

SOUTHERN CALIFORNIA



**ASSOCIATION of
GOVERNMENTS**

Main Office

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Officers

President

Glen Becerra, Simi Valley

First Vice President

Greg Pettis, Cathedral City

Second Vice President

Carl Morehouse, San Buenaventura

Immediate Past President

Pam O'Connor, Santa Monica

**Executive/Administration
Committee Chair**

Glen Becerra, Simi Valley

Policy Committee Chairs

Community, Economic and

Human Development

Paula Lantz, Pomona

Energy & Environment

Cheryl Viegas-Walker, El Centro

Transportation

Keith Millhouse, Ventura County

Transportation Commission

**No. 549
MEETING OF THE**

REGIONAL COUNCIL

PLEASE NOTE TIME
Thursday, May 2, 2013
10:30 a.m. – 11:00 a.m.

JW Marriott Desert Springs Resort & Spa
Desert Ballrooms 7 & 8
74855 Country Club Drive
Palm Desert, CA 92260

Tel: (213) 236-1800

If members of the public wish to review the attachments or have any questions on any of the agenda items, please contact Lillian Harris-Neal at (213) 236-1858 or via email at harris-neal@scag.ca.gov. In addition, regular meetings of the Regional Council may be viewed live or on-demand at www.scag.ca.gov/scagtv

Agendas & Minutes for the Regional Council are also available at:
www.scag.ca.gov/committees/rc.htm

SCAG, in accordance with the Americans with Disabilities Act (ADA), will accommodate persons who require a modification of accommodation in order to participate in this meeting. SCAG is also committed to helping people with limited proficiency in the English language access the agency's essential public information and services. You can request such assistance by calling (213) 236-1858. We request at least 72 hours (three days) notice to provide reasonable accommodations. We prefer more notice if possible. We will make every effort to arrange for assistance as soon as possible.

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Regional Council

Members – May 2013

	<u>Members</u>		<u>Representing</u>
President	1. Hon. Glen Becerra	<i>Simi Valley</i>	District 46
1st Vice-President	2. Hon. Greg Pettis	<i>Cathedral City</i>	District 2
2nd Vice-President	3. Hon. Carl Morehouse	<i>San Buenaventura</i>	District 47
Imm. Past President	4. Hon. Pam O'Connor	<i>Santa Monica</i>	District 41
	5. Hon. Jack Terrazas		Imperial County
	6. Hon. Michael Antonovich		Los Angeles County
	7. Hon. Mark Ridley-Thomas		Los Angeles County
	8. Hon. Shawn Nelson		Orange County
	9. Hon. Gary Ovitt		San Bernardino County
	10. Hon. Jeff Stone		Riverside County
	11. Hon. Linda Parks		Ventura County
	12. VACANT		OCTA
	13. Hon. Robert "Bob" Botts	<i>Banning</i>	RCTC
	14. Hon. Alan Wapner	<i>Ontario</i>	SANBAG
	15. Hon. Keith Millhouse	<i>Moorpark</i>	VCTC
	16. VACANT		ICTC
	17. VACANT		METRO
	18. Hon. Cheryl Viegas-Walker	<i>El Centro</i>	District 1
	19. Hon. Jim Hyatt	<i>Calimesa</i>	District 3
	20. Hon. Jeff DeGrandpre	<i>Eastvale</i>	District 4
	21. Hon. Ronald Roberts	<i>Temecula</i>	District 5
	22. Hon. Jon Harrison	<i>Redlands</i>	District 6
	23. Hon. Larry McCallon	<i>Highland</i>	District 7
	24. Hon. Deborah Robertson	<i>Rialto</i>	District 8
	25. Hon. Paul Eaton	<i>Montclair</i>	District 9
	26. Hon. Ed Graham	<i>Chino Hills</i>	District 10
	27. Hon. Bill Jahn	<i>Big Bear Lake</i>	District 11
	28. Hon. Mike Munzing	<i>Aliso Viejo</i>	District 12
	29. Hon. Kathryn McCullough	<i>Lake Forest</i>	District 13
	30. Hon. Steven Choi	<i>Irvine</i>	District 14
	31. Hon. Leslie Daigle	<i>Newport Beach</i>	District 15

