015-C1 AMENDMENT 1

<b>Consultant:</b>	One Eighteen Advertising, Inc.						
<b>Background &amp; Scope of Work:</b>	<p>On January 22, 2016, SCAG awarded Contract 16-015-C1 to One Eighteen Advertising, Inc. to assist in a re-design of the 20-year-old SCAG “bow tie” logo. Their scope includes researching and interviewing key staff, board members, and other stakeholders to provide insight on the SCAG brand and perceptions/reputation of the agency, so that a new logo can better reflect the agency’s mission and purpose.</p> <p>The purpose of this amendment is to add \$7,482, increasing the contract value from \$49,863 to \$57,345, to enable the consultant to perform additional work on Task 4, Logo/Tagline Search &amp; Discover. Specifically, the consultant shall conduct a thorough legal review, search and discovery of any copyright, trademark or intellectual property rights of a new proposed SCAG logo design. This was not included in the original scope of work, but later deemed necessary and critical to protect the agency should the proposed logo be adopted.</p>						
<b>Project’s Benefits &amp; Key Deliverables:</b>	<p>The project’s benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none"><li>• Attitudes and perceptions study of SCAG staff, board members and stakeholders about SCAG;</li><li>• A new SCAG logo; and</li><li>• Brand Style Guide including logo requirements, color palette, graphic elements and use as applicable.</li></ul>						
<b>Strategic Plan</b>	This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective b: Develop external communications and media strategy to promote partnerships, build consensus and foster inclusiveness in the decision making process.						
<b>Amendment Amount:</b>	<table border="0" style="width: 100%;"><tr><td style="width: 60%;">Amendment 1</td><td style="text-align: right;">\$7,482</td></tr><tr><td>Original contract value</td><td style="text-align: right;"><u>\$49,863</u></td></tr><tr><td><b>Total contract value is not-to-exceed</b></td><td style="text-align: right;"><b>\$57,345</b></td></tr></table>	Amendment 1	\$7,482	Original contract value	<u>\$49,863</u>	<b>Total contract value is not-to-exceed</b>	<b>\$57,345</b>
Amendment 1	\$7,482						
Original contract value	<u>\$49,863</u>						
<b>Total contract value is not-to-exceed</b>	<b>\$57,345</b>						
	<p>This amendment does not exceed \$75,000 or 30% of the contract’s original value. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it does not require the Regional Council’s approval.</p>						
<b>Contract Period:</b>	January 22, 2016 through June 30, 2016						
<b>Project Number:</b>	090-0148B.01      \$6,623 090-0148E.01      \$859 Funding sources: Federal Transit Administration (FTA) and Transportation Development Act (TDA)						
<b>Basis for the Amendment:</b>	Through meetings and presentations to SCAG’s staff, executive management, Legislative/Communications and Membership Committee and subcommittee, a new logo design that has been recommended for adoption. Prior to adoption by SCAG’s Regional Council, One Eighteen Advertising, Inc. must perform the additional task						

of conducting a thorough legal review, search and discovery of any copyright, trademark or intellectual property rights of the proposed SCAG logo design and tagline. This work is necessary in assessing the risk of potential infringement on intellectual property rights as well as protecting SCAG through the trademark of the logo once adopted.

## CONSULTANT CONTRACT 15-009-C10 Amendment 1

**Consultant:** Sierra Research

**Background & Scope of Work:** On November 6, 2014, SCAG awarded Contracts 15-009-C1 through 15-009-C10, to ten (10) different consultants, in an amount not-to exceed \$500,000, to provide various on-call modeling and technical support activities. SCAG award contract 15-009-C10 to Sierra Research to provide air quality model support and development. The purpose of the project is to provide advanced and highly specialized air quality and conformity analytical services (analyses related to requirements to meet the Federal Clean Air Act) to support SCAG's transportation modeling program. These analyses include the Draft and Final Transportation Control Measures, Reasonably Available Control Measure (TCM RACM) Analysis as well as the Draft Transportation Control Measures, Best Available Control Measures (TCM BACM) Analysis Report, and are required for the 2016 South Coast Air Quality Management Plan (AQMP).

The consultant completed one of the contract's main deliverables, a draft analysis report in March 2016. However, after that time SCAG received significant comments on the analysis from regional stakeholders during interagency discussions. The purpose of Amendment 1 is to provide funding so that the consultant can incorporate significant comments received to revise the draft analysis report. The consultant shall, at the minimum: 1) review additional particulate matters released by vehicles and their impact on the environment, as well as for health and for the clean air conformity; 2) strengthen the connection between the information presented and the overall conclusion; and 3) incorporate suggested edits.

Amendment 1 increases the value of contract 15-009-C10 by \$4,921 from \$80,219 to \$85,140 and will close-out the contract on June 30, 2016.

**Project's Benefits & Key Deliverables:** The project's benefits and key deliverables include, but are not limited to:

- Draft and Final TCM RACM Analysis Reports for the 2016 South Coast Air Quality Management Plan (AQMP); and
- Draft TCM BACM Analysis Report for the 2016 South Coast AQMP.

