



REGULAR MEETING

EXECUTIVE/ADMINISTRATION COMMITTEE

Main Office

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12th Floor
Los Angeles, California
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www.scag.ca.gov

Officers

President
Michele Martinez, Santa Ana

First Vice President
Margaret E. Finlay, Duarte

Second Vice President
Alan Wapner, Ontario

Immediate Past President
Cheryl Viegas-Walker, El Centro

Executive/Administration Committee Chair

Michele Martinez, Santa Ana

Policy Committee Chairs

Community, Economic and
Human Development
Bill Jahn, Big Bear Lake

Energy & Environment
Carmen Ramirez, Oxnard

Transportation
Barbara Messina, Alhambra

Thursday, September 1, 2016
9:00 a.m. – 10:00 a.m.

SCAG Main Office
818 W. 7th Street, 12th Floor
Board Room
Los Angeles, CA 90017
(213) 236-1800

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Tess Rey-Chaput at (213) 236-1908 or via email at REY@scag.ca.gov. Agendas & Minutes for the EAC are also available at: <http://www.scag.ca.gov/committees/Pages/default.aspx>

SCAG, in accordance with the Americans with Disabilities Act (ADA), will accommodate persons who require a modification of accommodation in order to participate in this meeting. SCAG is also committed to helping people with limited proficiency in the English language access the agency's essential public information and services. You can request such assistance by calling (213) 236-1908. We request at least 72 hours (three days) notice to provide reasonable accommodations and will make every effort to arrange for assistance as soon as possible.

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Executive/Administration Committee

Members – September 2016

Chair	1.	Hon. Michele Martinez	<i>Santa Ana</i>	District 16
1 st Vice-Chair	2.	Hon. Margaret E. Finlay	<i>Duarte</i>	District 35
2 nd Vice-Chair	3.	Hon. Alan Wapner	<i>Ontario</i>	SANBAG
Imm. Past Pres.	4.	Hon. Cheryl Viegas-Walker	<i>El Centro</i>	District 1
TC Chair	5.	Hon. Barbara Messina	<i>Alhambra</i>	District 34
TC Vice Chair	6.	Hon. Karen Spiegel	<i>Corona</i>	District 63
CEHD Chair	7.	Hon. Bill Jahn	<i>Big Bear Lake</i>	District 11
CEHD Vice Chair	8.	Hon. Larry McCallon	<i>Highland</i>	District 7
EEC Chair	9.	Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
EEC Vice Chair	10.	Hon. Ross Chun	<i>Aliso Viejo</i>	TCA
LCMC Chair	11.	Hon. Pam O'Connor	<i>Santa Monica</i>	District 41
LCMC Vice Chair	12.	Hon. Clint Lorimore	<i>Eastvale</i>	District 4
President's Appt.	13.	Hon. Jan Harnik	<i>Palm Desert</i>	RCTC
President's Appt.	14.	Hon. Ali Saleh	<i>Bell</i>	District 27
President's Appt.	15.	Hon. Rex Richardson	<i>Long Beach</i>	District 29
President's Appt.	16.	Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
	17.	Hon. Mary "Maxine" Resvaloso	<i>Torres-Martinez, Desert Cahuilla Indians</i>	Tribal Gov. Rep.
	18.	Mr. Randall Lewis	<i>Lewis Group of Companies</i>	Ex-Officio Member

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EXECUTIVE/ADMINISTRATION COMMITTEE

AGENDA

SEPTEMBER 1, 2016

The Executive/Administration Committee (EAC) may consider and act upon any of the items listed on the agenda regardless of whether they are listed as information or action items.

CALL TO ORDER & PLEDGE OF ALLEGIANCE

(Hon. Michele Martinez, Chair)

PUBLIC COMMENT PERIOD – Members of the public desiring to speak on items on the agenda, or items not on the agenda, but within the purview of the Committee, must fill out and present a Public Comment Card to the Assistant prior to speaking. Comments will be limited to three (3) minutes per speaker provided that the Chair has the discretion to reduce this time limit based upon the number of speakers. The Chair may limit the total time for all public comments to twenty (20) minutes.

REVIEW AND PRIORITIZE AGENDA ITEMS

EXECUTIVE DIRECTOR'S REPORT

(Hasan Ikhata, Executive Director)

- California Housing Summit – October 11, 2016, The L.A. Hotel

PRESIDENT'S REPORT

- C40's Mobility Management Network (Europe Study Tour Delegation) – Recap

CONSENT CALENDAR

Page No.

Approval Items

- | | | |
|--|-------------------|----------|
| 1. <u>Minutes of the Meeting, July 7, 2016</u> | Attachment | 1 |
| 2. <u>Proposed 2017 Meeting Schedule of the Regional Council and Policy Committees</u> | Attachment | 6 |
| 3. <u>SCAG Participation at the International Urban Transport Development Forum in Beijing, China, hosted by Beijing Municipal Commission of Transport (BMCT)</u> | Attachment | 7 |
| 4. <u>Contract Amendment that exceeds \$75,000 as well as 30% of the contract's original value: Contract No. 13-023-C1, Regional Transportation Plan Implementation and Project Management Assistance Services</u> | Attachment | 9 |

EXECUTIVE/ADMINISTRATION COMMITTEE

AGENDA

SEPTEMBER 1, 2016

<u>CONSENT CALENDAR - continued</u>	<u>Page No.</u>
5. <u>Contract Amendment that exceeds 30% of the contract's original value: Contract No. 15-004-C1, 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS) Program Environmental Impact Report (PEIR)</u>	Attachment 60
6. <u>2016 Statement of Investment Policy</u>	Attachment 67
7. <u>AB 1889 (Mullin) High-Speed Rail Authority: High-Speed Train Operation</u>	Attachment 75
8. <u>SCAG Sponsorship</u>	Attachment 78

Receive and File

9. <u>ARB SB 375 Regional Greenhouse Gas (GHG) Emissions Reduction Target Update Process</u>	Attachment 80
10. <u>Housing Summit – October 11, 2016</u>	Attachment 83
11. <u>Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000</u>	Attachment 90
12. <u>September State and Federal Legislative Update</u>	To be distributed at the meeting

ACTION/DISCUSSION ITEM

13. <u>Resolution No. 16-582-1 Regarding Acceptance of Office of Traffic Safety Grant Funds to Support the Active Transportation Safety and Encouragement Campaign</u> <i>(Basil Panas, Chief Financial Officer)</i>	Attachment 100
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Recommended Action: Approve Resolution No. 16-582-1 authorizing SCAG to accept Office of Traffic Safety grant funds to support the Go Human Campaign.

CFO MONTHLY REPORT *(Basil Panas, Chief Financial Officer)*

Attachment 104

FUTURE AGENDA ITEM/S

ANNOUNCEMENT/S

ADJOURNMENT

The next regular meeting of the EAC is scheduled for Thursday, September 29, 2016 (in lieu of the October 6 meeting) and will held at the SCAG Los Angeles Office.

**SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS
EXECUTIVE/ADMINISTRATION COMMITTEE (EAC)
MINUTES OF THE MEETING
THURSDAY, JULY 7, 2016**

THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE EXECUTIVE/ADMINISTRATION COMMITTEE (EAC). AN AUDIO RECORDING OF THE ACTUAL MEETING IS AVAILABLE AT SCAG, 818 W. 7TH STREET, 12TH FLOOR, LOS ANGELES, CA 90017.

The Executive/Administration Committee (EAC) of the Southern California Association of Governments (SCAG) held its meeting at the SCAG Los Angeles office. A quorum was present.

Members Present

Hon. Michele Martinez, <i>Chair</i>	<i>Santa Ana</i>	District 16
Hon. Margaret E. Finlay <i>1st Vice Chair</i>	<i>Duarte</i>	District 35
Hon. Alan Wapner, <i>2nd Vice Chair</i>	<i>Ontario</i>	SANBAG
Hon. Ross Chun	<i>Aliso Viejo</i>	TCA
Hon. Jan Harnik	<i>Palm Desert</i>	RCTC
Hon. Bill Jahn, <i>Chair, CEHD</i>	<i>Big Bear Lake</i>	District 11
Mr. Randall Lewis	<i>Lewis Group of Companies</i>	Ex-Officio Member
Hon. Clint Lorimore, <i>Vice Chair, LCMC</i>	<i>Eastvale</i>	District 4
Hon. Larry McCallon, <i>Vice Chair, CEHD</i>	<i>Highland</i>	District 7
Hon. Barbara Messina, <i>Chair, TC</i>	<i>Alhambra</i>	District 34
Hon. Carmen Ramirez, <i>Chair, EEC</i>	<i>Oxnard</i>	District 45
Hon. Karen Spiegel, <i>TC Vice Chair</i>	<i>Corona</i>	District 63

Members Not Present

Hon. Cheryl Viegas-Walker, <i>Immediate Past</i>	<i>El Centro</i>	District 1
Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
Hon. Pam O'Connor, <i>Chair, LCMC</i>	<i>Santa Monica</i>	District 41
Hon. Mary "Maxine" Resvaloso	<i>Torres-Martinez Desert Cahuilla Indians</i>	Tribal Nations Rep.
Hon. Rex Richardson	<i>Long Beach</i>	District 29
Hon. Ali Saleh	<i>Bell</i>	District 27

Staff Present

Debbie Dillon, Deputy Executive Director
 Darin Chidsey, Director, Strategy, Policy and Public Affairs
 Huasha Liu, Director, Land Use and Environmental Planning
 Basil Panas, Chief Financial Officer
 Naresh Amatya, Acting Director, Transportation Planning
 Joe Silvey, General Counsel
 Justine Block, Deputy Legal Counsel
 Tess Rey-Chaput, Office of Regional Council Support
 Carmen Summers, Sr. Administrative Assistant



CALL TO ORDER AND PLEDGE OF ALLEGIANCE

The Honorable Michele Martinez called the meeting to order at 9:00 a.m. and asked Councilmember Larry McCallon to lead the Pledge of Allegiance.

PUBLIC COMMENT PERIOD

There were no public comment speakers.

REVIEW AND PRIORITIZE AGENDA ITEMS

There was no reprioritization of agenda items.

EXECUTIVE DIRECTOR'S REPORT

In the absence of Hasan Ikhata, Executive Director, Darin Chidsey, Director of Strategy, Policy and Public Affairs, reported on the highlights of the Executive Director's Report. He reported that the Air Resources Board (ARB) accepted the determination that SCAG's 2016-2040 Regional Transportation Plan and Sustainable Communities Strategy (2016 RTP/SCS) and would meet state established 2020 and 2035 regional greenhouse gas (GHG) emissions reduction targets. Mr. Chidsey announced the National Association of Government Communicators recently recognized SCAG's Go Human Campaign honoring excellence, innovation and creativity in government communication. He provided an update regarding AB 2170, a SCAG sponsored bill, which would take the new Federal FAST ACT money that was appropriated to states on a formula for freight projects and put it into the trade corridor improvement fund. Mrs. Chidsey stated that the TCIF program has been a great success form our regional transportation partners since it inception in 2006. Although there was a hurdle for AB 2170 in the Senate (was double-referred), through ongoing negotiations, it is expected to be at the Governor's desk without one "no" vote. He also stated that while we did accept a minor amendment, we expect this to be unchallenged. With regard to the other part of the FAST ACT freight monies, the FASTLANE competitive grant program, Mr. Chidsey reported that the SCAG region submitted 16 applications worth \$500 million and that none of these projects were selected for funding. He expressed disappointment since this is a \$760 million worth of projects nationwide and the SCAG region did not even get at least one. Mr. Chidsey reassured the members that analysis is being done as to why the submitted projects from the SCAG region were unsuccessful and stated that we will work with our regional agencies and Congressional delegation on how to address this for future rounds. He also stated that there is a possibility that some of the grant applications may receive some TIGER money. If not, Mr. Chidsey encouraged that the agency strongly urge our Congressional delegation—for a region that has 40% of goods coming in—and not to get a penny of those dollars that were specifically dedicated to improve freight and goods movement system is unacceptable.

With respect to SB 743 CEQA Guidelines issue, Mr. Chidsey reported that the OPR postponed the rulemaking process to October 2016. He asked Huasha Liu, Director, Land Use and Environmental Planning to provide an update. Ms. Liu reported that she had several meetings with OPR and other state agencies and MPOs and had expressed concerns of the changes being proposed under the CEQA Guidelines. After several discussions, Ms. Liu stated the OPR postponed the rulemaking process from July to October, and to arrive at solutions on how we can meaningfully and effectively implement the

2016 RTP/SCS; otherwise, more than 2,000 projects that will be impacted with the current proposal. Ms. Liu will continue to provide an update to the Board on the progress of this matter.

PRESIDENT'S REPORT

President Michele Martinez provided a recap of the 27th Annual Demographic Workshop, "*The Continued Rise of the Millennials*," which was jointly hosted by SCAG and the University of Southern California (USC) Price School of Public Policy, held on June 13, 2016. Huasha Liu, Director, Land Use and Environmental Planning, also provided a report on the highlights of the workshop and emphasized that SCAG's Planning and Research is leading the country and acknowledged her staff for their collaboration with USC professors for identifying the demographic trends and shifts that impact planning and public policy especially the millennials' impact on housing. Continued discussions on these challenges will be addressed at the upcoming California Housing Summit scheduled for October 11, 2016, at The L.A. Hotel, Downtown Los Angeles.

President Martinez provided a recap of the EAC Retreat, held at the DoubleTree Hotel, Santa Ana, on June 9 – 10, 2016. She noted guest speakers who provided presentations related to water, housing, earthquake initiative, economy and regional equity, goods movement, and SCAG's Strategic Plan.

CONSENT CALENDAR

Approval Items

1. Minutes of the June 2, 2016 Regular Meeting
2. Final Report related to the California Strategic Growth Council's 2012 Sustainable Communities Planning Grant and Incentive Program
3. Contracts \$200,000 or Greater: Contract No. 16-040B-C1 through 16-040B-C9, Information Technology (IT) Technical Project Resources
4. Contracts \$200,000 or Greater: Contract No. 16-042-C1, Active Transportation Safety and Encouragement Campaign (Tactical Urbanism)
5. Contracts \$200,000 or Greater: Contract No. 16-039-C1, Metro Green Line Extension/Orange County to Los Angeles International Airport Connectivity Study
6. SB 1465 (De León) – Public Contracts: 2024 Olympic Games and Paralympic Games – SUPPORT

Joe Silvey, General Counsel, asked the EAC to consider an amendment to Agenda Item No. 1, Minutes of the June 2, 2016 Meeting, to add the statement, "*The Executive Director was not present at the dais during the discussion of his contract.*"

A MOTION was made (Jahn) to approve Item No. 1, as amended, and approve Agenda Item Nos. 2 through 6. Motion was SECONDED (Finlay) and passed by the following votes:

FOR: Martinez, Finlay, Wapner, Chun, Harnik, Jahn, Lorimore, McCallon, Messina, Ramirez and Spiegel (11).

AGAINST: None (0).

ABSTAIN: None (0).

Receive and File

7. Housing Summit, October 11, 2016
8. 2017 Active Transportation Program (ATP) Update
9. Highlights from the 27th Annual SCAG/USC Demographic Workshop – June 13, 2016
10. Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000
11. July State and Federal Legislative Update

A MOTION was made (Messina) to Receive and File Item Nos. 7 through 11. Motion was SECONDED (Finlay) and passed by the following votes:

FOR: Martinez, Finlay, Wapner, Chun, Harnik, Jahn, Lorimore, McCallon, Messina, Ramirez and Spiegel (11).

AGAINST: None (0).

ABSTAIN: None (0).

CFO MONTHLY REPORT

Basil Panas, CFO, provided highlights of the CFO Monthly Financial Report.

FUTURE AGENDA ITEMS

None

ANNOUNCEMENT

None

ADJOURNMENT

There being no further business, President Martinez adjourned the EAC meeting at 9:30 a.m. The next regular meeting of the EAC is scheduled for Thursday, September 1, 2016 at the SCAG Los Angeles Office.