Regional Council

Members – May 2013

<u>Members</u>		<u>Representing</u>
32. Hon. Michele Martinez	<i>Santa Ana</i>	District 16
33. Hon. John Nielsen	<i>Tustin</i>	District 17
34. Hon. Leroy Mills	<i>Cypress</i>	District 18
35. Hon. Kris Murray	<i>Anaheim</i>	District 19
36. VACANT		District 20
37. Hon. Art Brown	<i>Buena Park</i>	District 21
38. Hon. Brett Murdock	<i>Brea</i>	District 22
39. Hon. Bruce Barrows	<i>Cerritos</i>	District 23
40. Hon. Gene Daniels	<i>Paramount</i>	District 24
41. Hon. Mario Guerra	<i>Downey</i>	District 25
42. Hon. Jim Morton	<i>Lynwood</i>	District 26
43. Hon. Frank Gurulé	<i>Cudahy</i>	District 27
44. Hon. Dan Medina	<i>Gardena</i>	District 28
45. Hon. Steven Neal	<i>Long Beach</i>	District 29
46. Hon. James Johnson	<i>Long Beach</i>	District 30
47. Hon. Roy Francis	<i>La Habra Heights</i>	District 31
48. Hon. Margaret Clark	<i>Rosemead</i>	District 32
49. Hon. Gene Murabito	<i>Glendora</i>	District 33
50. Hon. Barbara Messina	<i>Alhambra</i>	District 34
51. Hon. Margaret E. Finlay	<i>Duarte</i>	District 35
52. Hon. Donald Voss	<i>La Cañada/Flintridge</i>	District 36
53. Hon. Carol Herrera	<i>Diamond Bar</i>	District 37
54. Hon. Paula Lantz	<i>Pomona</i>	District 38
55. Hon. James Gazeley	<i>Lomita</i>	District 39
56. Hon. Judy Mitchell	<i>Rolling Hills Estates</i>	District 40
57. VACANT		District 42
58. Hon. Steven Hofbauer	<i>Palmdale</i>	District 43
59. Hon. Mark Rutherford	<i>Westlake Village</i>	District 44
60. Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
61. Hon. Ed P. Reyes	<i>Los Angeles</i>	District 48
62. Hon. Paul Krekorian	<i>Los Angeles</i>	District 49

Regional Council

Members – May 2013

<u>Members</u>		<u>Representing</u>
63. Hon. Dennis Zine	<i>Los Angeles</i>	District 50
64. Hon. Tom LaBonge	<i>Los Angeles</i>	District 51
65. Hon. Paul Koretz	<i>Los Angeles</i>	District 52
66. VACANT	<i>Los Angeles</i>	District 53
67. Hon. Richard Alarcón	<i>Los Angeles</i>	District 54
68. Hon. Bernard C. Parks	<i>Los Angeles</i>	District 55
69. Hon. Jan Perry	<i>Los Angeles</i>	District 56
70. Hon. Herb Wesson, Jr.	<i>Los Angeles</i>	District 57
71. Hon. Bill Rosendahl	<i>Los Angeles</i>	District 58
72. Hon. Mitchell Englander	<i>Los Angeles</i>	District 59
73. Hon. Eric Garcetti	<i>Los Angeles</i>	District 60
74. Hon. José Huizar	<i>Los Angeles</i>	District 61
75. Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
76. Hon. Karen Spiegel	<i>Corona</i>	District 63
77. Hon. Matthew Harper	<i>Huntington Beach</i>	District 64
78. Hon. Ryan McEachron	<i>Victorville</i>	District 65
79. Hon. Lupe Ramos Watson	<i>Indio</i>	District 66
80. Hon. Marsha McLean	<i>Santa Clarita</i>	District 67
81. VACANT		Tribal Government Representative
82. Hon. Lisa Bartlett	<i>Dana Point</i>	TCA
83. VACANT		Air District Representative
84. Hon. Antonio Villaraigosa	<i>Los Angeles</i>	(At-Large)

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REGIONAL COUNCIL

AGENDA

MAY 2, 2013

The Regional Council may consider and act upon any of the items listed on the agenda regardless of whether they are listed as Information or Action Items.