**Strategic Plan:** This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective b: Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.

<b>Amendment Amount:</b>	Amendment 1	\$4,921
	Original contract value	<u>\$80,219</u>
	<b>Total contract value is not to exceed</b>	<b>\$85,140</b>

The aggregate value of this amendment is less than \$75,000 and 30 percent of the overall contract's \$500,000 not-to-exceed amount. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it does not require the Regional Council's approval.

**Contract Period:** March 26, 2015 to June 30, 2016

**Project Number:** 070-0130B.10 \$4,921  
Funding sources: Consolidated Planning Grant (CPG)  
Federal Transit Administration (FTA) and Transportation Development Act (TDA).

**Basis for the Amendment:** The consultant completed the revised draft analysis report in March 2016 per contract. However, as previously stated, SCAG received significant comments on the analysis. The consultant shall address all comments received and prepare the report to be included in the 2016 Draft South Coast South Coast Air Quality Management Plan. Without this amendment staff's analyses for the 2016 Draft South Coast South Coast Air Quality Management Plan would be incomplete.

**This Page Intentionally Left Blank**

# REPORT

**DATE:** July 7, 2016

**TO:** Executive/Administration Committee (EAC)  
Regional Council (RC)

**FROM:** Basil Panas, Chief Financial Officer; (213) 236-1817; panas@scag.ca.gov

**SUBJECT:** CFO Monthly Report

**EXECUTIVE DIRECTOR'S APPROVAL:** 

---

**RECOMMENDED ACTION:**  
For Information Only-No Action Required.

**STRATEGIC PLAN:**  
This item supports SCAG's Strategic Plan Goal, 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

**AUDITS:**  
The Caltrans audit of SCAG's incurred costs and Indirect Cost Allocation Plan is still in process. The auditors will return to conduct compliance testing during the first week of July having now completed all their interviews of SCAG staff. The final report may be issued around December, 2016.

SCAG's outside independent auditors, Vasquez & Co., LLP, have commenced the preliminary work for the FY 2015-16 audit. They will perform the field work in September, 2016.

**BUDGET & GRANTS (B&G):**  
As the end of the fiscal year approaches, B&G staff continues to monitor the progress and expenditures of the FY 2015-16 Overall Work Program (OWP), Indirect Cost and General Fund budgets. At the end of the month, staff will begin work on the FY 2015-16 OWP 4th Quarter Progress Report to Caltrans.

On June 8, 2016 SCAG was awarded two Federal transportation planning grants for a total of \$948,848 for FY 2016-17. The Interstate 105 (I-105) Corridor Sustainability Study was awarded \$500,000 from the Strategic Partnerships Grant Program to develop innovative and sustainable strategies toward addressing the growing needs of the I-105 corridor with an emphasis on multi modal planning. Additionally, \$448,848 from the Sustainable Communities Grant Program was awarded for an Active Transportation Plan in the City of Santa Ana that prioritizes a bikeway network and pedestrian focus areas.

**CONTRACTS:**  
In May 2016, the Contracts Department issued one (1) Request for Proposal (RFP); awarded four (4) contracts; issued five (5) contract amendments; and processed 31 Purchase Orders to support ongoing business and enterprise operations. Contracts staff continued to negotiate better pricing and reduced costs for services. During the month of May 2016, over \$21,413 in budget savings was realized, thus bringing the current fiscal year cumulative budget savings total to approximately \$395,827.

**ATTACHMENT:**  
May 2016 CFO Monthly Status Report





SOUTHERN CALIFORNIA  
**ASSOCIATION of GOVERNMENTS**

# **Office of the Chief Financial Officer**

Monthly Status Report

**MAY 2016**



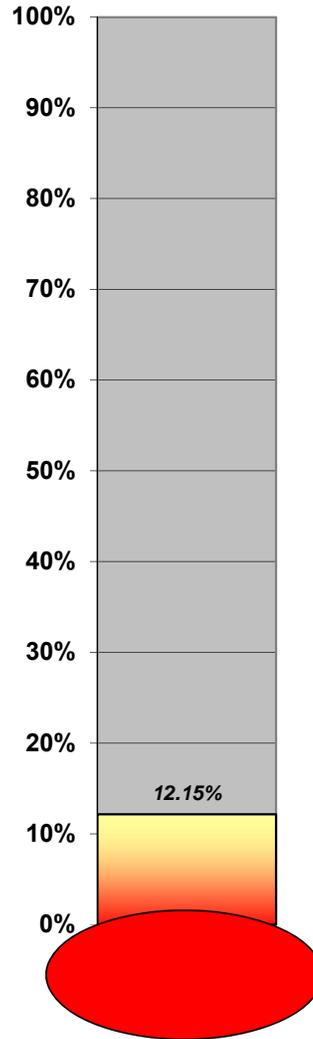
**OVERVIEW**

As of June 15, 2016, 28 cities and counties have renewed their membership while there are two cities in the SCAG region that are still being recruited for membership.