EXECUTIVE/ADMINISTRATION COMMITTEE ATTENDANCE REPORT

	Members	Representing	X = County Represented						2016						
			IC	LA	OC	RC	SB	VC	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC
1	Martinez, Michele	Santa Ana, District 16			X				X	X					
2	Finlay, Margaret	Duarte, District 35		X					X	X					
3	Wapner, Alan	SANBAG					X		X	X					
4	Walker-Viegas, Cheryl	El Centro, District 1	X					X	X						
5	Buscaino, Joe	Los Angeles, District 62		X					X						
6	Chun, Ross	Aliso Viejo, TCA			X					X					
7	Harnik, Jan	Palm Desert, RCTC				X			X	X					
8	Jahn, Bill	Big Bear Lake, District 11					X		X	X					
9	Lewis, Randall, Ex Officio	Lewis Op. Corp., Ex-Officio							X	X					
10	Lorimore, Clint	Eastvale, District 4				X			X	X					
11	McCallon, Larry	Highland, District 7					X		X	X					
12	Messina, Barbara	Alhambra, District 34		X					X	X					
13	O'Connor, Pam	Santa Monica, District 41		X					X						
14	Ramirez, Carmen	Oxnard, District 45						X		X					
15	Resvaloso, Mary "Maxine	Torres-Martinez Desert Cahuilla Indians				X									
16	Richardson, Rex	Long Beach, District 29		X											
17	Saleh, Ali	Bell, District 27		X					X						
18	Spiegel, Karen	Corona, District 63				X			X	X					



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PROPOSED 2017 MEETING SCHEDULE REGIONAL COUNCIL AND POLICY COMMITTEES

All Regular Meetings are scheduled on the 1st Thursday of each month (Approved by the Regional Council: _____)	
Executive/Administration Committee (EAC)	9:00 AM – 10:00 AM
Community, Economic and Human Development Committee (CEHD)	10:00 AM – 12:00 PM
Energy and Environment Committee (EEC)	10:00 AM – 12:00 PM
Transportation Committee (TC)	10:00 AM – 12:00 PM
Regional Council (RC)	12:15 PM – 2:00 PM

Main Office
 818 West 7th Street
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January 5, 2017

February 2, 2017

March 2, 2017

April 6, 2017

May 4 – 5, 2017

SCAG Regional Conference and General Assembly, JW Marriott Desert Springs

June 1, 2017

July 6, 2017

August 3, 2017 (DARK)

September 7, 2017

(Note: League of California Cities Annual Conference, Sacramento, CA; Sep. 13 - 15)

October 5, 2017

November 2, 2017

December 7, 2017

**SCAG 8th Annual Economic Summit, The L.A. Hotel, Downtown Los Angeles
 (in lieu of the regularly scheduled Regional Council and Policy Committees' Meetings)**

Officers
 President
 Michele Martinez, Santa Ana
 First Vice President
 Margaret E. Finlay, Duarte
 Second Vice President
 Alan Wapner, San Bernardino Associated Governments
 Immediate Past President
 Cheryl Viegas-Walker, El Centro

Executive/Administration Committee Chair
 Michele Martinez, Santa Ana

Policy Committee Chairs
 Community, Economic and Human Development
 Bill Jahn, Big Bear Lake
 Energy & Environment
 Carmen Ramirez, Oxnard
 Transportation
 Barbara Messina, Alhambra

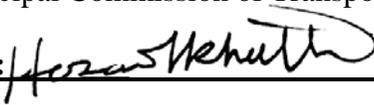
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DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Hasan Ikhata, Executive Director, ikhata@scag.ca.gov, (213) 236-1944

SUBJECT: SCAG Participation at the International Urban Transport Development Forum in Beijing, China, hosted by Beijing Municipal Commission of Transport (BMCT)

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Approve the participation of SCAG staff, Huasha Liu, to the International Urban Transport Development Forum 2016 hosted by Beijing Municipal Commission of Transport (BMCT) in Beijing, China, on October 24-25, 2016.

EXECUTIVE SUMMARY:

The Beijing Municipal Commission of Transport (BMCT) is holding the International Urban Transport Development Forum 2016 on October 24 – 25, 2016. The conference will be focusing on Sustainable Urban Transport and Integrated City Cluster Development. Huasha Liu, Director of Land Use and Environment, as a professional and bilingual staff, was invited to be one of speakers presenting City Cluster Development Experience in Southern California. BMCT will cover the costs associated with the trip. Keynote speakers include mayors or former mayors from large cities in China. In addition to SCAG from the United States, BMCT has also invited agencies from other countries including Japan, Germany, Singapore, Mexico and England.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan, Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies, Objective a) Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans and Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies, Objective a) Develop and maintain planning models that support regional planning and Objective c) Maintain a leadership role in the modeling and planning data/GIS communities.

BACKGROUND:

The International Urban Transport Development Forum 2016 is jointly hosted by Beijing Municipal Commission of Transport (BMCT) and Beijing Municipal Foreign Affairs Office (BMFAO) and co-organized by Beijing Transport Research Center (BTRC) and World Resources Institutes (WRI). The forum, planned to be held on October 24-25th 2016, will be focusing on Sustainable Urban Transport and Integrated City Cluster Development. Huasha Liu, Director of Land Use and Environment, as a professional and bilingual staff, was invited to be one of speakers presenting City Cluster Development Experience in Southern California. BMCT will be sponsoring the SCAG staff for the entire trip to Beijing, including conference fees, airfare costs, hotel costs, meals and any other expenses incurred on



REPORT

the trip. While BMCT will sponsor and cover all costs, there are other travel-related costs/incidentals around \$200 , such as visa expenses and mileages in domestic travel, that will be covered by SCAG.

The International Urban Transport Development Forum has been held every other year since 2012. It has provided a platform to exchange best practices and lessons learned in urban development across the world, a channel to discuss challenges and trends in transport development. The forum is aimed to assist cities to seek solutions for transport problems and draw blueprints for future mobility development through establishing a collaborative relationship among governments, think tanks, non-government organizations and foundations to promote sustainable development. For the last two forums in the past years, over 650 participants from over 19 Chinese cities and 10 international cities have attended the forum.

In the coming October, the Forum will focus on the city-cluster development, regional collaboration on GHG and emission reduction, transport demand management, and smart transport development. Officials and professionals from Europe, Asia, North America, and South America have been invited to the forum. In addition, government leaders from national ministries and Chinese cities will be present in the forum.

FISCAL IMPACT:

The time and salary for staff member(s) is budgeted in the FY 2016/17 OWP. The Beijing Municipal Commission of Transport (BMCT) will be sponsoring the SCAG staff for the entire trip to Beijing, including conference fees, airfare costs, hotel costs, meals and any other expenses incurred on the trip. While BMCT will sponsor and cover all costs, there are other travel-related costs/incidentals around \$200 that will be covered by SCAG.

ATTACHMENT:

None.

DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Contract Amendment that exceeds \$75,000 as well as 30% of the contract's original value: Contract No. 13-023-C1, Regional Transportation Plan Implementation and Project Management Assistance Services

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:

Approve Amendment No. 12 to Contract No. 13-023-C1 with System Metrics Group, Inc. (SMG), in an amount not-to-exceed \$280,788, to provide additional regional transportation plan implementation and project management assistance services, increasing the contract value from \$2,181,534 to \$2,462,322.

EXECUTIVE SUMMARY:

The purpose of this amendment is to augment the current contract scope of work and associated budget to support the development of an implementation framework (as is federally required) for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS); provide technical support to facilitate Project Study Report (PSR) development for the East West Freight Corridor (EWFC) in partnership with Caltrans; provide staff training and pavement management system database update; and to provide technical support to further SCAG's congestion pricing studies. Amendment 12, when combined with a previous amendments, exceeds \$75,000 as well as 30% of the contract's original value. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council's approval.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies, Objective a: Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

BACKGROUND:

Staff recommends executing the following contracts \$200,000 or greater:

<u>Consultant/Contract #</u>	<u>Contract Purpose</u>	<u>Contract Amount</u>
System Metrics Group, Inc. (SMG) (13-023-C1)	The consultant shall provide additional regional transportation plan implementation and project management assistance services.	\$280,788

FISCAL IMPACT:

Funding of \$280,788 is available in the FY 2016-17 budget in projects 015-0159.04 (\$250,000) and 130-0162.10 (\$30,757).

ATTACHMENT:

Consultant Contract No. 13-023-C1



CONSULTANT CONTRACT 13-023-C1 AMENDMENT NO. 12

Consultant: System Metrics Group, Inc. (SMG)

Background & Scope of Work: In April 2013, SCAG awarded Contract No. 13-023-C1 to System Metrics Group to provide technical support with key implementation initiatives for the 2012-2035 Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS), including project management support services and facilitation of the technical groundwork necessary for the development of the 2016 RTP/SCS (referred to as Project Component 1: 2012-2035 RTP/SCS Implementation, and Technical Support for the 2016-2040 RTP/SCS, respectively).

In November 2013 staff subsequently amended contract 13-023-C1 (via Amendment 2 and 11) to among other things, enable the consultant to assist with developing the next steps for key financial plan strategies identified in the 2012 RTP/SCS, with particular emphasis on coordinating with key business group representation. In consultation with SCAG, the Consultant identified key transportation funding elements to be explored.

The consultant is also providing project management assistance to coordinate multiple consultant activities specific to conducting the value pricing project studies namely, cordon/area pricing and regional express lane system pre-implementation activities (referred to as Project Component 2: Project Management Assistance and Technical Support for Coordinating SCAG's Regional Value Pricing Pre-Implementation Initiatives and related RTP strategies).

The purpose of this amendment is to augment the current contract scope of work and associated budget to support the development of an implementation framework (as is federally required) for the 2016-2040 RTP/SCS (Plan); provide technical support to facilitate Project Study Report (PSR) development for the East West Freight Corridor (EWFC) in partnership with Caltrans; provide staff training and pavement management system database update; and to provide technical support to further SCAG's congestion pricing studies.

Amendment 12 increases the contract value from \$2,181,534 to \$2,462,322.

Project's Benefits & Key Deliverables: Project primary benefit entails development of critical milestones and documentation of the region's progress in implementing the 2016-2040 RTP/SCS. The key deliverables will include, but are not limited to, the identification of potential challenges with implementing key initiatives, new opportunity areas, and recommended action steps.

Strategic Plan: This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies, Objective a: Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

Amendment Amount:	Amendment No. 12	\$280,788
	Amendment No. 11	\$267,237
	Amendment No. 10 (administrative - no change to contract's value)	\$0
	Amendment No. 9 (administrative - no change to contract's value)	\$0

Amendment No. 8 (administrative - no change to contract's value)	\$0
Amendment No. 7 (administrative - no change to contract's value)	\$0
Amendment No. 6 (administrative - no change to contract's value)	\$0
Amendment No. 5 (administrative - no change to contract's value)	\$0
Amendment No. 4 (administrative - no change to contract's value)	\$0
Amendment No. 3 (administrative - no change to contract's value)	\$0
Amendment No. 2	\$74,875
Amendment No. 1 (administrative - no change to contract's value)	\$0
Original Contract Value	<u>\$1,839,422</u>
Total contract value is not-to-exceed	\$2,462,322

Amendment 12, when combined with a previous amendment, exceeds \$75,000 as well as 30% of the contract's original value. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council's approval.

Contract Period: April 23, 2013 through June 30, 2017

Project Numbers:	010.SCG00170.07	\$390,896
	010.SCG02106.02	\$202,711
	015.SCG00159.02	\$247,177
	015.SCG00159.03	\$182,547
	015.SCG00159.04	\$1,201,221
	130.SCG00162.10	\$237,770

Funding of \$280,788 is available in the FY 2016-17 budget in projects 015-0159.04 (\$250,000) and 130-0162.10 (\$30,757).

Consolidated Planning Grant (CPG) – Federal Transit Administration (FTA) and Transportation Development Act (TDA)

Basis for the Amendment: To date, SMG's technical support has facilitated the development of critical Plan components, including updates to regional performance measures, assessment of regional pavement management needs to better gauge funding needs of our local streets and roads. SMG's technical support has also facilitated the development of concepts of operations for the SCAG region's value pricing initiatives. Additionally, SMG has assisted SCAG in furthering concepts for the initial operating segment of the EWFC. Following the adoption of the 2016-2040 RTP/SCS, SCAG is required by the Federal Code of Regulations (CFR) Title 23 Part 450 to develop an implementation framework to demonstrate continued progress in these areas. SCAG staff is seeking to augment SMG's current contract to facilitate support in the development of the implementation framework for the 2016-2040 RTP/SCS. This effort also includes technical support for developing the initial operating segment for the EWFC including development of a PSR in partnership with Caltrans; obtaining training on pavement management system software; and continuing technical and project management support to further SCAG's value pricing studies. Continued work in demonstrating progress in the aforementioned areas is instrumental to meeting federal requirements for performance-based planning and programming. Timely implementation of these initiatives are critical to demonstrating progress to meet federal requirements for performance-based planning and programming. The breadth of issues raised from

recent policy committee discussions regarding the 2016 RTP/SCS has required the need for a more robust implementation framework than previously anticipated over three years ago when the project was first initiated. Specifically, policy committee and stakeholder discussions regarding key initiatives like the EWFC and regional value pricing studies have necessitated supplemental support and technical analyses.

**Conflict of Interest Form - Attachment
For September 1, 2016 Regional Council Approval**

Approve Amendment 12 to Contract No. 13-023-C1, in an amount not to exceed \$2,462,322, to provide additional regional transportation plan implementation and project management assistance services.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form (Yes or No)?
System Metrics Group, Inc. (prime consultant)	No - form attached
Applied Pavement Technology (subconsultant)	No - form attached
Cambridge Systematics (subconsultant)	No - form attached
CDM Smith (subconsultant)	Yes - form attached
George R. Fetty and Associates (subconsultant)	No - form attached
Nichols Consulting Engineers (subconsultant)	No - form attached
Parsons Brinckerhoff (subconsultant)	Yes - form attached
Sarah J. Siwek and Associates (subconsultant)	No - form attached
John Wolf (subconsultant)	No - form attached
Orange County Business Council (subconsultant)	Yes - form attached
Tech Coast Consulting Group (subconsultant)	No - form attached
Anrab Associates, Inc. (subconsultant)	Yes - form attached
HDR Engineering, Inc. (subconsultant)	No - form attached
Natural Resources Defense Council (subconsultant)	No - form attached
WKE, Inc. (subconsultant)	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 13-023-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: System Metrixx Group
Name of Preparer: TAREK HATATA
Project Title: RTP Implementation and Project Management Assistance Services
Date Submitted: 3/28/2016

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

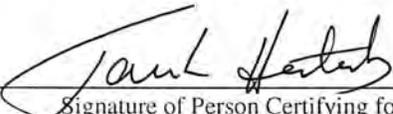
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) TAREK HATATA, hereby declare that I am the (position or title) PRESIDENT of (firm name) System Metrics Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 3/28/2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


3/28/2016

 Signature of Person Certifying for Proposer Date
 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Monty Wade, P.E., hereby declare that I am the (position or title) Program Director of (firm name) Applied Pavement Technology, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 1/15/2013 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



1/15/2013

Signature of Person Certifying for Proposer
(original signature required)

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 13-023-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Cambridge Systematics, Inc.
Name of Preparer: Brad Wright
Project Title: RTP Implementation and Project Management Assistance Services
Date Submitted: 3/28/16

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Brad Wright, hereby declare that I am the (position or title) President of (firm name) Cambridge Systematics, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 3/28/16 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


3/28/16

 Signature of Person Certifying for Proposer Date
 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 13-023

SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: CDM Smith

Name of Preparer: Abdollah Ansari

Project Title: Regional Transportation Plan (RTP) Implementation and Project Management Assistance Services

RFP Number: 13-023 **Date Submitted:** January 15, 2013

SECTION II: QUESTIONS

- I. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
Paul Krekorian	2010	\$500.00
Jan Perry	2012	\$200.00
Mitchell Englander	2011	\$300.00
Mitchell Englander	2011	\$300.00

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Abdullah Anwar, (Social Security Number; optional) _____ hereby declare that I am the (position or title) Principal of (firm name) CDM Smith, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 9/27/12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


 _____ January 15, 2013 _____
 Signature of Person Certifying for Proposer Date
 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 13-023

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: GEORGE R. FERTY & ASSOCIATES

Name of Preparer: GEORGE R. FERTY

Project Title: RTP IMPLEMENTATION & PROJECT MANAGEMENT ASSISTANCE SERVICES

RFP Number: 13-023 Date Submitted: 01-18-13

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

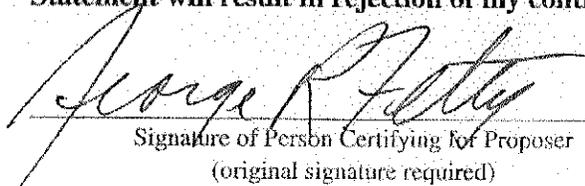
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) GEORGE R. FERRY, hereby declare that I am the (position or title) PRINCIPAL of (firm name) GEORGE R. FERRY ASSOCIATES, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 01-17-12 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



 Signature of Person Certifying for Proposer
 (original signature required)

01-17-13

 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 13-023

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

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Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Nichols Consulting Engineers, Chtd.

Name of Preparer: Margot Yapp

Project Title: Regional Transportation Plan (RTP) Implementation and Project Management Assistance Services

RFP Number: 13-023 **Date Submitted:** 1/15/2013

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

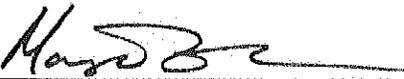
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Margot Yapp, hereby declare that I am the (position or title) Vice President of (firm name) Nichols Consulting Engineers, Chtd., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 1/15/2013 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



 Signature of Person Certifying for Proposer
 (original signature required)

1/15/2013

 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

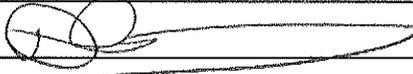
RFP No. 13-023

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Parsons Brinckerhoff, Inc.
Name of Preparer: Lloyd Graham 
Project Title: Regional Transportation Plan Implementation and Project Mgmt Assistance Services
RFP Number: 13-023 **Date Submitted:** January 23, 2013

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
See Attachment 1.		

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Lloyd Graham, hereby declare that I am the (position or title) Senior Vice President of (firm name) Parsons Brinckerhoff, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated January 17, 2013 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


Lloyd Graham January 17, 2013
 Signature of Person Certifying for Proposer Date
 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

List of political contributions made by managers, partners, or officers of
Parsons Brinckerhoff, Inc. in the past 5 years:

<u>Name</u>	<u>Date</u>	<u>Dollar Value</u>
Gary Ovitt	5/12/2009	\$ 200
Gary Ovitt	7/29/2009	\$ 1,500
Gary Ovitt	2/10/2010	\$ 2,500
Janice Hahn	5/17/2010	\$ 500
Brad Mitzelfelt	10/13/2010	\$ 600
Brad Mitzelfelt	12/14/2010	\$ 675
Kris Murray	2/17/2010	\$ 1,000
Kris Murray	10/20/2010	\$ 1,000
John Benoit	4/11/2011	\$ 1,000
Mitch Englander	4/13/2011	\$ 500
Brad Mitzelfelt	6/23/2011	\$ 1,600
Jan Perry	7/20/2011	\$ 250
Brad Mitzelfelt	9/23/2011	\$ 350
Brad Mitzelfelt	12/15/2011	\$ 1,000
Kris Murray	4/12/2012	\$ 500

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 13-023-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Sarah J. Siwek & Associates, Inc.
Name of Preparer: Sarah J. Siwek
Project Title: RTP Implementation and Project Management Assistance Services
Date Submitted: 3.28.2016

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Sarah J. Siwek, hereby declare that I am the (position or title) President of (firm name) Sarah J. Siwek & Assoc. Inc. and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 3-28-2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.