CALL TO ORDER & PLEDGE OF ALLEGIANCE

(Hon. Glen Becerra, President)

PUBLIC COMMENT PERIOD – Members of the public desiring to speak on items on the agenda, or items not on the agenda, but within the purview of the Council, must fill out and present a Public Comment Card to the Assistant prior to speaking. Comments will be limited to three (3) minutes per speaker. The President has the discretion to reduce the time limit based upon the number of speakers. The President may limit the total time for all public comments to twenty (20) minutes.

REVIEW AND PRIORITIZE AGENDA ITEMS

EXECUTIVE DIRECTOR'S REPORT

(Hasan Ikhata, Executive Director)

PRESIDENT'S REPORT

- New Members
- New Committee Appointments

COMMITTEE REPORTS/ACTION ITEMS

Executive/Administration Committee (EAC)

(Hon. Glen Becerra, Chair)

Community, Economic and Human Development (CEHD) Committee Report

(Hon. Paula Lantz, Chair)

Transportation Committee (TC) Report

(Hon. Keith Millhouse, Chair)

Energy and Environment Committee (EEC)

(Hon. Cheryl Viegas-Walker, Chair)

Legislative/Communications and Membership Committee (LCMC)

(Hon. Larry McCallon, Chair)

REGIONAL COUNCIL

AGENDA

MAY 2, 2013

CONSENT CALENDAR

Page No.

Approval Items

1. Minutes of the April 4, 2013 Meeting Attachment 1

ACTION ITEM

2. Final Adoption of the Fiscal Year (FY) 2013/14 Comprehensive Budget Attachment 9

Recommended Action: Adopt the Final FY 2013/14 Comprehensive Budget and corresponding Resolution No. 13-549-1. This action authorizes submittal of the Overall Work Program (OWP) to the Federal Highway Administration (FHWA); Federal Transit Administration (FTA); and California Department of Transportation (Caltrans).

(The entire FY 2013/14 OWP may be viewed on SCAG's website: <http://www.scag.ca.gov/owp>)

Receive & File

3. 2013 Regional Council and Policy Committees Meeting Schedule Attachment 58

FUTURE AGENDA ITEM(S)

CLOSED SESSION

ADJOURNMENT

The next meeting of the Regional Council is scheduled for Thursday, June 6, 2013 at the SCAG Los Angeles Office.

NO. 548
SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS
REGIONAL COUNCIL
MINUTES OF THE MEETING
APRIL 4, 2013

THE FOLLOWING MINUTES ARE A SUMMARY OF ACTIONS TAKEN BY THE REGIONAL COUNCIL. A VIDEO RECORDING OF THE ACTUAL MEETING IS AVAILABLE ON THE SCAG WEBSITE AT: www.scag.ca.gov/scagtv/index.htm

The Regional Council (RC) of the Southern California Association of Governments (SCAG) held its meeting at the SCAG Los Angeles Office. There was a quorum.

Members Present

Hon. Glen Becerra, President	<i>Simi Valley</i>	District 46
Hon. Greg Pettis, 1 st Vice-President	<i>Cathedral City</i>	District 2
Hon. Michael D. Antonovich		Los Angeles County
Hon. Shawn Nelson		Orange County
Hon. Gary Ovitt		San Bernardino County
Hon. Jeff Stone		Riverside County
Hon. Jack Terrazas		Imperial County
Hon. Linda Parks		Ventura County
Hon. Keith Millhouse	<i>Moorpark</i>	VCTC
Hon. Bob Botts	<i>Banning</i>	RCTC
Hon. Cheryl Viegas-Walker	<i>El Centro</i>	District 1
Hon. Jim Hyatt	<i>Calimesa</i>	District 3
Hon. Jeff DeGrandpre	<i>Eastvale</i>	District 4
Hon. Ronald Roberts	<i>Temecula</i>	District 5
Hon. Larry McCallon	<i>Highland</i>	District 7
Hon. Deborah Robertson	<i>Rialto</i>	District 8
Hon. Paul Eaton	<i>Montclair</i>	District 9
Hon. Ed Graham	<i>Chino Hills</i>	District 10
Hon. Bill Jahn	<i>Big Bear Lake</i>	District 11
Hon. Mike Munzing	<i>Aliso Viejo</i>	District 12
Hon. Steven Choi	<i>Irvine</i>	District 14
Hon. Leslie Daigle	<i>Newport Beach</i>	District 15
Hon. Michele Martinez	<i>Santa Ana</i>	District 16
Hon. Leroy Mills	<i>Cypress</i>	District 18
Hon. Kris Murray	<i>Anaheim</i>	District 19
Hon. Brett Murdock	<i>Brea</i>	District 22
Hon. Bruce Barrows	<i>Cerritos</i>	District 23
Hon. Gene Daniels	<i>Paramount</i>	District 24
Hon. Jim Morton	<i>Lynwood</i>	District 26
Hon. Frank Gurulé	<i>Cudahy</i>	District 27
Hon. Dan Medina	<i>Gardena</i>	District 28
Hon. Steven Neal	<i>Long Beach</i>	District 29
Hon. James Johnson	<i>Long Beach</i>	District 30
Hon. Roy Francis	<i>La Habra Heights</i>	District 31