**SUMMARY**

FY17 Membership Dues	<u>\$1,947,180.67</u>
Total Collected	<u>\$236,597.00</u>
Percentage Collected	<u>12.15%</u>

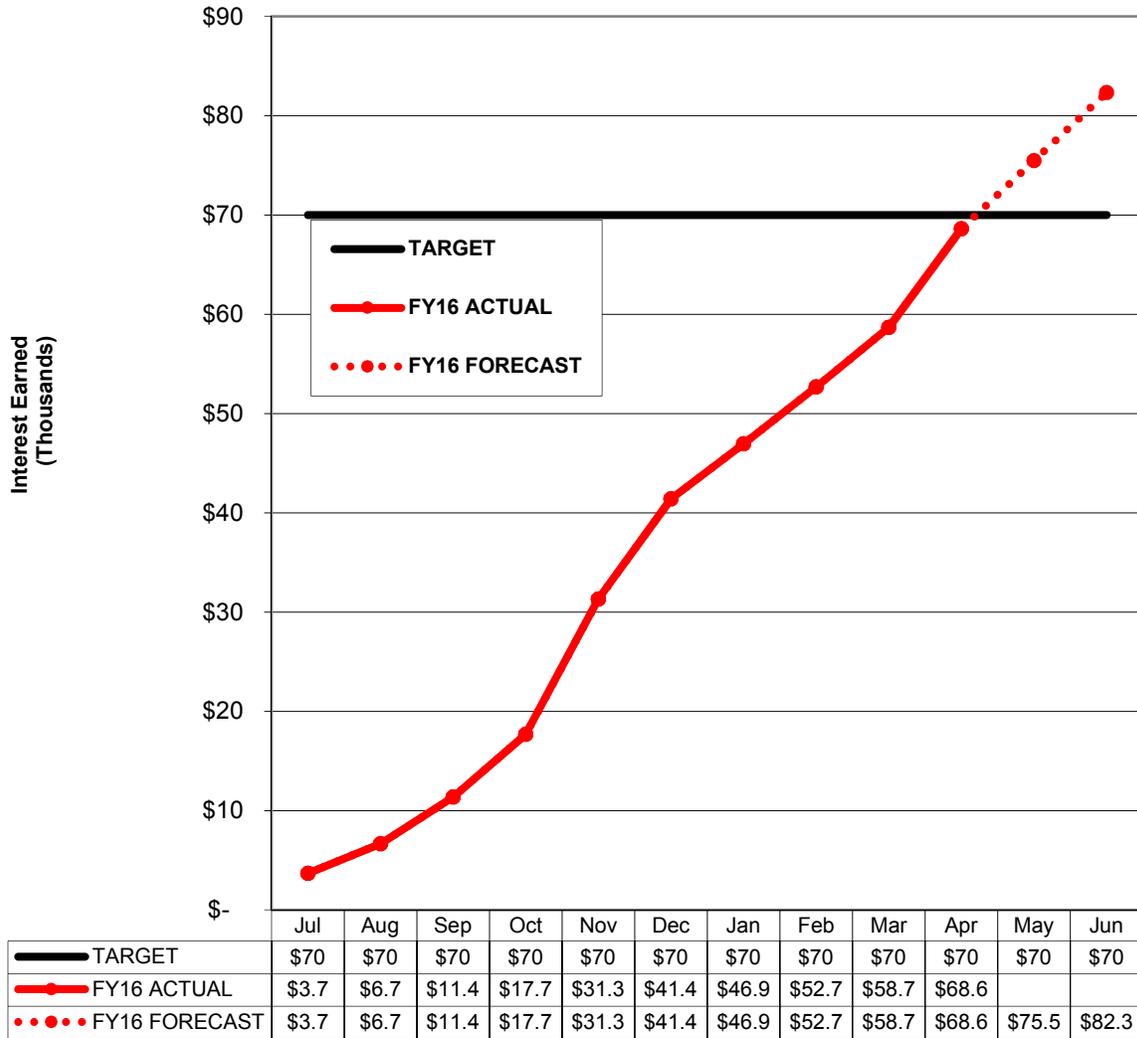
**FY17 Membership Dues Collected**



**Office of the CFO**  
Interest Earnings Variance



**INTEREST EARNINGS VARIANCE**



**OVERVIEW**

Actual interest income is plotted against the target amount. The amount earned through April was \$68,609. The LA County Pool earned 0.95% in April.