 Signature of Person Certifying for Proposer
 (original signature required)

3-28-2016
 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 13-023

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: SELF EMPLOYED
Name of Preparer: JOHN P. WOLF
Project Title: RTP Implementation and Project Management Assistance
RFP Number: 13-023 Date Submitted: 11/19/2013

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) JOHN P. WOLF, hereby declare that I am the (position or title) _____ of (firm name) SELF EMPLOYED, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated _____ is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 Signature of Person Certifying for Proposer
 (original signature required)

 1/19/2013
 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 13-023-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Orange County Business Council

Name of Preparer: Lucetta Dunn

Project Title: RTP Implementation and Project Management Assistance Services

RFP Number: 13-023-C1 **Date Submitted:** 10-31-2013

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

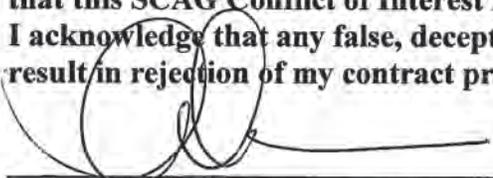
Name	Date	Dollar Value
Kris Murray	6-25-2013	\$1,000
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, Lucetta Dunn, hereby declare that I am the CEO of Orange County Business Council, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 10-31-13 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



 Signature of Person Certifying for Proposer
 (original signature required)

10-31-13

 Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No. 13-023-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking Federal funded contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Tech Coast Consulting Group

Name of Preparer: Wallace Walrod

Project Title: RTP Implementation and Project Management Assistance Services

RFP Number: 13-023-C1 **Date Submitted:** 10-31-13

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

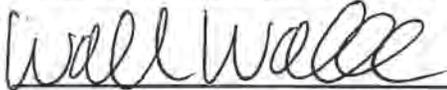
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, Wallace Walrod, hereby declare that I am the Managing Partner of Tech Coast Consulting Group, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 10-31-13 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



Signature of Person Certifying for Proposer
(original signature required)

10-31-13

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 13-023-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: Anrab Associates, Inc.
Name of Preparer: John Barna
Project Title: RTP Implementation and Project Management Assistance Services
Date Submitted: March 28, 2016

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
Kris Murray	2014	\$250.00
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) John F. Barna, Jr., hereby declare that I am the (position or title) President of (firm name) Anrab Associates, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated March 28, 2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

March 28, 2016

Signature of Person Certifying for Proposer
(original signature required)

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Thomas T. Kim, PE, hereby declare that I am the (position or title) Senior Vice President of (firm name) HDR Engineering, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 3/28/16 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

 _____ Signature of Person Certifying for Proposer (original signature required)	<u>3/28/16</u> _____ Date
--	---------------------------------

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 13-023-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: NATURAL RESOURCES DEFENSE COUNCIL
Name of Preparer: AMRITA BATRA
Project Title: RTP Implementation and Project Management Assistance Services
Date Submitted: MARCH 28 2016

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) ANURITA BATRA, hereby declare that I am the (position or title) PORTFOLIO MANAGER of (firm name) NATURAL RESOURCES DEFENSE COUNCIL, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 3.28.2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.

Anurita Batra _____ 3/28/2016 _____
 Signature of Person Certifying for Proposer Date
 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 13-023-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "OPPORTUNITIES", then "Doing Business with SCAG" and scroll down under the "CONTRACTS" tab; whereas the SCAG staff may be found under "ABOUT" then "Employee Directory"; and Regional Council members can be found under "ABOUT", then scroll down to "ELECTED OFFICIALS" on the left side of the page and click on "See the list of SCAG representative and their Districts."

Any questions regarding the information required to be disclosed in this form should be directed to SCAG's Deputy Legal Counsel, especially if you answer "yes" to any question in this form, as doing so MAY also disqualify your firm from submitting an offer on this proposal

Name of Firm: WKE, Inc.
Name of Preparer: Wei Koo
Project Title: RTP Implementation and Project Management Assistance Services
Date Submitted: 3/28/2016

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
_____	_____
_____	_____
_____	_____
_____	_____

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Wei Koo, hereby declare that I am the (position or title) President of (firm name) WKE, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated 3/28/2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



3/28/2016

Signature of Person Certifying for Proposer
(original signature required)

Date

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

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DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Contract Amendment that exceeds 30% of the contract’s original value: Contract No. 15-004-C1, 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS) Program Environmental Impact Report (PEIR)

EXECUTIVE DIRECTOR’S APPROVAL: 

RECOMMENDED ACTION:

Approve Amendment 7 to Contract No. 15-004-C1 with Sapphos Environmental, Inc. (“Consultant”), in an amount not-to-exceed \$5,000 increasing the contract value from \$605,241 to \$651,741 to \$656,741 to enable the consultant to help staff prepare for the El Segundo v. SCAG litigation.

EXECUTIVE SUMMARY:

This amendment provides for assistance staff requires from the consultant to support staff’s preparation for the El Segundo v. SCAG litigation. SCAG certified the PEIR for the 2016 RTP/SCS on April 7, 2016. Thereafter, the City of El Segundo filed a lawsuit against SCAG in May 2016, alleging that the PEIR does not comply with CEQA. In late June 2016, SCAG staff extended the term of contract with Sapphos to retain the consultant to provide assistance in the litigation, as needed. Due to the urgency of needing to gather and analyze information to support the litigation, staff also authorized Sapphos to perform additional work related to the litigation because a key member of consultant’s staff who led the work on the PEIR was leaving the company. Amendment 7, when combined with previous amendments, exceeds \$75,000 as well as 30% of the contract’s original value and in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council’s approval. However, had staff waited to obtain the required Regional Council approval before authorizing the work, this person would not have been available, which would have diminished staff’s efforts to support the litigation. Therefore staff requests to amend the contract retroactive to August 1, 2016.

STRATEGIC PLAN:

This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

BACKGROUND:

<u>Consultant/Contract #</u>	<u>Amendment Purpose</u>	<u>Amendment Amount</u>
Sapphos Environmental, Inc. (15-004-C1)	To enable the consultant to help staff prepare for the <u>El Segundo v. SCAG</u> litigation.	\$5,000

FISCAL IMPACT:

Funding is available in the FY 2016-17 budget in project 800-0160.16.

ATTACHMENT:

Consultant Contract No. 15-004-C1

CONSULTANT CONTRACT 15-004-C1 Amendment 7

Consultant: Sapphos Environmental, Inc.

Background & Scope of Work: On November 6, 2014, SCAG awarded Contract 15-004-C1 to Sapphos Environmental, Inc. (Sapphos) to prepare a Program Environmental Impact Report (PEIR) for the 2016-2040 Regional Transportation Plan/Sustainable Communities Strategy (2016 RTP/SCS). The 2016 RTP/SCS is a project under the California Environmental Quality Act (CEQA) and SCAG as the lead agency must undertake environmental review of the 2016 RTP/SCS under CEQA.

The PEIR is an informational document assessing the environmental impacts of the 2016 RTP/SCS as required under CEQA and PEIR has been completed by Sapphos.

SCAG certified the PEIR for the 2016 RTP/SCS on April 7, 2016. Thereafter, the City of El Segundo filed a lawsuit against SCAG in May 2016, alleging that the PEIR does not comply with CEQA. In late June 2016, SCAG staff extended the term of contract with Sapphos to retain the consultant to provide assistance in the litigation, as needed. Thereafter due to the urgency of needing to gather and analyze information to support the litigation, staff also authorized Sapphos to perform additional work related to the litigation because a key member of consultant's staff who led the worked on the PEIR was leaving the company. Had staff waited to obtain the required Regional Council approval before authorizing the work, this person would not have been available, which would have diminished staff's efforts to support the litigation. Therefore staff requests to amend the contract retroactive to August 1, 2016, in an amount not-to-exceed \$5,000. This amendment increases the contract value from \$651,741 to \$656,741.

Project's Benefits & Key Deliverables: The project's benefits and key deliverables include, but are not limited to:

- A completed, environmentally compliant, and legally defensible PEIR for the 2016 RTP/SCS;
- A CEQA compliant public notification and consultation process with all the appropriate stakeholders; and
- Delivery of 2016 RTP/SCS PEIR, a first-tier, programmatic document that provides a region-wide assessment of the potential significant environmental effects of implementing the projects, programs, and policies included in the proposed 2016 RTP/SCS.

Strategic Plan: This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

Amendment Amount:	Amendment 7	\$5,000
	Amendment 6	\$46,500
	Amendment 5 (administrative - no change to contract's value)	\$0
	Amendment 4	\$82,087
	Amendment 3	\$74,000

Amendment 2 (administrative - no change to contract's value)	\$0
Amendment 1 (administrative - no change to contract's value)	\$0
Original contract value	<u>\$449,154</u>
Total contract value is not-to-exceed	\$656,741

Amendment 7, when combined with previous amendments exceeds \$75,000, as well as 30% of the contract's original value. Therefore, in accordance with the SCAG Procurement Manual (dated 11/01/14) Section 8.3, it requires the Regional Council's approval.

Contract Period: August, 2016 through March 31, 2017

Project Number: 17-0160.16 \$5,000
 Funding source: General Fund. Funding is available in the FY 2016-17 budget in project 800-0160.16.

Basis for the Amendment: This amendment supports necessary assistance to SCAG as it relates to the El Segundo v. SCAG litigation.

**Conflict Of Interest (COI) Form - Attachment
For September 1, 2016 Regional Council Approval**

Approve Amendment 7 to Contract No. 15-004-C1 with Sapphos Environmental, Inc. (“Consultant”), in an amount not-to-exceed \$5,000 increasing the contract value from \$605,241 to \$651,741 to \$656,741 to enable the consultant to help staff prepare for the El Segundo v. SCAG litigation.

The consultant team for this contract includes:

Consultant Name	Did the consultant disclose a conflict in the Conflict of Interest Form (Yes or No)?
Sapphos Environmental, Inc. (prime consultant)	No - form attached

SCAG CONFLICT OF INTEREST FORM

RFP No./Contract No. 15-004-C1

SECTION I: INSTRUCTIONS

All persons or firms seeking contracts must complete and submit a SCAG Conflict of Interest Form along with the proposal. This requirement also applies to any proposed subconsultant(s). Failure to comply with this requirement may cause your proposal to be declared non-responsive.

In order to answer the questions contained in this form, please review SCAG's Conflict of Interest Policy, the list of SCAG employees, and the list of SCAG's Regional Council members. All three documents can be viewed online at www.scag.ca.gov. The SCAG Conflict of Interest Policy is located under "Doing Business with SCAG," whereas the SCAG staff and Regional Council members lists can be found under "About SCAG."

Any questions regarding the information required to be disclosed in this form should be directed to Justine Block, SCAG Deputy Legal Counsel.

Name of Firm: Sapphos Environmental, Inc.

Name of Preparer: Jonathan Cain

Project Title: SCAG 2016 Regional Transportation Plan (RTP) / Sustainability Communities Strategy (SCS) Program EIR

Date Submitted: March 28, 2016

SECTION II: QUESTIONS

1. During the last twelve (12) months, has your firm provided a source of income to employees of SCAG or members of the SCAG Regional Council, or have any employees or Regional Council members held any investment (including real property) in your firm?

YES NO

If "yes," please list the names of those SCAG employees and/or SCAG Regional Council members and the nature of the financial interest:

Name	Nature of Financial Interest
<hr/>	<hr/>

2. Have you or any members of your firm been an employee of SCAG or served as a member of the SCAG Regional Council within the last twelve (12) months?

YES NO

If "yes," please list name, position, and dates of service:

Name	Position	Dates of Service
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3. Are you or any managers, partners, or officers of your firm related by blood or marriage/domestic partnership to an employee of SCAG or member of the SCAG Regional Council that is considering your proposal?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

4. Does an employee of SCAG or a member of the SCAG Regional Council hold a position at your firm as a director, officer, partner, trustee, employee, or any position of management?

YES NO

If "yes," please list name and the nature of the relationship:

Name	Relationship
_____	_____
_____	_____
_____	_____
_____	_____

5. Have you or any managers, partners, or officers of your firm ever given (directly or indirectly), or offered to give on behalf of another or through another person, campaign contributions or gifts to any current employee of SCAG or member of the SCAG Regional Council (including contributions to a political committee created by or on behalf of a member/candidate)?

YES NO

If "yes," please list name, date gift or contribution was given/offered, and dollar value:

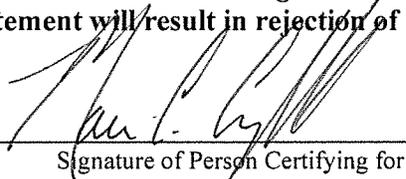
Name	Date	Dollar Value
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

SECTION III: VALIDATION STATEMENT

This Validation Statement must be completed and signed by at least one General Partner, Owner, Principal, or Officer authorized to legally commit the proposer.

DECLARATION

I, (printed full name) Marie C. Campbell, hereby declare that I am the (position or title) President of (firm name) Sapphos Environmental, Inc., and that I am duly authorized to execute this Validation Statement on behalf of this entity. I hereby state that this SCAG Conflict of Interest Form dated March 28, 2016 is correct and current as submitted. I acknowledge that any false, deceptive, or fraudulent statements on this Validation Statement will result in rejection of my contract proposal.



 _____ March 28, 2016

 Signature of Person Certifying for Proposer Date

 (original signature required)

NOTICE

A material false statement, omission, or fraudulent inducement made in connection with this SCAG Conflict of Interest Form is sufficient cause for rejection of the contract proposal or revocation of a prior contract award.

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DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

Basil Panas, CFO, 213-236-1817, panas@scag.ca.gov

SUBJECT: 2016 Statement of Investment Policy

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

Recommend that the Regional Council approve the 2016 Statement of Investment Policy.

EXECUTIVE SUMMARY:

SCAG's Statement of Investment Policy is included as Article X of the Regional Council Policy Manual. This policy is currently subject to annual review and re-approval by the Regional Council. Staff recommends re-approval of this policy.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan, Goal 3 - Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

The Statement of Investment Policy (Section 17: Modification and Legislative Changes) states that the Executive/Administration Committee shall be responsible for modifying investment guidelines as conditions warrant and submit the modified guidelines for re-approval by the Regional Council on an annual basis.

Staff reviewed the 2015 Investment Policy and proposed adoption of the 2016 policy as presented.

FISCAL IMPACT:

None.

ATTACHMENT:

Statement of Investment Policy 2016

SCAG STATEMENT OF INVESTMENT POLICY

Section 1: Purpose

This SCAG Statement of Investment Policy is intended to provide standards and guidelines for the prudent investment of funds by SCAG in conducting its investment and cash management responsibilities. The goal is to strengthen the overall financial condition of SCAG, while earning a return on our investments with safety and liquidity.

Section 2: Objective

The Policy is designed to achieve and maintain adequate working capital to support our Planning and Support Operations, and to grow our available resources and funds to the fullest extent possible. SCAG attempts to obtain a market rate of interest without assuming undue risk to principal. The objectives of such investments, in descending order of importance, are: 1) the long term preservation of capital, 2) adequate cash resources to meet our short term financial needs for liquidity; and 3) to earn a competitive rate of return on capital.

Section 3: Scope

This investment policy applies to activities of SCAG with regard to investing the financial assets of all funds, including the following: General Fund, Special Revenue Funds, and Trust Funds, and any other Funds that may be created from time to time.

Section 4: Investment Responsibility

SCAG's Executive Director, in his capacity as Secretary-Treasurer, may delegate responsibility for investments to the Chief Financial Officer and/or the Manager of Accounting. This includes the authority to select investments, engage professional services, to open accounts with banks, brokers and dealers, to establish safekeeping accounts or other arrangements for the custody of securities, and report to oversight bodies. Those persons authorized to execute transactions include: 1) Chief Financial Officer or his/her director designee, 2) Manager of Accounting or his/her staff designee, and 3) those specifically approved and added by the Executive/Administration Committee (EAC) of the Regional Council (RC). Brokers and dealers are to be provided with a list of specified names of those persons authorized to execute transactions.

Section 5: Internal Controls

The Chief Financial Officer and the Manager of Accounting shall establish the investment function so that specific responsibility for the performance of duties is assigned with a clear line of authority, accountability and reporting. The functions of authorizing, executing and recording transactions, custody of investments and performing

reconciliations are to be handled by separate persons to reduce the risk that a person is in a position to conceal errors or fraud in the normal course of duty.

While no internal control system, however elaborate, can guarantee absolute assurance that assets are safeguarded, it is the intent of the internal control system to provide reasonable assurance that management of the investment function meets our objectives. These internal controls shall be reviewed annually by the independent auditor.

Section 6: Reporting

The EAC shall be responsible for reporting the status of investments to the RC on a monthly basis. Reports are to be submitted by the Chief Financial Officer to the EAC and/or the Investment Subcommittee (See Section 19) following the end of each reporting period. These reports shall show the type of investment, institution, interest rate, date of maturity, compliance to the investment policy, a verification of adequacy of working capital to meet our operating needs and market value for all investments.