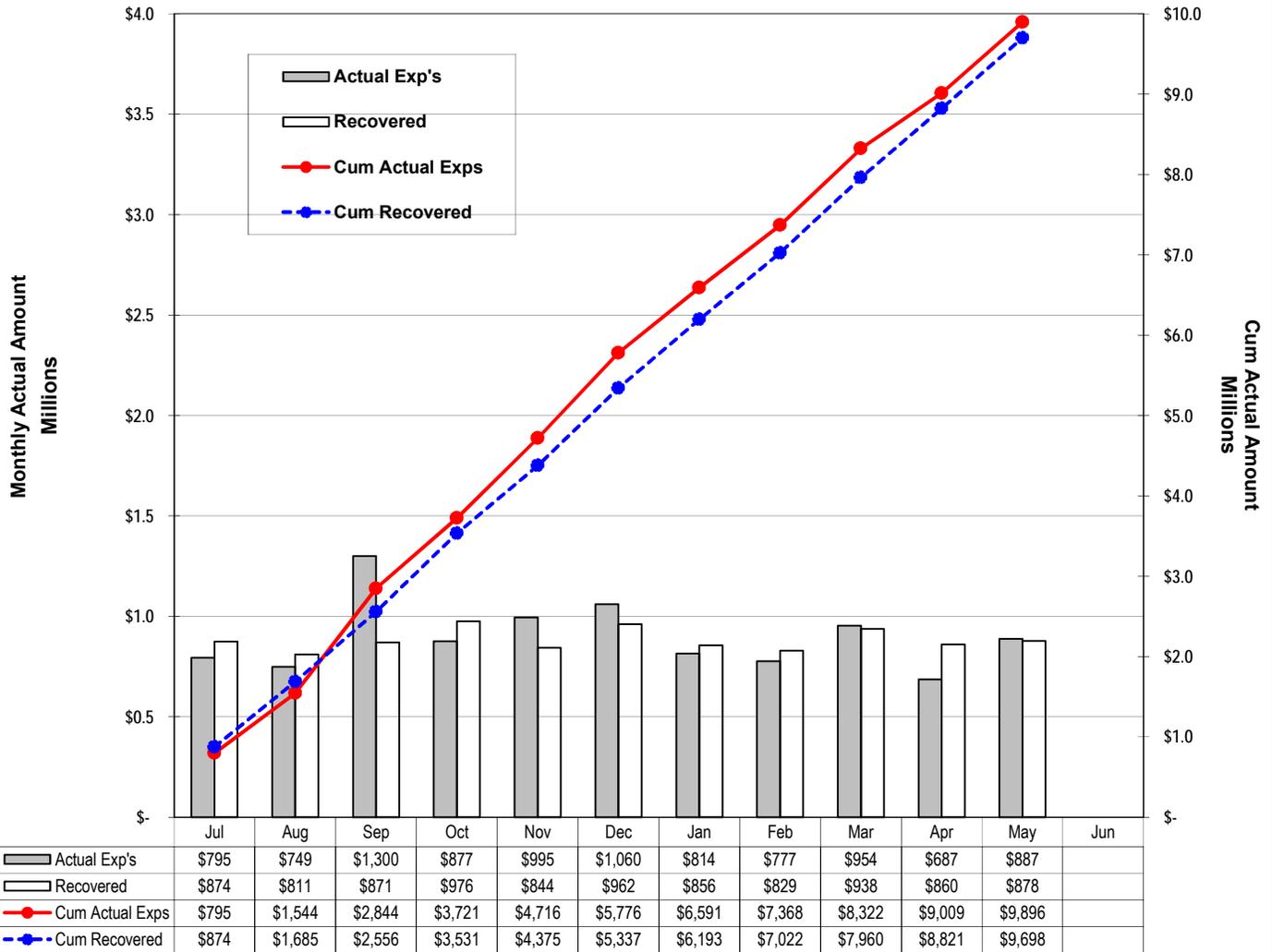
**SUMMARY**

The amount projected for FY16 is \$82,331, which is \$12,331 more than the revised target.



SOUTHERN CALIFORNIA  
ASSOCIATION of GOVERNMENTS

FY16 INDIRECT COST & RECOVERY



OVERVIEW

A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.

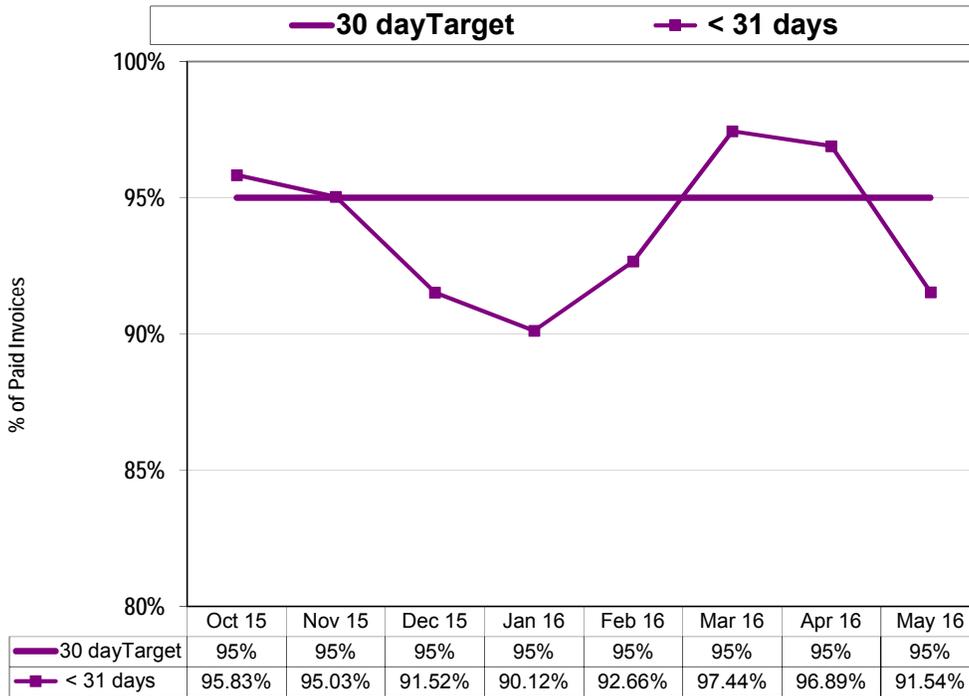
SUMMARY

Through May 2016, SCAG was under-recovered by \$197,757 due to lower than budgeted labor and fringe benefits charges and the recapture of prior over-recovery.