Section 7: Prudence

The standard of prudence to be used by investment officials shall be the “prudent person” rule and shall be applied in the context of managing all aspects of the overall portfolio. Investments shall be made with care, skill, prudence, and diligence under the circumstances then prevailing, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs.

Section 8: Authorized Investments

(A) Surplus Funds

Funds may be invested in any instrument allowable by the State of California Government Code Section 53600 et seq. so long as the investment is appropriate when SCAG’s investment objectives and policies are taken into consideration. Within the context of the limitations, the following are authorized:

- US Treasury Obligations (Bills, Notes and Bonds)
- US Government Agency Securities and Instrumentality’s of Government Sponsored Corporations
- Banker’s Acceptances
- Commercial Paper
- Repurchase Agreements
- Certificates of Deposit
- Negotiable Certificates of Deposit
- Passbook Savings Accounts
- Interest Bearing Checking Accounts
- Intermediate Term Corporate Notes

- Bank Money Market Accounts
- Local Agency Investment Fund (State Pool)
- Los Angeles County Investment Fund (County Pool)
- Shares of Beneficial Interest issued by a Joint Powers Authority organized pursuant to California Government Code Section 6509.7 that invests in the securities and obligations authorized in Section 53601 (a) through (n).
- Other investments that are, or may become, legal investments through the State of California Government Code.

B. Other Post-Employment Benefits Funding

All funding approved for this purpose shall be invested in the irrevocable trust for post-employment benefits administered by the California Public Employees Retirement System (CalPERS), also known as the California Employer's Retiree Benefit Trust (CERBT).

C. Supplemental Defined Benefit Pension Plan Funding

All funding approved for this purpose shall be invested in an annuity selected according to criteria prescribed by SCAG procurement policies and SCAG's financial and operational needs, or funded on a pay-as-you-go basis.

Section 9: Prohibited Investments

SCAG shall not invest any funds in inverse floaters, range notes, or interest-only strips that are derived from a pool of mortgages. SCAG shall not invest any funds in any security that could result in a zero interest accrual if held to maturity.

Section 10: Investment Criteria

Criteria for selecting investments and order of priority are:

A. SAFETY

The safety and risk associated with an investment refers to the potential loss of principal, interest or a combination of these amounts. SCAG shall only invest in those financial instruments whose safety and quality comply with State law and SCAG's risk tolerance.

B. LIQUIDITY

This refers to the ability to convert an investment into cash at any moment in time with a minimal chance of losing some portion of principal or interest. Since liquidity is an important investment quality, especially when the need for immediate access to funds may occur unexpectedly, potential fluctuations in market value are to be an important consideration when selecting an investment. SCAG's portfolio shall provide for adequate liquidity as indicated by SCAG's cash projections.

C. YIELD

Yield is the potential dollar earnings an investment can provide and sometimes is described as the rate of return. SCAG shall attempt to maximize return consistent with criteria A and B above.

Section 11: Diversification

SCAG will diversify use of investment instruments to avoid incurring unreasonable risks inherent in over investing in specific instruments, individual financial institutions or maturities. Diversification strategies shall be established within the guidelines of Government Code Section 53600 et seq., and periodically reviewed.

Section 12: Investment Pools

SCAG has determined that use of investment pools is a practical investment option. SCAG will utilize guidelines established by the California Municipal Treasurers Association and California Society of Municipal Finance Officers to ensure the safety of investment pools.

Section 13: Maturity Limitations

Every investment instrument purchased must have a term remaining to maturity of five years or less, unless RC approval was obtained three months in advance.

Section 14: Safeguarding of Assets and Records

Securities purchased from broker/dealers shall be held in third-party safekeeping in SCAG's name and control, whenever possible. Monthly statements received from the financial institution are reconciled to the investment reports by the Senior Accountant. Review of safety, liquidity, and yields of investment instruments; and reputation and financial condition of investment brokers is to be done by the EAC. The periodic review of the investment portfolio, including investment types, purchase price, market values, maturity dates, and investment yields as well as conformance to the stated investment policy will also be performed by the EAC.

Section 15: Qualified Institutions

If SCAG decides not to use investment pools, SCAG shall prepare and maintain a listing of financial institutions which are approved for investment purposes. In addition, a list will be maintained of approved broker/dealers selected by credit worthiness, who maintain an office in the State of California. All financial institutions and broker/dealers who desire to become bidders for investment transactions must supply the following: audited financial statements, proof of National Association of Security Dealers'

certification, trading resolution, proof of California registration, and certification of having read this Investment Policy. An annual review of the financial condition and registrations of qualified bidders will be conducted by the EAC.

Section 16: Monitoring and Adjusting the Portfolio

SCAG will monitor the contents of the portfolio, the available markets and the relative values of competing instruments and will adjust the portfolio accordingly based on our Investment Policy. Investment counselors may be engaged to assist in the performance of this work with the approval of the EAC.

Section 17: Modification and Legislative Changes

The EAC shall be responsible for modifying investment guidelines as conditions warrant and submit same for re-approval by the RC on an annual basis. This annual approval may be on the consent agenda unless there are amendments to this Policy. Any State of California legislative action, that further restricts allowable maturities, investment type or percentage allocations, will be incorporated into SCAG's Statement of Investment Policy and supersede any and all previous applicable language.

Section 18: Segregation of Responsibilities

A. FUNCTION	B. RESPONSIBILITY
Develop Statement of Investment Policy	Chief Financial Officer Manager of Accounting
Recommend modifications to Statement of Investment Policy	Chief Financial Officer Legal Counsel Manager of Accounting Investment Subcommittee
Approve Statement of Investment Policy and appointment of Oversight Committee	Executive/Administration Committee
Adopt Statement of Investment Policy	Regional Council
Select Investments	Chief Financial Officer Manager of Accounting Outside Investment Manager
Approve transactions	Chief Financial Officer or Manager of Accounting
Execute investment transactions and fax	Outside Investment Manager

completed trade information to SCAG	
Investment verification (match broker confirmation to trade information provided by outside Investment Manager to SCAG investment records)	Lead Accountant
Record investment transactions into SCAG's accounting records	Lead Accountant – General Ledger
Reconcile investment records to accounting records and bank statements	Lead Accountant – General Ledger
Security	Time Certificates of Deposit will be maintained in SCAG's safe in the care of the Chief Financial Officer. All other investment securities will be held in safekeeping in the trust department of SCAG's Depository bank, or other third party custodian as designated by the Chief Financial Officer.

Section 19: Executive/Administration Committee and Investment Subcommittee

The EAC is empowered to review and make recommendations on the Investment Policy and Investment Strategy of SCAG to strengthen the internal controls of the management of funds. The EAC may, in its discretion, establish an Investment Subcommittee to assist the EAC to achieve the goals and objectives of this Investment Policy.

19.1 Purpose of the Investment Subcommittee

- A. To review and make recommendations about this Investment Policy and Investment Strategy.
- B. To review investments on a periodic basis and to report any exceptions to this Investment Policy immediately to the RC.
- C. To be responsive to EAC requests.

19.2 Membership

The total membership shall consist of five (5) members: 1) EAC Chair and 2 Members (selected by the EAC members), 2) Chief Financial Officer, and 3) Manager of Accounting.

19.3 Functions and Duties

A. Annually

To review and approve the Statement of Investment Policy; to review the financial condition of broker/dealers and financial institutions.

B. Quarterly

To review investments made during the previous quarterly period; to provide a status report to the EAC.

C. Monthly

To develop and carry out the ongoing investment strategy in accordance with the Investment Policy; to recommend amendments to the Statement of Investment Policy.

D. The function of the EAC and the Investment Subcommittee is to provide policy guidance that gives the operating staff standards and guidelines to work within on a day-to-day basis. By this, it is meant that each individual trade need not be approved by this Committee at the time it is transacted, provided that it falls within the scope of the Statement of Investment Strategy.

DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Darin Chidsey, Director, Strategy, Policy, & Public Affairs; (213) 236-1836;
Chidsey@scag.ca.gov

SUBJECT: AB 1889 (Mullin) High-Speed Rail Authority; High-Speed Train Operation

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:
Support

EXECUTIVE SUMMARY:

AB 1889 provides necessary conforming statutory changes to provide more expeditious access to Proposition 1A bond proceeds for the Caltrain electrification project in the Bay Area, High-Speed Rail bookend projects in Southern California, and California High-Speed Rail construction in the Central Valley. Staff recommends support consistent with SCAG policy to seek funding for Southern California bookend projects identified in its Memorandum of Understanding with the California High-Speed Rail Authority. The Legislative/Communications and Membership Committee (LCMC) at its August 16, 2016 meeting recommended support and directed that SCAG issue a support letter consistent with protocol and board-adopted legislative priorities seeking maximized funding for regional transportation projects, including high-speed rail bookends.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

BACKGROUND:

California High-Speed Rail is a high-speed rail system currently under construction in the state of California. In 2008, the Legislature approved AB 3034 followed by voters approving Proposition 1A which made available \$9 billion in general obligation bonds to initiate the statewide high-speed rail system as well as \$950 million for investments in local rail services. Among other things, Proposition 1A defined a number of system design characteristics and requirements for access to bond funds.

In 2012, the Legislature approved SB 1029 appropriating bond proceeds for investments in the Phase 1 Blended system as described in the Authority's 2012 Business Plan. Phase 1 will connect the Anaheim Regional Transportation Intermodal Center in Anaheim with the Transbay Transit Center in San Francisco via the Central Valley with speeds up to 220 miles per hour, providing a "one-seat ride" for the trip in 2 hours and 40 minutes. The appropriation included \$1.1 billion for investments in the system bookends, including projects in Southern California and the Caltrain electrification project in the Bay

area, and \$2.6 billion to match federal funds and begin construction on the backbone of the high-speed rail system in the Central Valley. To access any of the bond funds appropriated under SB 1029, the California High-Speed Rail Authority (CHSRA) is required to submit a funding plan to the legislature.

Investments in the bookends were based on memoranda of understanding (MOUs) signed by CHSRA with local agencies in each region in 2012. SCAG and numerous other transportation agencies in Southern California entered into the MOU with CHSRA identifying the bookend projects in the region to ensure seamless construction of the HSR system in Southern California as its development reaches our region.

The MOU was executed as a condition of SCAG including Phase One of the California High Speed Train in the financially-constrained plan of the 2012 Regional Transportation Plan/Sustainable Communities' Strategy. MOU signatories include SCAG, the Los Angeles County Metropolitan Transportation Authority, the Riverside County Transportation Commission, the San Diego Association of Governments, the Southern California Regional Rail Authority (Metrolink), the City of Anaheim and the High Speed Rail Authority.

The Southern California MOU totals 74 projects costing nearly \$4 billion, and calls for CHSRA to fund \$500 million in Prop 1A and other funding sources, plus matching funds for a total of \$1 billion, to advance these projects. While a handful of these projects have advanced in the planning, environmental and construction phases using various funding sources, progress has not yet been realized in expending CHSRA funding towards the MOU projects.

On February 18, 2016, the High Speed Rail Authority released its Draft 2016 Business Plan, its foundational document for implementing the HSR program. Overall capital costs are estimated at \$64.2 billion in the plan. The proposed plan would significantly delay the arrival of California High Speed Train service to our region, as programmed in the 2012 RTP/SCS and 2016 Final Draft RTP/SCS. However, the business plan does call for investing \$2.1 billion in the Los Angeles Union Station to Anaheim section on bookend projects and to prepare for CA HST service to Anaheim in 2029, including the Southern California Regional Interconnector Project, and several grade separation projects throughout the region. The funding sources for these bookend projects are the voter-approved Prop 1A bond funds and CHSRA's dedicated 25% Cap-and-Trade funds.

On March 28, 2016, SCAG Executive Director, Hasan Ikhata, testified before the California Assembly Transportation Committee to address the need and importance of completing these bookend projects in Southern California to ensure an uninterrupted and cost-effective development of HSR once its construction has advanced to Southern California. Mr. Ikhata informed the Committee of the project funding commitments agreed to in the MOU and urged the Committee and legislature to seek further available means to fund these bookend projects now so that the system, as it reaches the major population centers of Northern and Southern California, can be built in the future.

AB 1889 seeks to achieve this objective by providing the necessary conforming statutory changes to give more expeditious access to Proposition 1A bond proceeds for the Caltrain electrification project in the Bay Area, bookend projects in Southern California, and HSR construction in the Central Valley.

REPORT

AB 1889 would specify, for purposes of the funding plan required in existing law for each usable segment of the high-speed rail project, that a corridor or usable segment is "suitable and ready for high-speed train operation" if bond proceeds are used for capital project costs that would enable high-speed trains to operate immediately or after additional planned investments on the corridor or usable segment, and passenger train service providers will benefit from the project in the near-term.

The bill provides clarity that the 2012 appropriation of \$1.1 billion in Prop 1A bond funds for bookend investments was intended to be consistent with the blended system strategy identified in the HSRA's 2012 Business Plan. The blended system provides for incremental investments in a bookend corridor that benefit regional rail in the short term and both regional and intercity high-speed rail in the long term. Specifically, the bill explicitly states that a corridor is "suitable and ready for high-speed train operation" if bond allocations are used to benefit passenger train service providers in the short term while enabling high-speed trains to operate in the corridor after additional planned investments are completed in the future.

Thus by seeking to clarify legislative intent relative to the previous appropriation of \$1.1 billion in Prop 1A bond funds for bookend projects, it may help to accelerate those projects. Further, according to the author absent the bill it is plausible that the initial appropriation authority provided in SB 1029 would expire before funds could be dedicated to investments in bookend projects. Should that occur the Legislature would need to re-appropriate funds in the future in order for the Caltrain electrification project on the northern end, and Union Station improvements and grade separation projects on the southern end, to move forward.

LA Metro has been actively engaged with the author's office in promulgating the August 1 amendments clarifying the legislative intent and eligibility of use of Prop 1A bond funds to the bookend projects. Metro supports the bill as amended and urges its regional partner agencies to support AB 1889. The bill passed the Assembly on May 5 by 79-0 vote but, since it has been amended in the Senate it must go back to the Assembly for concurrence should it pass from the Senate. The bill is on suspense file in the Senate Appropriations Committee and, should it pass from suspense, will go to the floor for consideration of the full Senate prior to concurrence by the Assembly. Should LCMC recommend SCAG taking a position on the bill to the Regional Council at its September 1, 2016 meeting, the agency will not be on record until that time and thus its position will be transmitted to the Governor if the bill passes since the Legislature which must pass all regular session bills (except urgency) on or before August 31, 2016.

The LCMC at its August 16, 2016 meeting recommended support of AB 1889 consistent with previous Regional Council direction to seek greater funding availability for HSR bookend projects.

ATTACHMENT:

None

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DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Darin Chidsey, Director, Strategy, Policy & Public Affairs; (213) 236-1836;
chidsey@scag.ca.gov

SUBJECT: SCAG Sponsorship

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

Approve

EXECUTIVE SUMMARY:

The Legislative/Communications & Membership Committee (LCMC) met on August 16, 2016 and recommended approval of up to \$20,000 in sponsorships for the UCLA Lewis Center and Institute of Transportation Studies 26th Annual Lake Arrowhead Symposium.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan: Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; and Goal 2: Obtain Regional Transportation infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

BACKGROUND:

UCLA Lewis Center and Institute of Transportation Studies 26th Annual Lake Arrowhead Symposium (October 16-18, 2016) – \$20,000

Each year, the UCLA Lewis Center and Institute of Transportation Studies Program holds a symposium dealing with regional and public policy issues. This year's Symposium—Paying it Forward: Investing in Sustainable Mobility—will take place from October 16-18, 2016 at the UCLA Lake Arrowhead Conference Center and will include panels and other forums for dialogue among public officials, private industry leaders, and audience members to explore the implications of recent and foreseeable future technological innovations for transportation, land use, and environmental policy and planning. SCAG has been a sustaining co-sponsor of this program, which enables SCAG to maintain membership on the 2016-2017 Arrowhead Steering Committee and directly help plan and evaluate the event, including suggesting topics and speakers and nominating experts to attend the Symposium consistent with SCAG goals. The sponsorship also provides the following:

- Six (6) complimentary registrations;
- Four (4) registrations at fifty (50) percent off;
- Ten (10) invitations for Symposium attendees;
- Opportunity to nominate speaker for UCLA's review;

REPORT

- Exclusive display of promotional materials during early evening reception;
- Recognition as the sponsor of one of the days of the Symposium (Day 1, Day 2, or Day 3);
- Exclusive display of promotional materials in meeting room on the day sponsored;
- Arrangements for a two (2) hour private breakout meeting for the organization;
- Highlighted recognition on the Symposium website and online materials;
- Highlighted recognition as Diamond sponsor from the podium on the day sponsored;
- Display of promotional materials at the registration area;
- Logo placement on printed Symposium materials; and
- Opportunity to network with speakers, faculty, and prominent public officials.

SCAG staff is recommending a “Diamond” level sponsorship in the amount of \$20,000. SCAG Board members and Executive staff will attend the Symposium.

FISCAL IMPACT:

\$20,000 for sponsorships is included in the approved FY 16-17 General Fund budget.

ATTACHMENT:

None.

DATE: September 1, 2016

TO: Energy and Environment Committee (EEC)
Community, Economic and Human Development Committee (CEHD)
Transportation Committee (TC)
Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Jason Greenspan, Manager, greenspan@scag.ca.gov, 213-236-1859

SUBJECT: ARB SB 375 Regional Greenhouse Gas (GHG) Emissions Reduction Target Update Process

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:
For Information Only – No Action Required.

EXECUTIVE SUMMARY:
SB 375, which took effect in 2009, requires that each metropolitan planning organization (MPO) adopt, as part of its regional transportation plan, a “sustainable communities strategy” that sets forth plans to meet regional GHG reduction targets for the automobile and light truck sector for the years 2020 and 2035 as set by the California Air Resources Board (ARB). SB 375 also authorizes ARB to update the regional GHG reduction targets every eight years. ARB established the first set of targets in 2010 and is in the process of updating these targets. SCAG staff has compiled a timeline for the SB 375 Target Update Process that also includes concurrent activities that will inform the target update process.

STRATEGIC PLAN:
This item supports SCAG’s Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

BACKGROUND:
SB 375 requires that each metropolitan planning organization (MPO) adopt, as part of its regional transportation plan, a “sustainable communities strategy” that sets forth plans to meet regional GHG reduction targets set by ARB. SB 375 also requires that ARB update the targets at least every eight years. In 2010, ARB established the requisite GHG reduction targets for the SCAG region. Since then, SCAG has prepared two RTP/SCS plans (2012 and 2016) that meet the required ARB targets for 2020 and 2035. ARB is preparing to update the regional GHG reduction targets for each MPO. These new ARB targets will be required to be met by each MPO in the next round of RTP/SCS plans, which for SCAG will be the 2020 RTP/SCS.

The ARB SB 375 Target Setting Process consists of a suite of concurrent planning activities and technical exercises. Included in this suite are the following: ARB Mobile Source Strategy; ARB MPO Stress Test; and ARB AB 32 Scoping Plan Update.

REPORT

ARB Mobile Source Strategy: ARB released the Mobile Source Strategy in late May, 2016. The updated Strategy outlines a comprehensive and integrated approach to reducing emissions from mobile sources over the next 15 years. Elements of the Mobile Source Strategy will also be expanded in several related State planning efforts, including the AB 32 Scoping Plan Update.

ARB MPO Stress Test: ARB is working with the four major MPOs in California to conduct a technical “Stress Test”, to test GHG reduction strategies and modeling assumptions. The purpose of the test is to quantify potential GHG emission reductions that would result from deployment of various land use and transportation strategies, such as rapid deployment of zero emission vehicles. SCAG staff anticipates that the analysis and modeling would be completed by late-August, complete review of the results by mid-September, and ARB to share the results with MPO Planning Directors in early October. The MPO Stress Test will be concluded in November 2016.

ARB AB 32 Scoping Plan Update: AB 32 requires that the Scoping Plan be updated at least every 5 years. The 2017 Update will be the 2nd update of the Scoping Plan. ARB has been working on the Scoping Plan Update since Fall 2015, including holding regional and technical workshops throughout the state. ARB released a Scoping Plan Update Concept Paper in mid-June to describe potential policy concepts and approaches to achieve the 2030 target set by the Governor’s Executive Order. A draft Scoping Plan Update is scheduled to be released in late-Fall of 2016, and adoption of the Final Plan in Spring 2017.

ARB SB 375 Target Setting: The activities described above will contribute to the development of revised GHG Reduction Targets for the years 2020 and 2035 by ARB for each MPO in 2017. ARB staff is proposing to release draft preliminary target recommendations in Spring 2017, and adopt final targets in Summer 2017.

Staff plans to invite ARB staff to give a detailed presentation on the SB 375 Regional GHG Target Update Process at a later appropriate time.

FISCAL IMPACT:

None

ATTACHMENT:

ARB SB 375 GHG Target Update Process Timeline

ARB SB375 REGIONAL GHG TARGET UPDATE PROCESS

DRAFT TIMELINE

	2016				2017			
PLAN	<i>1st Qtr</i>	<i>2nd Qtr</i>	<i>3rd Qtr</i>	<i>4th Qtr</i>	<i>1st Qtr</i>	<i>2nd Qtr</i>	<i>3rd Qtr</i>	<i>4th Qtr</i>
ARB Mobile Source Strategy		Final						
ARB Stress Test			Preliminary	Final				
ARB AB32 Scoping Plan		White Paper		Draft		Final		
ARB SB375 Targets						Draft	Final	

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DATE: September 1, 2016

TO: Regional Council (RC)
Executive/Administration Committee (EAC)
Community, Economic and Human Development (CEHD) Committee
Energy and Environment Committee (EEC)
Transportation Committee (TC)

FROM: Hasan Ikhata, Executive Director, 213-236-1944, Ikhata@scag.ca.gov

SUBJECT: Housing Summit – October 11, 2016

EXECUTIVE DIRECTOR'S APPROVAL:  _____

RECOMMENDED ACTION:
For Information Only – No Action Required.

EXECUTIVE SUMMARY:
SCAG, in partnership with over thirty (30) non-profit, private and public entities, is planning to hold a Housing Summit on October 11, 2016 to connect attendees with resources and opportunities created by State legislation and local policies to build more housing, including affordable housing, as aligned with the goals of SCAG's Regional Transportation Plan/ Sustainable Communities Strategy (RTP/SCS). The goal of the Housing Summit is to address causes to California's housing crisis and offer solutions for more housing to be built. Based on the discussion from the Housing Summit Steering Committee meetings and Executive Administration Committee Retreat, SCAG and its partners developed a Housing Policy Framework Proposal. The Proposal serves as a blueprint for developing the Housing Summit program and accompanying publication. Anticipated Summit participants include elected officials, planning directors/staff, city managers, developers, housing advocates, public health department directors, and transit planners.

STRATEGIC PLAN:
This item supports SCAG's Strategic Plan; Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective A: Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans.

BACKGROUND:
SCAG, in partnership with over (30) non-profit, private and public entities is planning to host a Housing Summit on October 11, 2016 in downtown Los Angeles. The Housing Summit will connect attendees with strategies, resources and opportunities created by State legislation and local policies to build more housing as aligned with the goals of SCAG's Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS). The goal of the Summit will clearly explain the causes of the California's housing crisis and offer solutions to allow for more housing to be built.

To prepare for the Summit, Steering Committee meetings were held at SCAG headquarters on May 26, 2016 July 25, 2016, and August 29, 2016. Attendees for the Steering Committee included various



REPORT

partners and stakeholders who agreed to participate in this event. The Steering Committee meeting included a discussion of the housing crisis in California and the agenda and publication for the Housing Summit. Additionally, a discussion of the Housing Summit also occurred at the Executive Administrative Committee (EAC) Retreat on June 9, 2016. Similar to the Steering Committee meetings, attendees of the EAC Retreat voiced many opinions regarding the Housing Summit.

Based on the discussion at Steering Committee meetings and the EAC retreat, SCAG and its partners developed a Housing Policy Discussion Framework Proposal. The Proposal serves as a blueprint to develop the Summit program (Attachment 1, Draft Housing Summit Program) and the development of a publication that will accompany the Housing Summit. It is envisioned that the Summit will present the current state of affairs with respect to housing, within a general session. After the general session, the Summit will provide solutions to build more housing in three separate breakout sessions. Finally, the Summit will provide a “Call to Action” panel which will emphasize the next steps needed to say “YES” to housing.

Anticipated participants include elected officials, planning directors/planning staff, city managers, developers, housing advocates, public health department directors, and transit planners. To ensure sufficient geographical representation for different challenges and solutions, SCAG is currently partnering with organizations throughout the State (Attachment 2, List of Housing Summit Steering Committee Members) Partnership with these organizations are helping to secure keynote speakers and enhance marketing efforts to promote the event. SCAG has begun its marketing campaign for the conference and is reaching out to potential speakers and panelists concurrently.

FISCAL IMPACT:

Work associated with this item is included in the Fiscal Year 2016-2017 Overall Work Program (WBS Number 16-080.SCG00153.04: Regional Assessment).

ATTACHMENTS:

- 1) Draft Housing Summit Program
- 2) List of Housing Summit Steering Committee Members
- 3) Housing Summit Invitation Flyer

Draft Housing Summit Program

Title: *The Cost of Not Housing*

Date: October 11, 2016

Time: 8:00 a.m. – 2:00 p.m.

Location: LA Hotel 333. S. Figueroa St. Los Angeles, CA 90071

8:00 am to 9:00 am (1 hour)

Networking and Registration

9:00 – 10:00 am (1 hour)

Welcome/Possible Morning Keynote Speaker (TBD)

Hon. Michele Martinez, President of SCAG

10:00 am - 10:40 am (40 minutes)

Morning Panel (General Session)

Title: *Houston...I mean...California? We have a Problem!*

Topic: The current state of California's housing deficit and causes to how we got there. Physical, Economic and Health costs due the lack of housing.

10:40-10:45 AM (5 minutes)

Break

Begin Breakout Sessions (10:45 AM to 11:45 AM) (1 hour each) (3 Breakout Sessions)

Each of the breakout sessions will include a look at projects that exemplify best practices in the session topic and also linkages with long-range transportation plans to reduce greenhouse gas emissions, such as the RTP/SCS.

Breakout Session A

Title: *Show me the Money!*

Topic: The State's role in affordable housing and infrastructure and identifying fiscal and funding resources (e.g., AHSC, EIFD, CRIAs) to foster housing and infrastructure development

Target Audience: *Developers, Elected officials, builders, city/county managers, planning staff, housing advocates, lending institution staff, CFOs*

Breakout Session B

Title: *Integrate, Preserve, Utilize and Build*

Topic: Integrating State, Regional and Local Planning Policies (e.g. SCS, TODs, TRDs, housing preservation, anti-displacement, inclusionary zoning, including water and other infrastructure issues, etc).

Target Audience: *City/County managers, planning staff, housing advocates, developers*

Breakout Session C

Title: Breaking down the walls

Topic: CEQA abuse and NIMBYism hold up good projects. Breaking down barriers to development – Streamlining regulation, tools for re-branding “affordable housing” – myth busting the negative claims and strategies to persuade the community. Showcase of good projects that exemplify local leadership, best practices and moving the needle.

Target Audience: Elected officials, business leaders, housing advocates, community leaders

11:45 am-12:15 pm (30 minutes)

Buffet Lunch

12:15 pm – 12:45 pm (30 minutes)

Summary of Breakout Sessions

Possible Panelists:

- *Selected panelists from each breakout session (TBD)*

12:15 pm – 1:15 pm (30 minutes)

Keynote Speaker

Title: TBD

1:15 pm – 1:45 pm (30 minutes)

Call to Action Panel

Title: Let’s say “YES” to housing

Topic: The California housing crisis is well known but strategy implementation needs to be done on multiple levels in order to have a meaningful impact. Community involvement, stakeholder partnerships are a critical key to this strategy and will ultimately lead to “YES” to housing

1:45 - 2:00 pm (15 minutes)

Closing remarks

- *Hon. Michele Martinez, President of SCAG*
- *Hasan Ikhtrata, Executive Director of SCAG*

Housing Summit Steering Committee Members

City of Santa Ana	Michele Martinez	Regional Council Member/President
City of Duarte	Margaret Finlay	Regional Council Member/First Vice President
City of El Centro	Cheryl Viegas-Walker	Regional Council/Immediate Past President
City of Big Bear Lake	Bill Jahn	Community, Economic and Human Development Committee Chair
City of Claremont	Joe Lyons	Community, Economic and Human Development Committee Member
City of Eastvale	Clint Lorimore	Regional Council Member
City of Glendale	Vartan Gharpetian	Regional Council Member
City of Rolling Hills Estates	Frank Zerunyan	Community, Economic and Human Development Committee Member
City of San Buenaventura	Carl Morehouse	Regional Council Member
City of Santa Monica	Pam O'Connor	Regional Council Member
OCCOG/City of Mission Viejo	Wendy Bucknum	Community, Economic and Human Development Committee Member
AIA Los Angeles	Will Wright	Director
BIA Southern California	Mark Knorringa	CEO
BizFed	Tracy Rafter	Founding CEO
California Association of Councils of Governments	Bill Higgins	Executive Director
California Department of Housing and Community Development	Lisa Bates	Deputy Director
California Forward	Susan Lovenburg	Director
California Renters Legal Advocacy and Education Fund	Sonja Trauss	Director
Climate Resolve	Bryn Lindblad	Associate Director
Gateway Cities Council of Governments	Nancy Pfeffer	Director
Inland Empire Economic Partnership	Paul Granillo	President & CEO
Kennedy Commission	Cesar Covarrubias	Executive Director
Kosmont Companies	Larry Kosmont	President & CEO
LA n Sync	Ellah Ronen	Program Administrator
LA Thrives	Thomas Yee	Initiative Officer
Lewis Management Corp.	Randall Lewis	Executive Vice President
Los Angeles Business Council	Adam Lane	Legislative Director
Los Angeles Housing and Community Investment Department	Claudia Monterrosa	Director
Metropolitan Transportation Commission	Ken Kirkey	Director
Mobility 21	Jenny Larios	Executive Director
Move LA	Denny Zane	Executive Director
National CORE	Steve PonTell	President & CEO
Newhall Land and Farming Company	Greg McWilliams	President
Orange County Business Council	Lucy Dunn	President & CEO
Orange County Council of Governments	Marnie O'Brien Primmer	Executive Director
Sacramento Area Council of Governments	Mike McKeever	Executive Director
San Diego Association of Governments	Gary Gallegos	Executive Director
Southern California Association of Non-Profit Housing	Alan Greenlee	Executive Director
Southern California Leadership Council	Kish Rajan/Richard Lambros	President/Managing Director
Urban Land Institute Los Angeles	Gail Goldberg	Executive Director
Western Riverside Council of Governments	Rick Bishop	Executive Director

REGISTER TODAY

CALIFORNIA

HOUSING

Summit

THE COST OF NOT HOUSING

TUESDAY, OCTOBER 11, 2016

8:00 a.m. - 2:00 p.m.

L.A. HOTEL
333 S. Figueroa St.
Los Angeles, CA 90071

www.scag.ca.gov/housingsummit



CALIFORNIA

HOUSING SUMMIT

There is a chronic shortage of housing throughout California. Major institutions, employers, and startups cite lack of housing options as a serious impediment to recruiting and retaining talent. The impact of housing affordability is a critical challenge to local, regional, and Statewide economies, particularly as people from all income groups are increasingly frustrated with the lack of affordable options to rent or buy and instead opt to develop their careers in more affordable areas. The California Housing Summit will focus on resources and opportunities created by State legislation and local policies to build more housing, including affordable housing, and will provide innovative tools to get to **YES** for housing development in local communities. The program will also include speakers on funding infrastructure to support housing and how to convey the health, economic, and accessibility benefits to communities.

Learn more at:

www.scag.ca.gov/housingsummit

IN PARTNERSHIP WITH

American Institute of Architects -- Los Angeles • BizFed: Los Angeles County Business Federation • Building Industry Association, Southern California • CALCOG • California Department of Housing and Community Development • California Economic Summit • California Forward • California Renters Legal Advocacy and Education Fund • Climate Resolve • Gateway Cities Council of Governments • Inland Empire Economic Partnership • Kennedy Commission • Kosmont Companies • LA n Sync • LA Thrives • Lewis Group of Companies • Los Angeles Business Council • Los Angeles Housing and Community • Investment Department • Metropolitan Transportation Commission • Mobility 21 • Move LA • National Community Renaissance • Newhall Land and Farming Company • Orange County Business Council • Orange County Council of Governments • Sacramento Area Council of Governments • San Diego Association of Governments • San Gabriel Valley Council of Governments • Southern California Association of Governments • Southern California Association of Nonprofit Housing • Southern California Leadership Council • University of Southern California, Executive Education Forum • Urban Land Institute Los Angeles • Western Riverside Council of Governments

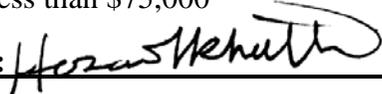
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DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, (213) 236-1817, panas@scag.ca.gov

SUBJECT: Purchase Orders \$5,000 but less than \$200,000; Contracts \$25,000 but less than \$200,000; and Amendments \$5,000 but less than \$75,000

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:
For Information Only - No Action Required.