Office of the CFO  
Invoice Aging



INVOICE AGING



OVERVIEW

The percent of total invoices paid within 30 days. The target is to pay 95% of all invoices within 30 days. This goal was not met.

SUMMARY

91.54% of May 2016's payments were made within 30 days of invoice receipt.

At month-end, 40 invoices remained unpaid less than 30 days.

Actual

OVERVIEW

The percent of total invoices paid within 60 and 90 days. The target is to pay 98% of invoices within 60 days and 100% within 90 days.

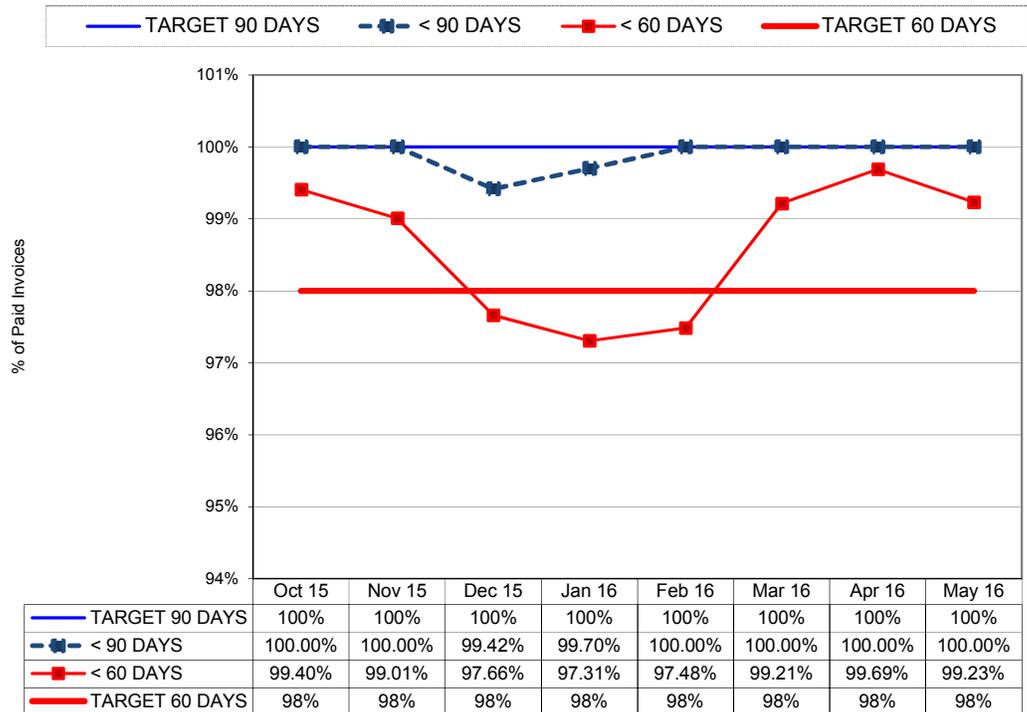
SUMMARY

These goals were met during this period.

99.23% of May 2016's payments were within 60 days of invoice receipt and 100.00% within 90 days. Invoices unpaid 30-60 days totaled 22; 60-90 days: 1; >90 days: 6.



INVOICE AGING



Office of the CFO  
Consolidated Balance Sheet

	4/30/2016	5/31/2016	Incr (decr) to equity	COMMENTS
1				
2	Cash at Bank of the West	\$ 2,601,590	\$ 1,425,786	
3	LA County Investment Pool	\$ 10,668,630	\$ 10,678,572	
4	Cash & Investments	\$ 13,270,220	\$ 12,104,358	\$ (1,165,862) TDA spent on projects
5				
6	Accounts Receivable	\$ 5,543,102	\$ 5,714,960	\$ 171,858 CPG billings are \$164K higher this month
7				
8	Fixed Assets - Net Book Value	\$ 571,047	\$ 553,622	\$ (17,424) Depreciation expense
9				
10	Total Assets	\$ 19,384,369	\$ 18,372,940	\$ (1,011,428)
11				
12	Accounts Payable	\$ (221,641)	\$ (800,806)	\$ (579,165) More invoices came in
13				
14	Employee-related Liabilities	\$ (563,928)	\$ (652,361)	\$ (88,433) May had twelve unpaid workdays, April had ten.
15				
16	Other Current Liabilities	\$ (329,756)	\$ (11,584)	\$ 318,172 Prepaid exp's increased for the JPIA FY17 premium of \$337K
17				
18	Deferred Revenue	\$ (511,935)	\$ (261,800)	\$ 250,135 SR57/60 project used \$175K, Pomona No. Mlink Stn project used \$57K
19				
20	Total Liabilities and Deferred Revenue	\$ (1,627,260)	\$ (1,726,551)	\$ (99,291)
21				
22	Fund Balance	\$ 17,757,108	\$ 16,646,389	\$ (1,110,719)
23				-
24				
	<b>WORKING CAPITAL</b>			
25				
26	Cash	\$ 13,270,220	\$ 12,104,358	\$ (1,165,862)
27	Accounts Receivable	\$ 5,543,102	\$ 5,714,960	\$ 171,858
28	Accounts Payable	\$ (221,641)	\$ (800,806)	\$ (579,165)
29	Employee-related Liabilities	\$ (563,928)	\$ (652,361)	\$ (88,433)
30	Working Capital	\$ 18,027,753	\$ 16,366,151	\$ (1,661,602)