STRATEGIC PLAN:
This item supports SCAG'S Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

SCAG executed the following Purchase Orders (PO's) between \$5,000 and \$200,000

<u>Vendor</u>	<u>PO Purpose</u>	<u>PO Amount</u>
Caliper Corporation	FY17 TransCAD Software Licenses	\$56,430
ESRI	FY17 ArcGIS Software Licenses	\$40,693
The LA Hotel Downtown	2016 Economic Summit Deposit	\$30,001
Office Depot Business Services Div.	FY17 Office Supplies	\$30,000
SAS Institute, Inc.	FY17 SAS Software Licenses	\$29,300
Software One Inc.	FY17 VMWARE Software Licenses	\$23,984
CQ Roll Call	FY17 Subscription	\$18,580
Harvard Kennedy School	Executive Education Training Program	\$12,400
CDW Government, Inc.	FY17 VEEAM Software Licenses	\$10,066
Hyland Software, Inc.	OnBase Training Video Subscription	\$8,500
Southern Calif. Leadership Network	Tuition for RC Member	\$6,500
American Public Transportation Asso.	FY17 Membership Renewal	\$5,687
Data Processing Air Corporation	Condenser Fan Replacement in HVAC	\$5,489
California Contract Cities Association	FY17 SCAG Membership Renewal	\$5,000

SCAG executed the following Contracts between \$25,000 and \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
1. Stratiscope (17-03-SS1)	The Consultant shall provide policy guidance and technical assistance for earthquake preparedness planning and provide strategic solutions to improve	\$195,000



REPORT

SCAG executed the following Contracts between \$25,000 and \$200,000

<u>Consultant/Contract #</u>	<u>Contract's Purpose</u>	<u>Contract Amount</u>
	resiliency in the SCAG Region. Thereby, helping to minimize the damage and disruption to transportation and other systems in the event of significant earthquake in Southern California.	
2. Digital Map Products (16-030-C1)	The consultant shall provide SCAG with a parcel database for use in development of an integrated land use database. The database is crucial to SCAG's goal to develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.	\$55,000
3. ICF Jones & Stokes, Inc. (16-043-C1)	The consultant shall develop a SCAG regional emission dispersion model that can be used to estimate the concentration of criteria pollutants, including Carbon Monoxide (CO), Nitrogen Oxide (NOx), and Particulate Matter (PM) as they travel away from an emission source by replicate atmospheric condition such as wind speed, wind direction, air temperature and terrain in the SCAG region.	\$34,960
4. California Forward 17-006-SSG1	SCAG, in partnership with 18 non-profit, private and public entities, is planning a Housing Summit which is scheduled for October 11, 2016. The shortage of housing and lack of affordability would have adverse impacts not only on the well-being of individuals and households in the region, but also the competitiveness of the regional economy. The Housing Summit will address both the causes and possible solutions for the housing challenges.	\$30,000

SCAG executed the Amendment between \$5,000 and \$74,999

<u>Consultant/Contract #</u>	<u>Amendment's Purpose</u>	<u>Amendment Amount</u>
N/A	N/A	N/A

ATTACHMENT:
Contract Summaries



CONSULTANT CONTRACT 17-003-SS1

Recommended Consultant: Stratiscope

Background & Scope of Work: SCAG is seeking a consultant to promote earthquake preparedness in Southern California through education of civic leadership into the nature of the earthquake threat and active support of risk reduction partnerships between local governments and regional community leadership to minimize disruption to regional and local transportation systems in the event of a major earthquake.

The consultant shall provide policy guidance and technical assistance for earthquake preparedness planning and provide strategic solutions to improve resiliency in the SCAG Region. Thereby, helping to minimizing the damage and disruption to transportation and other systems in the event of significant earthquake in Southern California.

Project’s Benefits & Key Deliverables: The project’s benefits and key deliverables include, but are not limited to:

- Developing actions that local governments can use to reduce their risk from earthquakes including legislation to improve seismic performance, investments in transportation and other infrastructure, and community engagement;
- Creating collaborations with transportation agencies and other utility providers, both public and private, to use available earth science information to remove predictable risks to our local and regional lifelines; and
- Providing technical expertise on preparedness and reduce potential risk to our local and regional transportation systems, water, telecommunications and other utilities through a series of educational training programs and meetings.

Strategic Plan This item supports SCAG’s Strategic Plan Goal 2: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.

Additionally, this item supports SCAG’s President’s priority to support local and regional earthquake preparedness and resiliency efforts minimizing the damage and disruption to transportation and other systems in the event of significant earthquake in Southern California.

Contract Amount:	Total not-to-exceed	\$199,500
	Stratiscope (prime consultant)	\$79,500
	Dr. Lucile Jones (subconsultant)	\$70,000
	Pearce Global Partners (subconsultant)	\$50,000

Contract Period: Notice-to-Proceed through June 30, 2018

Project Number: 095-04097.01 \$199,500

Request for Information and Qualifications (RFIQ): SCAG was aware of one consultant, Stratiscope, offering the best reputation and expertise in the field of earthquake preparedness planning. However, to help ensure that Stratiscope was the best overall firm with the qualifications desired, SCAG staff notified 3,269 firms of the RFIQ via SCAG’s Solicitation Management

System, and also emailed the RFIQ to 10 additional firms found through an Internet search. SCAG received the following two (2) responses to the RFIQ, excluding Stratiscope:

Stratiscope (2 subconsultants)	N/A – Labor Hour
Stantec (no subconsultants)	N/A – Labor Hour
EUR Consulting & Development, Inc. (no subconsultants)	N/A – Labor Hour

Basis for Selection:

As stated above, SCAG received two other responses to its RFIQ, and while both respondents had significant experience in the field of engineering and earthquake retrofits, neither showed that they possessed the extensive policy background, name-recognition, and media experience needed to be successful in executing the desired scope of work. Therefore staff determined that competition was inadequate, and awarded the contract to Stratiscope, consistent with SCAG's Procurement Manual (dated 11/01/14), section 6.2. C, which authorizes staff to award a Sole Source contract if after solicitation of a number of sources, competition is determined to be inadequate.

Staff also awarded the contract to Stratiscope because of its excellent reputation and expertise in earthquake resilience in Southern California. Stratiscope, with the services of Dr. Lucile Jones, possesses the reputation and credibility within Southern California to ensure SCAG is able to engage with local governments and other partner agencies to successfully perform the tasks associated with this project. Lastly Stratiscope has shown to be a well-known and trusted expert in the field, as demonstrated by Dr. Lucile Jones's appearance on news shows and in articles. Her name recognition and the expertise credibility will be a valuable asset as we engage our member cities.

CONSULTANT CONTRACT 16-030-C1

Recommended Consultant:	Digital Map Products
Background & Scope of Work:	<p>SCAG is seeking a consultant to provide a regional parcel database. The database is crucial to SCAG's goal to develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner.</p> <p>The consultant shall provide SCAG with a parcel database for use in development of an integrated land use database. The data will be made available to SCAG's member agencies to assist with their local planning activities and will allow SCAG to share regional and local planning data with up to 500 end users.</p>
Project's Benefits & Key Deliverables:	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none">• Current parcel data including boundaries and characteristics; and• The ability to share this data and web application with 500 users that includes SCAG member agencies and other stakeholders.
Strategic Plan:	<p>This item supports SCAG's Strategic Plan Goal 4: Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communication Technologies; Objective a: Develop and maintain planning models that support regional planning and Objective c: Maintain a leadership role in the modeling and planning data/GIS communities.</p>
Contract Amount:	<p>Total not-to- exceed \$55,000 Digital Map Products (prime consultant) Note: Digital Map Products originally proposed \$68,000, but staff negotiated the price down to \$55,000 without reducing the scope of work.</p>
Contract Period:	June 23, 2016 through June 23, 2017
Project Number:	055.SCG0133.05 \$55,000 Funding source: Consolidated Planning Grant (CPG)
Request for Proposal (RFP):	<p>SCAG staff notified 2,320 firms of the release of RFP 16-030 and posted the RFP on SCAG's Solicitation Management System. A total of 43 firms downloaded the RFP. SCAG received one (1) proposal in response to the solicitation:</p> <p>Digital Map Products (no subconsultants) \$68,000</p> <p>After receiving only one proposal, staff surveyed the other 42 firms that downloaded the RFP to determine why each did not submit a proposal. Fifteen firms responded to staff's survey and provided various reasons as to why they did not respond ranging from, they did not have sufficient staff, resources, or expertise; to they did not feel they were going to be successful. SCAG staff followed its standard procurement policies and procedures (which included having a Caltrans Representative participate in the evaluation process and advertising this RFP for six (6) weeks rather than the standard four (4) weeks) and did not find any valid reason to withhold awarding the contract from Digital Map Products.</p>

Selection Process: The Proposal Review Committee (PRC) evaluated the proposal in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposal, the PRC did not conduct interviews because the proposal contained sufficient information on which to base a contract award.

The PRC consisted of the following individuals:

Choel-Ho Lee, Senior Regional Planner, SCAG
John Cho, Associate Regional Planner, SCAG
Jung Seo, Regional Planner Specialist, SCAG
Rachel Rodriguez, Research Program Specialist, Caltrans District 7

Basis for Selection: The PRC recommended Digital Map Products for the contract award because the consultant:

- Demonstrated an excellent understanding of the proposed scope of work and the key elements involved. Specifically, they proposed all the data elements specified in the RFP (parcels, aerial imagery, streets) and could have the application up and running within hours of the Notice to Proceed, meeting the aggressive schedule needed to complete the work;
- Demonstrated the extensive experience with projects of similar size and scope. For example, they have a national presence with over 200,000 registered users across twenty-three (23) states and have been doing this type of work since 1997;
- Demonstrated the capability to acquire different data sets and provide an easy to use navigation tool. Their system allows SCAG to add additional data layers to the application making it a more robust system and one that will facilitate analyses by both SCAG and its member agencies; and
- Provided an application platform that is in a secure facility in Irvine ensuring that the system will be available to users around the clock (24/7).

CONSULTANT CONTRACT 16-043-C1

Recommended Consultant:	ICF Jones & Stokes, Inc.				
Background & Scope of Work:	The consultant shall develop a SCAG regional emission dispersion model that can be used to estimate the concentration of criteria pollutants, including Carbon Monoxide (CO), Nitrogen Oxide (NOx), and Particulate Matter (PM) as they travel away from an emission source by replicating atmospheric condition such as wind speed, wind direction, air temperature and terrain in the SCAG region.				
Project's Benefits & Key Deliverables:	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none">• A working regional air dispersion model and the model application tool that will assist staff with its analysis of regional transportation planning, and policies, such as transportation conformity, public health, and environmental justice;• A means to more accurately predict pollutants concentration emitted from vehicles; and• Final project report documenting the project and modeling application.				
Strategic Plan:	This item supports SCAG's Strategic Plan Goal 1: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective: c) Provide practical solutions for moving new ideas forward.				
Contract Amount:	Total not-to-exceed \$34,960 ICF Jones & Stokes, Inc. Note: ICF Jones & Stokes, Inc. originally proposed \$59,984, but staff negotiated the price down to \$34,960 without reducing the required scope of work.				
Contract Period:	June 27, 2016 through August 31, 2016				
Project Number(s):	055-0133B.05 \$10,000 150-4096B.02 \$25,000 Funding source(s): Consolidated Planning Grant (CPG) and Federal Highway Administration (FHWA).				
Request-for-Proposal (RFP):	<p>SCAG staff notified 695 firms of the release of RFP 16-043 via SCAG's Solicitation Management System. A total of 36 firms downloaded the RFP. SCAG received the following two (2) proposals in response to the solicitation:</p> <table><tr><td>ICF Jones & Stokes, Inc. (no subconsultants)</td><td style="text-align: right;">\$59,984</td></tr><tr><td>University of California, Riverside (no subconsultants)</td><td style="text-align: right;">\$23,586</td></tr></table>	ICF Jones & Stokes, Inc. (no subconsultants)	\$59,984	University of California, Riverside (no subconsultants)	\$23,586
ICF Jones & Stokes, Inc. (no subconsultants)	\$59,984				
University of California, Riverside (no subconsultants)	\$23,586				
Selection Process:	The Proposal Review Committee (PRC) evaluated both proposals in accordance with the criteria set forth in the RFP, and conducted the selection process in a manner consistent with all applicable federal and state contracting regulations. After evaluating the proposals, the PRC did not conduct interviews because the proposals contained sufficient information on which to base a contract award.				

The PRC consisted of the following individuals:

Mana Sangkapichai, Transportation Modeler, SCAG

Rongsheng Luo, Program Manager, SCAG

John Cho, Associate Regional Planner, SCAG

Basis for Selection: The PRC initially awarded the contract to the University of California, Riverside. However, they would not accept SCAG's standard contract terms and conditions. Consequently, staff awarded the contract to ICF Jones & Stokes, Inc. Staff negotiated the ICF Jones & Stokes, Inc.'s cost down from \$59,984 to \$34,960 by removing items that were not required to complete the scope of work (i.e., were above and beyond what was required).

The PRC also recommended ICF Jones & Stokes, Inc. for the contract award because the consultant:

- Demonstrated an excellent understanding of the project, specifically ICF Jones & Stokes, Inc. brings a long history of supporting Environmental Justice issues specific to air pollution and in particular air dispersion modeling; and
- Provided an excellent technical approach, for example meteorological data is critical to defining dispersion of air pollutants. SCAG region is large and to prepare the meteorological data for entire SCAG region, which meteorological conditions are vary widely, will represent a challenge. ICF Jones & Stokes, Inc. is able to recommend an appropriate air dispersion model, along with preprocessed meteorological data that can be utilized and publicly available. This approach reduces both times and costs for data preparation.

CONSULTANT CONTRACT 17-006-SSG1

Recommended Consultant:	California Forward
Background & Scope of Work:	<p>SCAG, in partnership with 18 non-profit, private and public entities, is planning a Housing Summit which is scheduled for October 11, 2016. The shortage of housing and lack of affordability may have adverse impacts not only on the well-being of individuals and households in the region, but also the competitiveness of the regional economy. The Housing Summit will address both the causes and possible solutions for the housing challenges.</p> <p>The consultant shall provide expert professional services to create local fiscal incentives and develop sources of funding for housing development.</p>
Project's Benefits & Key Deliverables:	<p>The project's benefits and key deliverables include, but are not limited to:</p> <ul style="list-style-type: none">• Providing advice on the program design and implementation of the Housing Summit;• Conducting timely strategic issues analysis;• Determining how to establish fiscal incentives to encourage local government to approve housing;• Determining how to increase state financial participation in local housing development;• Recommending and recruiting speakers and panelists; and• Supporting follow-up recommendations from the Housing Summit.
Strategic Plan	<p>This item supports SCAG's Strategic Plan Goal 2: Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies; Objective a: Create and Facilitate a Collaborative and Cooperative Environment to Produce Forward Thinking Regional Plans.</p>
Contract Amount:	Total not-to-exceed \$30,000 California Forward (prime consultant)
Contract Period:	July 5, 2016 through December 30, 2016
Project Number:	800-0160.04 \$30,000 Funding Source: General Fund
Selection Process:	<p>The subject contract award is in accordance with the Regional Council Policy Manual, Article VIII, Section 1.2 (updated September 2009, pg. 26), and the SCAG Procurement Manual (sections 3.3. and 3.4) which authorizes the Executive Director or his designee (the Chief Financial Officer) to approve a consultant contract without competition, if the contract is less than \$200,000 and paid for from the General Fund.</p>
Basis for Selection:	<p>California Forward is recommended for the contract award due to Mr. Fred Silva, the company's Project Manager, being widely regarded as the foremost expert in addressing housing challenges with fiscal incentives and opportunities. He was with the Public Policy Institute of California and currently serves as senior fiscal policy advisor for California Forward. He has spent over 40 years developing</p>

public policy at the state and local government level, and is considered an expert in fiscal policies related to housing. Mr. Silva has authored nearly two dozen publications and commentaries regarding government fiscal issues, many of which are directly related to state wide and local funding strategies with respect to California's housing crisis. Mr. Silva has provided professional services and has conducted presentations throughout the state. Recently, Mr. Silva has been in the forefront in informing state and local government and local residents, about the importance of Enhanced Infrastructure Financing Districts (EIFDs) and other tools that would spur local and regional investments. For these reasons, it was in the agency's best interest to retain Mr. Silva to provide the needed services.

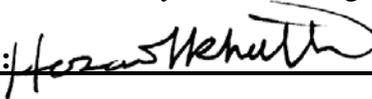
DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Transportation Committee (TC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer, 213-236-1817, panas@scag.ca.gov

SUBJECT: Resolution No. 16-582-1 Regarding Acceptance of Office of Traffic Safety Grant Funds to Support the Active Transportation Safety and Encouragement Campaign

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION FOR EAC:

Staff recommends that the Regional Council approve Resolution No. 16-582-1 authorizing SCAG to accept when awarded grant funds from the State of California Office of Traffic Safety (OTS) in the amount of \$500,000 to support the Southern California Go Human Campaign.

RECOMMENDED ACTION FOR TC:

Receive and File.

RECOMMENDED ACTION FOR RC:

Approve Resolution No. 16-582-1 authorizing SCAG to accept Office of Traffic Safety grant funds to support the Go Human Campaign.

EXECUTIVE SUMMARY:

On May 1, 2014, the General Assembly adopted a resolution in support of endorsing a regional effort to promote a pedestrian and bicycle safety initiative. To pursue this effort, SCAG launched Go Human, a Regional Active Transportation Safety and Encouragement Campaign, with funding from the Active Transportation Program. To extend campaign efforts, SCAG applied to OTS for Pedestrian/Bicycle Safety funds in the amount of \$500,000 to conduct a second round of Go Human advertising and safety programming at regional events. SCAG has received a tentative approval from OTS of the funding request and SCAG staff seeks RC approval to receive the funds when awarded.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan, Goal 1 (Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies), Objective C (Provide practical solutions for moving new ideas forward).

BACKGROUND:

Data indicates that 37 pedestrians and bicyclists are killed or injured daily in the SCAG region. To address this, the SCAG 2014 General Assembly passed a resolution to support a regional safety initiative aimed at improving roadway safety for bicyclists and pedestrians. To implement the resolution, SCAG secured \$2.3 million in Caltrans grant funding from the statewide 2014 Active Transportation Program call for projects to coordinate a Southern California Active Transportation Safety and Encouragement Campaign.

REPORT

Using these grant resources, SCAG successfully initiated the Go Human Campaign with the launch of a first round of advertising in September of 2015. The advertising campaign has secured over 400 million impressions to date (each time an ad is seen) region-wide through a combination of SCAG's initial investment, added value media secured through op-eds and press outlets, and donated media from local and county partners. SCAG has also made significant progress completing the other components of the initial grant scope of work which includes implementing a series of Go Human events in partnership with local cities as well as developing toolkits aimed at creating active transportation champions.

To continue the Go Human Campaign's momentum, SCAG applied for an additional \$500,000 in funding from the Office of Traffic Safety to extend the campaign into 2017. On June 2, 2016, OTS informed SCAG that it had tentatively approved the funding request subject to the approval by the National Highway Traffic Safety Administration, and that it was the goal of OTS that all new grants start no later than October 1, 2016. To facilitate this schedule, SCAG seeks RC approval at this time to receive the grant funds upon official award by OTS. When awarded, this funding will be used to conduct a second round of safety advertising in the spring of 2017. In addition, the funds will be used to provide safety programming for pedestrians and bicyclists at regional events.

FISCAL IMPACT:

If awarded, SCAG will receive \$500,000 in grant funds from the Office of Traffic Safety that will be utilized for the Southern California Active Transportation Safety and Encouragement Campaign.

ATTACHMENT:

Resolution No. 16-582-1



RESOLUTION NO. 16-582-1

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS (SCAG)
ACCEPTING GRANT FUNDS FROM THE STATE OF CALIFORNIA
OFFICE OF TRAFFIC SAFETY TO SUPPORT SCAG'S
SOUTHERN CALIFORNIA ACTIVE TRANSPORTATION
SAFETY AND ENCOURAGEMENT CAMPAIGN**

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Alan Wapner, Ontario

Immediate Past President

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**Executive/Administration
Committee Chair**

Michele Martinez, Santa Ana

Policy Committee Chairs

Community, Economic and
Human Development
Bill Jahn, Big Bear Lake

Energy & Environment
Carmen Ramirez, Oxnard

Transportation

Barbara Messina, Alhambra

WHEREAS, the Southern California Association of Governments (“SCAG”) is the designated Metropolitan Planning Organization (MPO), pursuant to 23 U.S.C. Section 134 et seq. and 49 U.S.C. Section 5303 et seq., serving the nation’s largest metropolitan planning area comprised of Los Angeles, Orange, San Bernardino, Riverside, Ventura and Imperial Counties; and

WHEREAS, SCAG has applied for grant funds from the California Office of Traffic Safety (OTS), Pedestrian/Bicycle Safety totaling \$500,000 (“Grant Funds”), to support the SCAG’s Active Transportation Safety and Encouragement Campaign; and

WHEREAS, the primary goal of the OTS is to “effectively and efficiently administer traffic safety grant funds to reduce traffic deaths, injuries, and economic losses;” and

WHEREAS, the Grant Funds will be used to extend the Go Human Advertising Campaign and support Community Outreach/Tactical Urbanism Campaign events with safety focused programming; and

WHEREAS, OTS has informed SCAG of its tentative approval of the request for the Grant Funds subject to approval by the National Highway Traffic Safety Administration.

NOW, THEREFORE, BE IT RESOLVED by the Regional Council of Southern California Association of Governments to authorize SCAG to accept and administer the Grant Funds from OTS, when awarded, to support the Southern California Active Transportation Safety and Encouragement Campaign.

BE IT FURTHER RESOLVED by the SCAG Regional Council as follows:

1. That SCAG's Executive Director or his designee is hereby designated and authorized by the Regional Council to execute all necessary agreements and other documents on behalf of the Regional Council as they relate to the Grant Funds from OTS in support of the Southern California Active Transportation Safety and Encouragement Campaign.

PASSED, APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at a regular meeting this 1st day of September, 2016.

Michele Martinez
President, SCAG
Councilmember, City of Santa Ana

Attested by:

Hasan Ikhata
Executive Director

Approved as to Form:

Joann Africa
Chief Counsel

REPORT

DATE: September 1, 2016

TO: Executive/Administration Committee (EAC)
Regional Council (RC)

FROM: Basil Panas, Chief Financial Officer; (213) 236-1817; panas@scag.ca.gov

SUBJECT: CFO Monthly Report

EXECUTIVE DIRECTOR'S APPROVAL: 

RECOMMENDED ACTION:
For Information Only-No Action Required.

STRATEGIC PLAN:
This item supports SCAG's Strategic Plan Goal, 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

MEMBERSHIP DUES
As of August 17, 2016, 140 cities and 3 counties had renewed their memberships with SCAG. There were 49 cities and 3 counties outstanding.

AUDITS
The Caltrans auditors are scheduled to return to SCAG on August 29, 2016 to commence their transactions testing. They will return in mid-September for additional testing.

BUDGET & GRANTS (B&G):
On June 27, 2016, SCAG received final approval for the FY 2016-17 Overall Work Program (OWP).

On July 29, 2016 staff submitted the FY 2015-16 OWP 4th Quarter Progress Report with preliminary expenditures to Caltrans for their review. The 4th Quarter Progress Report with final expenditures will be delivered to Caltrans in September.

The Call for Applications for the 2017-18 Caltrans Sustainable Transportation Planning Grant Program is expected in late August. B&G staff, in collaboration with Caltrans, District 7 staff, will be hosting a Regional Workshop in September to review guidelines and answer questions from potential applicants.

CONTRACTS:
In July 2016, the Contracts Department issued three (3) Request for Proposal (RFP); awarded six (6) contracts; issued eight (8) contract amendments; and processed 169 Purchase Orders to support ongoing business and enterprise operations. Contracts staff continued to negotiate better pricing and reduced costs for services and for fiscal year 2016 negotiated a cumulative budget savings of approximately \$395,827.

ATTACHMENT:
July 2016 CFO Monthly Status Report



SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS

Office of the Chief Financial Officer

Monthly Status Report

JULY 2016



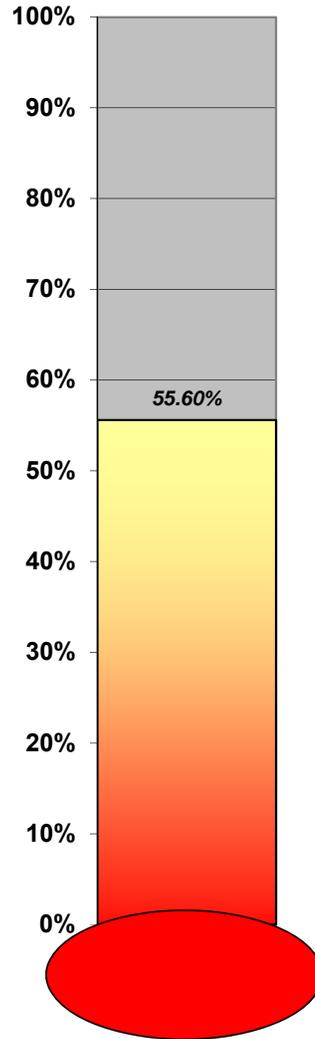
OVERVIEW

As of August 16, 2016, 140 cities and counties have renewed their memberships. Forty-nine cities and three counties have yet to renew and there were two cities in the SCAG region still being recruited for membership.

SUMMARY

FY17 Membership Dues	<u><u>\$1,947,180.67</u></u>
Total Collected	<u><u>\$1,082,661.00</u></u>
Percentage Collected	<u><u>55.60%</u></u>

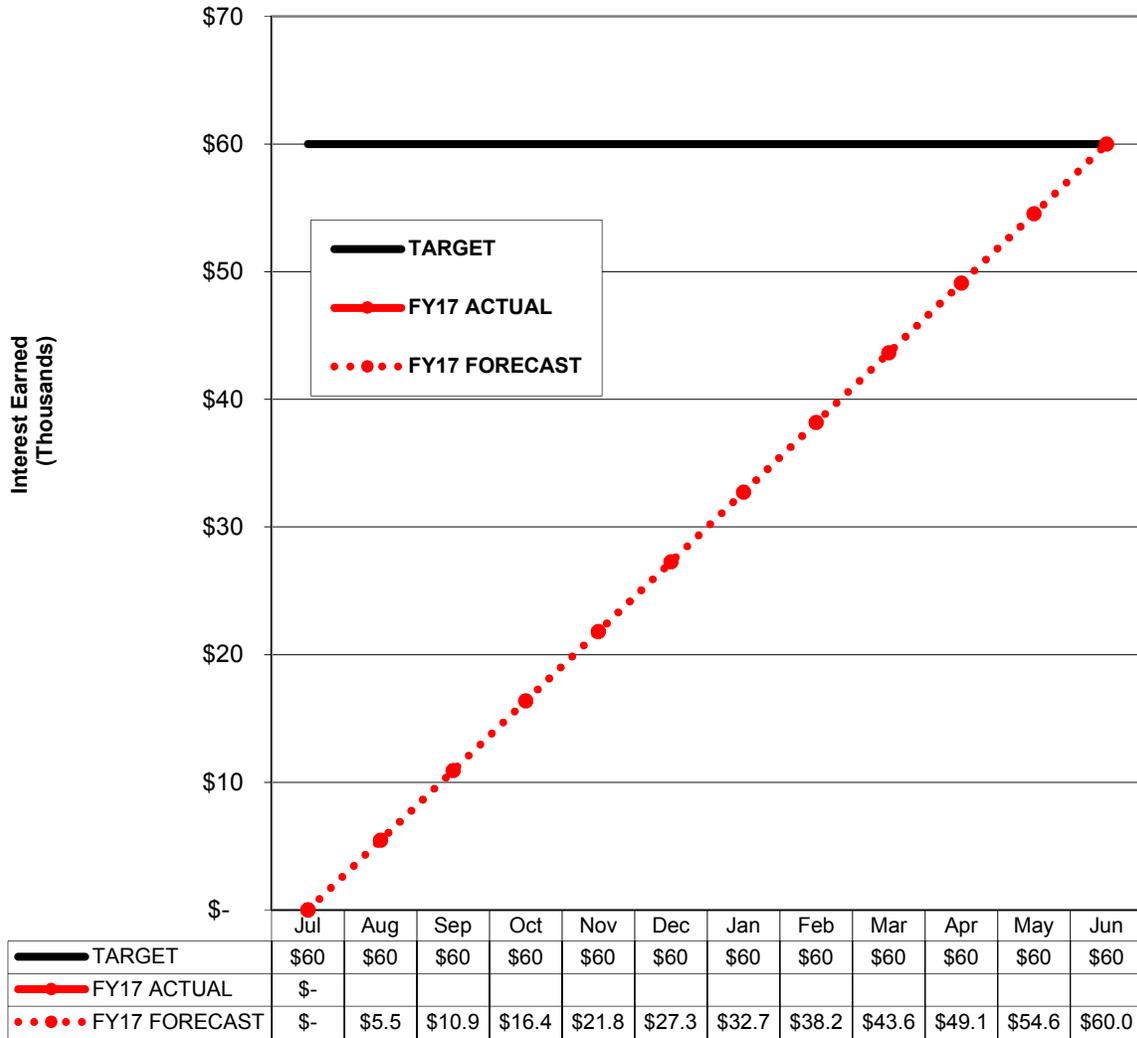
FY17 Membership Dues Collected



Office of the CFO
Interest Earnings Variance



INTEREST EARNINGS VARIANCE



OVERVIEW

Actual interest income is plotted against the target amount. The amount credited to SCAG's account through July was zero because there is a one-month reporting lag. The LA County Pool earned 0.95% in June.

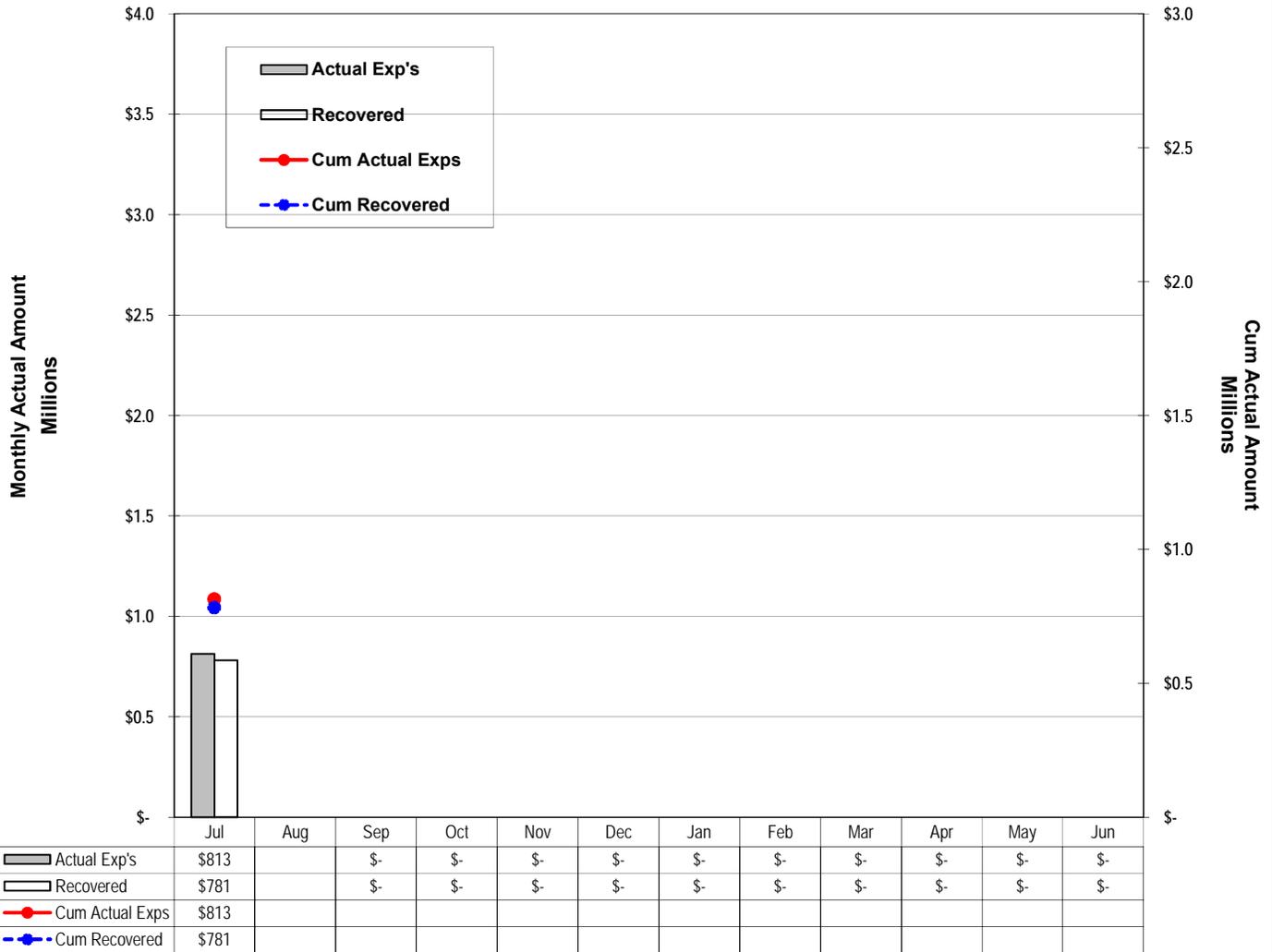
SUMMARY

The amount projected for FY17 is \$60,000.



SOUTHERN CALIFORNIA
ASSOCIATION of GOVERNMENTS

FY17 INDIRECT COST & RECOVERY



OVERVIEW

A comparison of Indirect Cost (IC), incurred by SCAG vs. IC recovered from SCAG's grants.

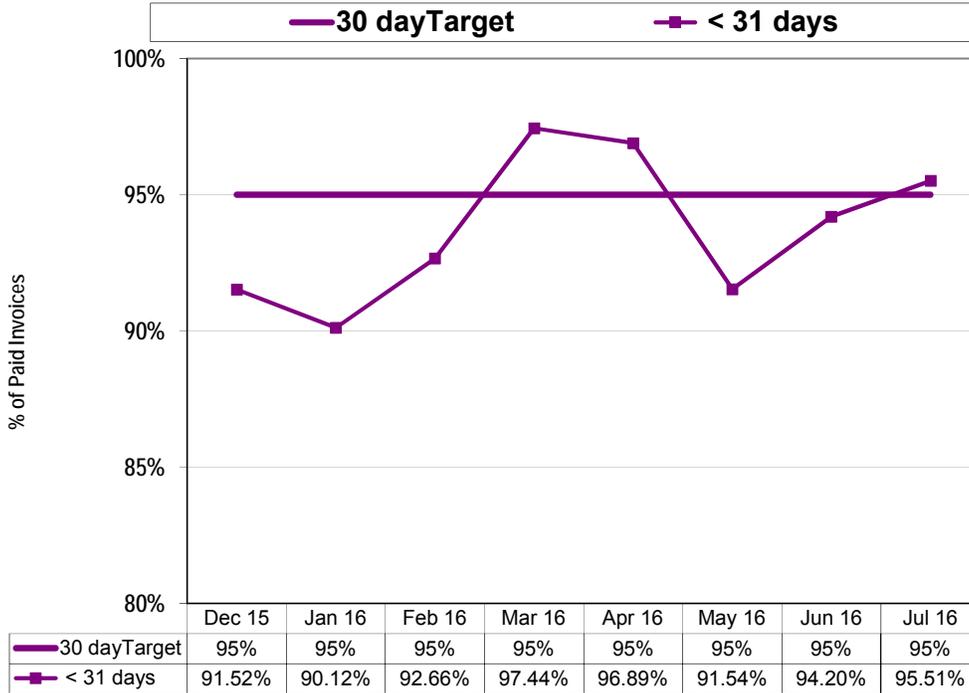
SUMMARY

Through July 2016, SCAG was under-recovered by \$32,389 due to lower than budgeted labor and fringe benefits charges and the recapture of prior over-recovery.

Office of the CFO
Invoice Aging



INVOICE AGING



OVERVIEW

The percent of total invoices paid within 30 days. The target is to pay 95% of all invoices within 30 days. This goal was met.

SUMMARY

95.51% of July 2016's payments were made within 30 days of invoice receipt.

At month-end, 88 invoices remained unpaid less than 30 days.