**Office of the CFO**  
Fiscal Year-To-Date Expenditure Report Through May 31, 2016



**COMPREHENSIVE BUDGET**

		<b>Adopted Budget</b>	<b>Amended Budget</b>	<b>Expenditures</b>	<b>Commitments</b>	<b>Budget Balance</b>	<b>% Budget Spent</b>
1	Staff & Allocated Fringe Benefits	210,255	210,255	104,642		105,613	49.8%
2	51001 Allocated Indirect Costs	149,738	149,738	74,495		75,243	49.8%
3	54300 SCAG Consultants	568,383	476,383	312,861	161,444	2,078	65.7%
4	54340 Legal costs	100,000	100,000	86,259	13,741	0	86.3%
6	55441 Payroll, bank fees	15,000	15,000	5,316		9,684	35.4%
7	55510 Office Supplies	20,000	40,000	23,751	734	15,515	59.4%
8	55600 SCAG Memberships	5,250	35,250	27,128	261	7,861	77.0%
9	55610 Professional Membership	13,700	12,700	9,923	2,532	246	78.1%
10	55730 Capital Outlay	542,106	542,106	-		542,106	0.0%
11	55830 Conference - Registration	15,000	15,000	13,908		1,092	92.7%
12	55860 Scholarships	32,000	32,000	30,000		2,000	93.8%
13	55910 RC/Committee Mtgs	-	20,000	18,965	1,035	0	94.8%
14	55914 RC General Assembly	500,000	500,000	191,723	82,230	226,047	38.3%
16	55915 Demographic Workshop	13,000	13,000	7,352		5,648	56.6%
17	55916 Economic Summit	57,000	97,000	96,285	0	715	99.3%
18	55917 Labor Summit	13,500	-	-		0	#DIV/0!
19	55920 Other Meeting Expense	90,000	74,000	66,633	7,368	0	90.0%
20	55930 Miscellaneous other	89,000	81,000	19,844	2,000	59,157	24.5%
21	55940 Stipend - RC Meetings	230,000	230,000	207,210	0	22,790	90.1%
22	56100 Printing	10,000	10,500	323	101	10,076	3.1%
23	58100 Travel - outside SCAG region	50,000	38,000	37,919	0	81	99.8%
24	58101 Travel - local	26,000	31,000	28,688	0	2,312	92.5%
25	58110 Mileage - local	23,500	23,500	17,666	0	5,834	75.2%
26	58150 Staff Lodging Expense	3,000	9,500	7,253		2,247	76.4%
27	58800 RC Sponsorships	112,750	133,250	132,343	0	907	99.3%
28	<b>Total General Fund</b>	<b>2,889,182</b>	<b>2,889,182</b>	<b>1,520,487</b>	<b>271,445</b>	<b>1,097,250</b>	<b>52.6%</b>
29				-			
30	Staff & Fringe Benefits	15,287,307	15,287,901	13,518,653		1,769,248	88.4%
31	51001 Allocated Indirect Costs	10,887,267	10,887,695	9,623,929		1,263,766	88.4%
32	54300 SCAG Consultants	16,316,856	21,138,215	7,970,777	12,291,163	876,276	37.7%
33	54301 Consultants - Other	70,000	199,045	-	12,941	186,105	0.0%
34	54350 Professional Services	207,200	294,300	294,237	0	63	100.0%
35	54360 Pass-through Payments	-	31,557,188	4,005,015	0	27,552,173	12.7%
36	55210 Software Support	176,566	353,666	353,650	0	16	100.0%
37	55280 Third Party Contribution	3,710,826	3,528,781	2,361,221		1,167,560	66.9%
38	55620 Resource Materials - subscrib	832,000	370,005	72,760	101,947	195,298	19.7%
39	55810 Public Notices	50,000	80,000	52,507	4,367	23,126	65.6%
40	55830 Conference - Registration	10,000	10,000	625		9,375	6.3%
41	55920 Other Meeting Expense	26,000	26,000	318	90	25,592	1.2%
42	55930 Miscellaneous - other	194,880	540,330	15,397	250	524,684	2.8%
43	55950 Temp Help	110,248	275,248	101,540	9,679	164,029	36.9%
44	56100 Printing	61,000	56,000	21,223	1,397	33,380	37.9%
45	58100 Travel	288,100	290,600	122,045	0	168,555	42.0%
46	<b>Total OWP</b>	<b>48,228,250</b>	<b>84,894,974</b>	<b>38,513,897</b>	<b>12,421,833</b>	<b>33,959,244</b>	<b>45.4%</b>
47				-			
48	<b>Comprehensive Budget</b>	<b>51,117,432</b>	<b>87,784,156</b>	<b>40,034,384</b>	<b>12,693,279</b>	<b>35,056,493</b>	<b>45.6%</b>

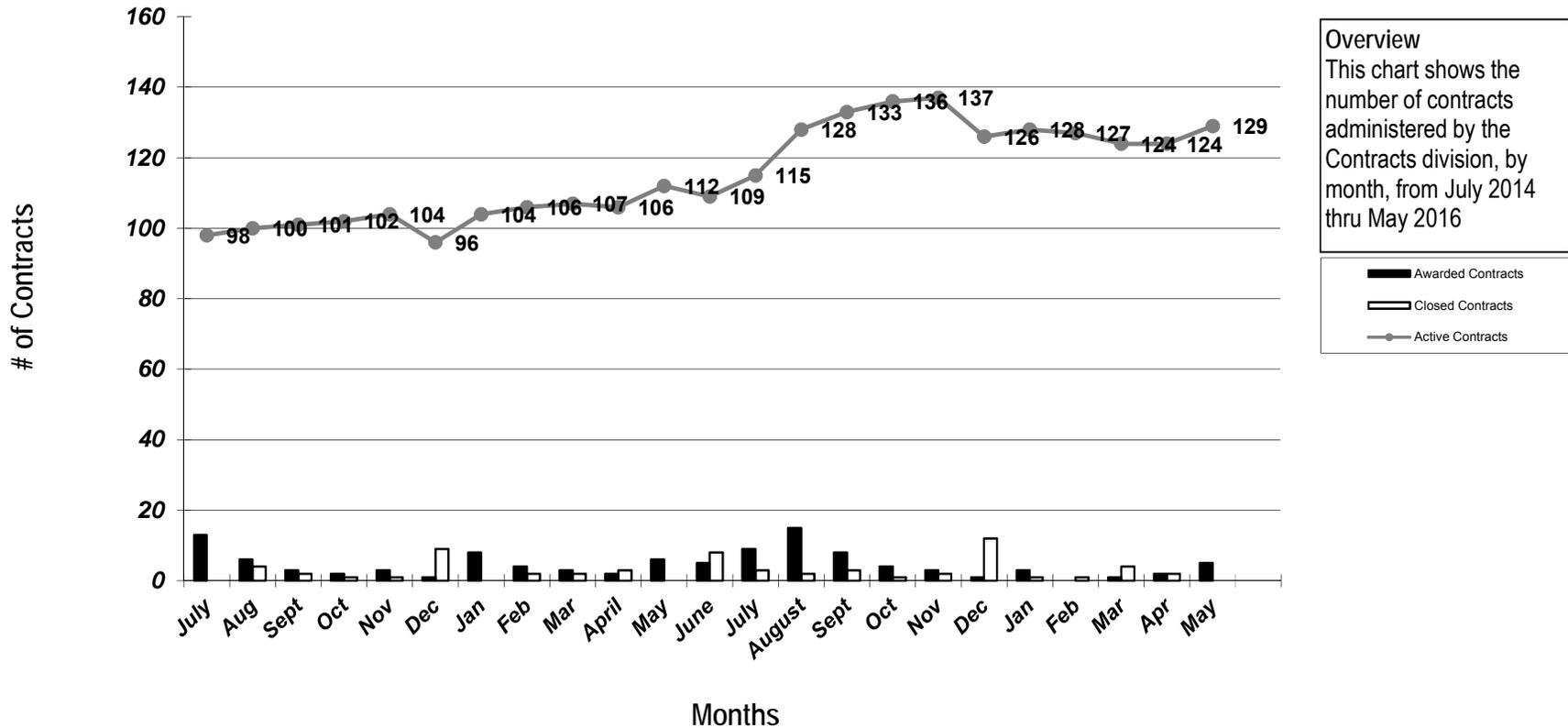
**Office of the CFO**  
*Fiscal Year-To-Date Expenditure Report Through May 31, 2016*