Actual

OVERVIEW

The percent of total invoices paid within 60 and 90 days. The target is to pay 98% of invoices within 60 days and 100% within 90 days.

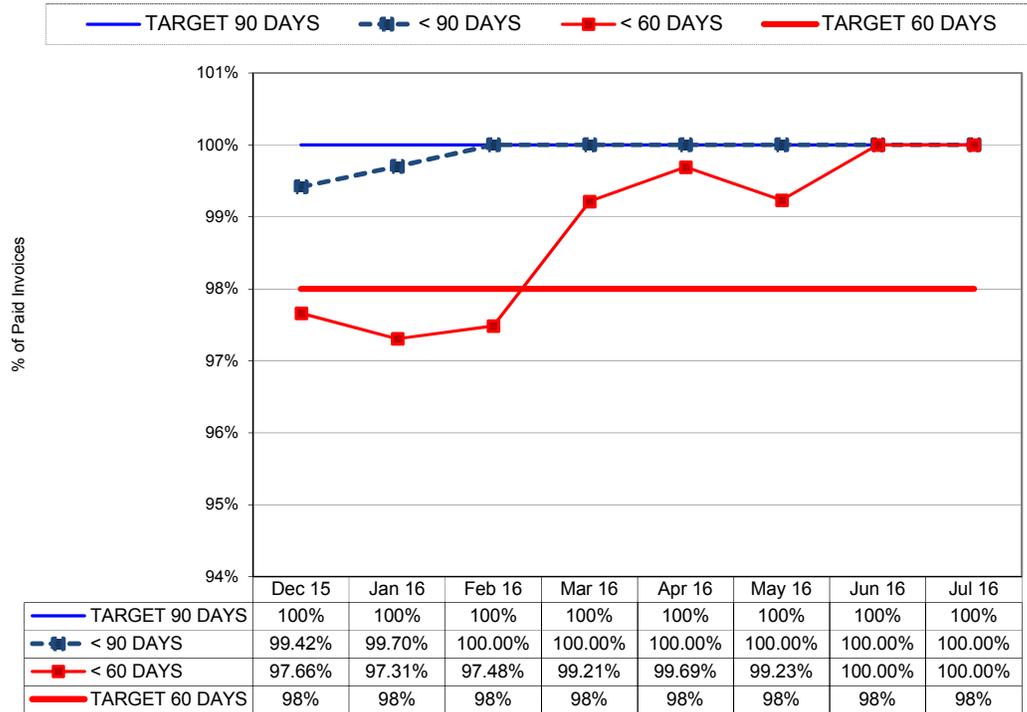
SUMMARY

These goals were met during this period.

100.00% of July 2016's payments were within 60 days of invoice receipt and 100.00% within 90 days. Invoices unpaid 30-60 days totaled 27; 60-90 days: 8; >90 days: 7.



INVOICE AGING



Office of the CFO
Consolidated Balance Sheet

	6/30/2016	7/31/2016	Incr (decr) to equity	COMMENTS
1				
2	Cash at Bank of the West	\$ 920,706	\$ 2,490,119	
3	LA County Investment Pool	\$ 9,813,519	\$ 9,012,984	
4	Cash & Investments	\$ 10,734,225	\$ 11,503,103	\$ 768,878 Grant collections increased
5				
6	Accounts Receivable	\$ 6,696,316	\$ 5,829,643	\$ (866,673) July billings are always less than June billings
7				
8	Fixed Assets - Net Book Value	\$ 547,814	\$ 547,814	\$ - No change
9				
10	Total Assets	\$ 17,978,355	\$ 17,880,561	\$ (97,795)
11				
12	Accounts Payable	\$ (1,862,818)	\$ (1,051,873)	\$ 810,945 AP backlog was reduced
13				
14	Employee-related Liabilities	\$ (288,636)	\$ (320,217)	\$ (31,581) June had four unpaid workdays, July had five
15				
16	Other Current Liabilities	\$ 326,191	\$ 273,968	\$ (52,224) July saw a \$32K IC under-recovery
17				
18	Deferred Revenue	\$ (676,710)	\$ (699,210)	\$ (22,500) Received \$22.5K from Dept. of Energy
19				
20	Total Liabilities and Deferred Revenue	\$ (2,501,973)	\$ (1,797,333)	\$ 704,640
21				
22	Fund Balance	\$ 15,476,382	\$ 16,083,228	\$ 606,846
23				
24				
	WORKING CAPITAL			
25		6/30/2016	7/31/2016	Incr (decr) to working capital
26	Cash	\$ 10,734,225	\$ 11,503,103	\$ 768,878
27	Accounts Receivable	\$ 6,696,316	\$ 5,829,643	\$ (866,673)
28	Accounts Payable	\$ (1,862,818)	\$ (1,051,873)	\$ 810,945
29	Employee-related Liabilities	\$ (288,636)	\$ (320,217)	\$ (31,581)
30	Working Capital	\$ 15,279,086	\$ 15,960,656	\$ 681,570

Office of the CFO
Fiscal Year-To-Date Expenditure Report Through July 31, 2016



COMPREHENSIVE BUDGET

		Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	Staff & Allocated Fringe Benefits	158,335	158,335	14,183		144,152	9.0%
2	51001 Allocated Indirect Costs	125,937	125,937	10,097		115,840	8.0%
3	54300 SCAG Consultants	414,000	414,000	50,000	61,639	302,361	12.1%
4	54340 Legal costs	120,000	120,000	-	75,000	45,000	0.0%
6	55441 Payroll, bank fees	15,000	15,000	732		14,268	4.9%
7	55510 Office Supplies	25,000	25,000	-	0	25,000	0.0%
8	55600 SCAG Memberships	21,250	21,250	-		21,250	0.0%
9	55610 Professional Membership	15,000	15,000	-		15,000	0.0%
10	55730 Capital Outlay	1,355,619	1,355,619	-		1,355,619	0.0%
11	55830 Conference - Registration	15,000	15,000	6,500		8,500	43.3%
12	55860 Scholarships	32,000	32,000	-		32,000	0.0%
13	55910 RC/Committee Mtgs	25,000	25,000	2,011	2,989	20,000	8.0%
14	55912 RC Retreat	5,000	5,000	-		5,000	0.0%
15	55914 RC General Assembly	500,000	500,000	-	75,708	424,292	0.0%
17	55915 Demographic Workshop	18,000	18,000	-		18,000	0.0%
18	55916 Economic Summit	80,000	80,000	5,000	30,001	44,999	6.3%
19	55920 Other Meeting Expense	45,000	45,000	7,806	71	37,123	17.3%
20	55930 Miscellaneous other	12,000	12,000	97	11,904	0	0.8%
21	55940 Stipend - RC Meetings	220,752	220,752	10,010	0	210,742	4.5%
22	56100 Printing	12,500	12,500	-		12,500	0.0%
23	58100 Travel - outside SCAG region	55,000	55,000	1,945	0	53,055	3.5%
24	58101 Travel - local	26,000	26,000	216	0	25,784	0.8%
25	58110 Mileage - local	23,500	23,500	26	0	23,474	0.1%
26	58200 Travel - Reg Fees	1,000	1,000	-		1,000	0.0%
27	58800 RC Sponsorships	135,000	135,000	-		135,000	0.0%
28	Total General Fund	3,455,893	3,455,893	108,622	257,311	3,089,960	3.1%
29				-			
30	Staff & Fringe Benefits	15,468,852	15,468,852	1,082,560		14,386,292	7.0%
31	51001 Allocated Indirect Costs	12,303,677	12,303,677	770,675		11,533,002	6.3%
32	54300 SCAG Consultants	9,421,216	9,421,216	-	7,159,019	2,262,197	0.0%
33	54360 Pass-through Payments	23,368,912	23,368,912	-		23,368,912	0.0%
34	55210 Software Support	247,231	247,231	99,131	33,061	115,039	40.1%
35	55280 Third Party Contribution	3,651,163	3,651,163	-		3,651,163	0.0%
36	55620 Resource Materials - subscrib	910,000	910,000	17,250	72,001	820,750	1.9%
37	55810 Public Notices	30,000	30,000	-		30,000	0.0%
38	55830 Conference - Registration	50,000	50,000	-		50,000	0.0%
39	55920 Other Meeting Expense	70,000	70,000	-		70,000	0.0%
40	55930 Miscellaneous - other	108,108	108,108	-	1,298	106,810	0.0%
41	56100 Printing	60,000	60,000	-		60,000	0.0%
42	58100 Travel	330,800	330,800	-		330,800	0.0%
43	Total OWP	66,019,959	66,019,959	1,969,616	7,265,379	56,784,964	3.0%
44				-			
45	Comprehensive Budget	69,475,852	69,475,852	2,078,238	7,522,690	59,874,924	3.0%

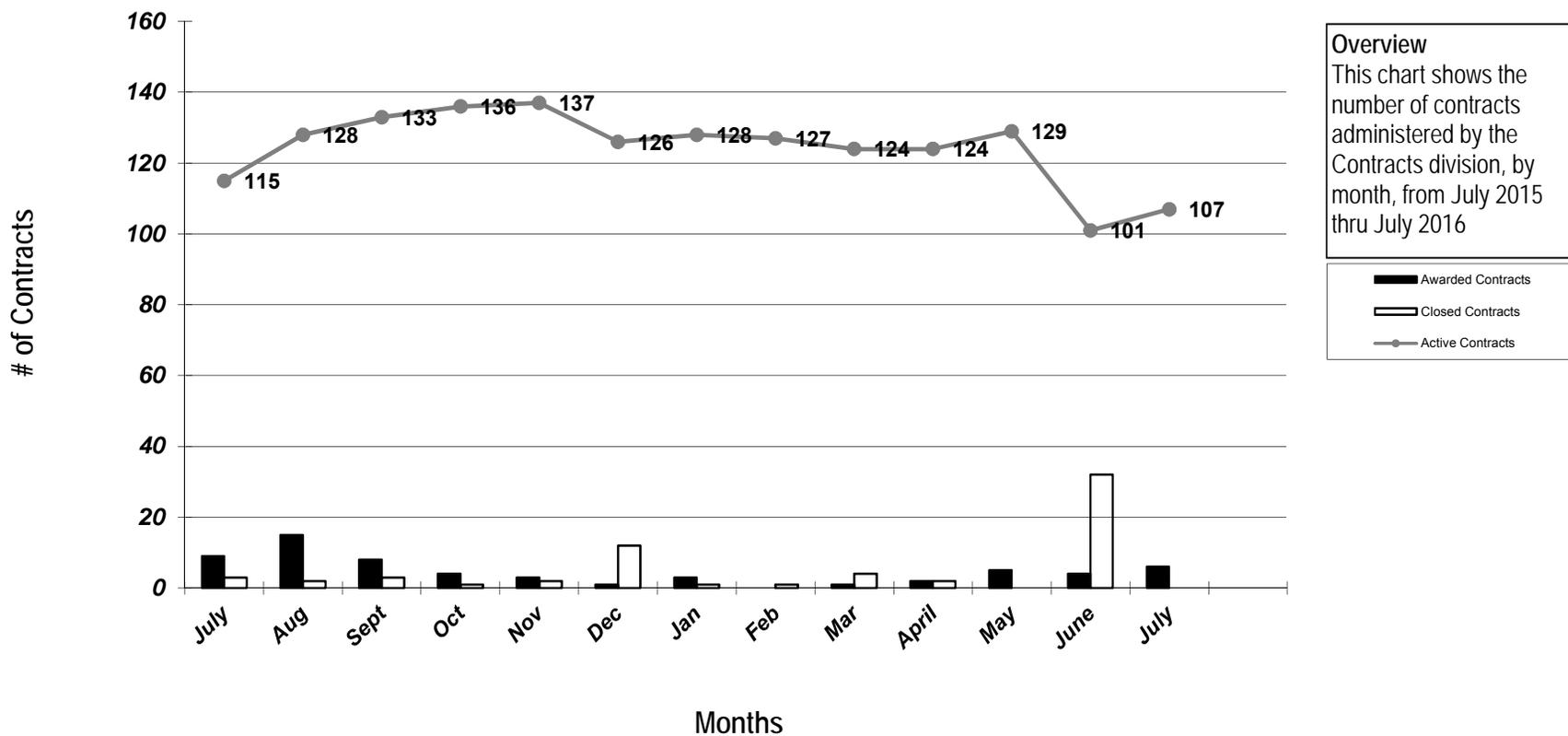
Office of the CFO
Fiscal Year-To-Date Expenditure Report Through July 31, 2016



INDIRECT COST EXPENDITURES

			Adopted Budget	Amended Budget	Expenditures	Commitments	Budget Balance	% Budget Spent
1	50010	Regular Staff	3,729,813	3,729,813	273,170		3,456,643	7.3%
2	50013	Regular OT	-	1,000	181		819	18.1%
3	50014	Interns, Temps, Annuity	169,202	168,202	6,093		162,109	3.6%
5	51000	Allocated Fringe Benefits	2,792,611	2,792,611	267,925		2,524,686	9.6%
6	54300	SCAG Consultants	200,000	200,000	-	200,000	0	0.0%
7	54301	Consultants - Other	1,313,016	1,313,016	21,453	659,630	631,934	1.6%
8	54340	Legal	200,000	200,000	-	65,179	134,821	0.0%
10	55210	Software Support	497,337	497,337	37,591	25,789	433,957	7.6%
11	55220	Hardware Supp	64,320	64,320	1,365	0	62,955	2.1%
12	55240	Repair & Maint Non-IT	27,450	27,450	480	26,970	0	1.7%
14	55400	Office Rent 818 Offices	1,660,000	1,660,000	125,083	1,348,550	186,367	7.5%
15	55410	Office Rent Satellite	245,883	245,883	14,475	218,594	12,814	5.9%
16	55420	Equip Leases	124,500	124,500	-	83,649	40,851	0.0%
17	55430	Equip Repairs & Maint	11,323	11,323	77	11,245	0	0.7%
18	55435	Security Services	100,000	100,000	-	0	100,000	0.0%
19	55440	Insurance	154,999	154,999	12,917		142,082	8.3%
20	55441	Payroll / Bank Fees	20,000	20,000	1,183		18,817	5.9%
21	55445	Taxes	6,200	6,200	-		6,200	0.0%
22	55460	Mater & Equip < \$5,000	17,500	17,500	-	525	16,975	0.0%
23	55510	Office Supplies	73,800	73,800	48	73,752	0	0.1%
24	55520	Graphic Supplies	2,000	2,000	-		2,000	0.0%
25	55530	Telephone	175,000	175,000	7,188	0	167,812	4.1%
26	55540	Postage	10,000	10,000	-	10,000	0	0.0%
27	55550	Delivery Services	6,250	6,250	183	6,066	0	2.9%
28	55600	SCAG Memberships	189,575	189,575	-		189,575	0.0%
30	55620	Res Mats/Subscrip	54,205	54,205	23,788		30,417	43.9%
31	55700	Deprec - Furn & Fixt	40,000	40,000	-		40,000	0.0%
32	55710	Deprec - Computer Equipment	70,000	70,000	-		70,000	0.0%
33	55715	Amortiz - Software	173,140	173,140	-		173,140	0.0%
34	55720	Amortiz - Leasehold Improvements	8,000	8,000	-		8,000	0.0%
35	55800	Recruitment Notices	50,000	50,000	-		50,000	0.0%
36	55801	Recruitment - other	25,000	25,000	604	24,396	0	2.4%
37	55810	Public Notices	5,000	5,000	-		5,000	0.0%
38	55820	Training	81,500	61,500	-		61,500	0.0%
39	55830	Conference/workshops	21,350	21,350	336	0	21,014	1.6%
40	55920	Other Mtg Exp	3,200	3,200	-		3,200	0.0%
41	55930	Miscellaneous - other	5,000	5,000	-	60	4,940	0.0%
42	55950	Temp Help	38,500	38,500	-	13,367	25,133	0.0%
43	56100	Printing	50,500	50,500	-	1,000	49,500	0.0%
44	58100	Travel - Outside	106,400	106,400	-		106,400	0.0%
45	58101	Travel - Local	14,150	14,150	194		13,956	1.4%
46	58110	Mileage - Local	46,825	46,825	26		46,799	0.1%
47	58200	Travel - Reg Fees	-	20,000	18,800		1,200	94.0%
50		Total Indirect Cost	12,583,549	12,583,549	813,160	2,768,773	9,001,616	6.5%

SCAG Contracts (Year to Date)



Overview
This chart shows the number of contracts administered by the Contracts division, by month, from July 2015 thru July 2016

- Awarded Contracts
- Closed Contracts
- Active Contracts



Summary

The chart shows that the Contracts Department is managing 107 active consultant contracts. Fifty-three of these are Cost Plus Fixed Fee contracts, 15 are fixed price contracts, and the remaining 39 are Time and Materials (T&M) contracts (includes Labor Hour and Retainer contracts). The Contracts Department anticipates issuing approximately Forty contracts for the remainder of FY 2016-17. Note, due to the nature of SCAG's work, the majority of SCAG contracts have a one year term and end on June 30th each year.

GROUPS	Authorized Positions	Filled Positions	Vacant Positions
Executive	5	4	1
Legal	3	2	1
Strategy, Policy & Public Affairs	21	19	2
Administration	42	39	3
Planning & Programs	69	63	6
Total	140	127	13

OTHER POSITIONS

GROUPS	Limited Term Positions	Temp Positions	Agency Temps
Executive	0	0	0
Legal	0	0	0
Strategy, Policy & Public Affairs	1	1	0
Administration	5	5	0
Planning & Programs	3	19	0
Total	9	25	0