**INDIRECT COST EXPENDITURES**

			<b>Adopted Budget</b>	<b>Amended Budget</b>	<b>Expenditures</b>	<b>Commitments</b>	<b>Budget Balance</b>	<b>% Budget Spent</b>
1	50010	Regular Staff	3,627,908	3,616,908	3,324,183		292,725	91.9%
2	50013	Regular OT	-	4,000	3,854		146	96.4%
3	50014	Interns, Temps, Annuit	81,000	113,157	22,495		90,662	19.9%
5	51000	Allocated Fringe Benefits	2,672,978	2,667,821	2,442,510		225,311	91.6%
6	54300	SCAG Consultants	134,000	211,000	141,194	69,806	0	66.9%
7	54301	Consultants - Other	1,299,359	1,315,359	845,638	273,926	195,794	64.3%
8	54340	Legal	335,000	259,050	162,208	69,306	27,536	62.6%
10	55210	Software Support	460,461	392,961	242,207	52,489	98,265	61.6%
11	55220	Hardware Supp	79,777	89,777	87,903	1,874	0	97.9%
12	55240	Repair & Maint Non-IT	30,000	28,573	28,560	0	13	100.0%
14	55400	Office Rent 818 Offices	1,582,877	1,562,877	1,462,731	100,146	0	93.6%
15	55410	Office Rent Satellite	171,490	151,490	149,233	2,256	0	98.5%
16	55420	Equip Leases	126,186	114,686	68,090	21,202	25,394	59.4%
17	55430	Equip Repairs & Maint	13,323	42,500	42,421	0	79	99.8%
18	55435	Security Services	-	46,500	44,463	2,037	0	95.6%
19	55440	Insurance	144,683	144,683	108,360		36,323	74.9%
20	55441	Payroll / Bank Fees	10,000	14,000	12,483		1,517	89.2%
21	55445	Taxes	-	5,000	4,335	0	665	86.7%
22	55460	Mater & Equip < \$5,000	49,500	40,500	36,395	927	3,178	89.9%
23	55510	Office Supplies	80,000	76,000	58,948	17,051	0	77.6%
24	55520	Graphic Supplies	2,000	4,000	2,690		1,310	67.3%
25	55530	Telephone	175,000	175,000	150,271	4,729	20,000	85.9%
26	55540	Postage	10,000	20,000	20,000		0	100.0%
27	55550	Delivery Services	5,000	4,700	4,637	0	63	98.7%
28	55600	SCAG Memberships	182,151	182,651	153,088	605	28,957	83.8%
30	55620	Res Mats/Subscrip	45,727	66,727	56,225	2,354	8,148	84.3%
31	55700	Deprec - Furn & Fixt	45,000	45,000	40,577		4,423	90.2%
32	55710	Deprec - Computer Equipment	75,000	75,000	20,432		54,568	27.2%
33	55715	Amortiz - Software	108,791	108,791	-		108,791	0.0%
34	55720	Amortiz - Leasehold Improvements	10,000	10,000	7,549		2,451	75.5%
35	55800	Recruitment Notices	15,000	15,000	1,374	13,626	0	9.2%
36	55801	Recruitment - other	25,000	25,000	14,453	10,547	0	57.8%
37	55810	Public Notices	5,000	5,000	800		4,200	16.0%
38	55820	Training	81,500	75,000	47,390	24,943	2,668	63.2%
39	55830	Conference/workshops	16,850	18,850	4,317		14,533	22.9%
40	55920	Other Mtg Exp	5,200	3,200	25		3,175	0.8%
41	55930	Miscellaneous - other	8,000	9,500	6,669	454	2,377	70.2%
42	55950	Temp Help	38,500	27,500	16,481	11,019	0	59.9%
43	56100	Printing	21,000	19,000	857	3,135	15,009	4.5%
44	58100	Travel - Outside	96,800	87,800	26,869		60,931	30.6%
45	58101	Travel - Local	11,450	13,050	12,448		602	95.4%
46	58110	Mileage - Local	45,725	31,525	13,190		18,335	41.8%
49	58200	Travel - Reg Fees	-	9,100	7,627		1,474	83.8%
50	58450	Fleet Vehicle	2,000	1,000	-		1,000	0.0%
51		<b>Total Indirect Cost</b>	<b>11,929,236</b>	<b>11,929,236</b>	<b>9,896,181</b>	<b>682,432</b>	<b>1,350,623</b>	<b>83.0%</b>

## SCAG Contracts (Year to Date)



### Summary

The chart shows that the Contracts Department is managing 129 active consultant contracts. Seventy of these are Cost Plus Fixed Fee contracts, 21 are fixed price contracts, and the remaining 38 are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). The Contracts Department anticipates issuing approximately five (5) contracts for the remainder of FY 2015-16. Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.



<b>GROUPS</b>	<b>Authorized Positions</b>	<b>Filled Positions</b>	<b>Vacant Positions</b>
Executive	5	4	1
Legal	3	2	1
Strategy, Policy & Public Affairs	21	20	1
Administration	42	40	2
Planning & Programs	69	63	6
<b>Total</b>	<b>140</b>	<b>129</b>	<b>11</b>

**OTHER POSITIONS**

<b>GROUPS</b>	<b>Limited Term Positions</b>	<b>Temp Positions</b>	<b>Agency Temps</b>
Executive	0	0	0
Legal	0	0	0
Strategy, Policy & Public Affairs	2	1	0
Administration	3	2	0
Planning & Programs	3	18	0
<b>Total</b>	<b>8</b>	<b>21</b>	<b>0</b>