Members Present - continued

Hon. Margaret Clark	<i>Rosemead</i>	District 32
Hon. Gene Murabito	<i>Glendora</i>	District 33
Hon. Barbara Messina	<i>Alhambra</i>	District 34
Hon. Margaret E. Finlay	<i>Duarte</i>	District 35
Hon. Donald Voss	<i>La Cañada/Flintridge</i>	District 36
Hon. Paula Lantz	<i>Pomona</i>	District 38
Hon. James Gazeley	<i>Lomita</i>	District 39
Hon. Judy Mitchell	<i>Rolling Hills Estates</i>	District 40
Hon. Frank Quintero	<i>Glendale</i>	District 42
Hon. Steven Hofbauer	<i>Palmdale</i>	District 43
Hon. Ed P. Reyes	<i>Los Angeles</i>	District 48
Hon. Tom LaBonge	<i>Los Angeles</i>	District 51
Hon. Karen Spiegel	<i>Corona</i>	District 63
Hon. Matthew Harper	<i>Huntington Beach</i>	District 64
Hon. Ryan McEachron	<i>Victorville</i>	District 65
Hon. Sylvia Ballin	<i>San Fernando</i>	District 67
Hon. Lisa Bartlett	<i>Dana Point</i>	TCA

Members Not Present

Hon. Carl Morehouse, 2 nd Vice President	<i>Ventura</i>	District 47
Hon. Pam O'Connor, Immediate Past President	<i>Santa Monica</i>	District 41
Hon. Alan Wapner	<i>Ontario</i>	SANBAG
Hon. Jerry Amante	<i>Tustin</i>	OCTA
Hon. Jon Harrison	<i>Redlands</i>	District 6
Hon. Kathryn McCullough	<i>Lake Forest</i>	District 13
Hon. John Nielsen	<i>Tustin</i>	District 17
Hon. Andy Quach	<i>Westminster</i>	District 20
Hon. Art Brown	<i>Buena Park</i>	District 21
Hon. Carol Herrera	<i>Diamond Bar</i>	District 37
Hon. Mark Rutherford	<i>Westlake Village</i>	District 44
Hon. Carmen Ramirez	<i>Oxnard</i>	District 45
Hon. Paul Krekorian	<i>Los Angeles</i>	District 49
Hon. Dennis Zine	<i>Los Angeles</i>	District 50
Hon. Paul Koretz	<i>Los Angeles</i>	District 52
Hon. Tony Cárdenas	<i>Los Angeles</i>	District 53
Hon. Richard Alarcón	<i>Los Angeles</i>	District 54
Hon. Bernard Parks	<i>Los Angeles</i>	District 55
Hon. Jan Perry	<i>Los Angeles</i>	District 56
Hon. Herb Wesson, Jr.	<i>Los Angeles</i>	District 57
Hon. Bill Rosendahl	<i>Los Angeles</i>	District 58
Hon. Mitchell Englander	<i>Los Angeles</i>	District 59
Hon. Eric Garcetti	<i>Los Angeles</i>	District 60
Hon. José Huizar	<i>Los Angeles</i>	District 61
Hon. Joe Buscaino	<i>Los Angeles</i>	District 62
Hon. Lupe Ramos Watson	<i>Indio</i>	District 66
Hon. Antonio Villaraigosa	<i>Los Angeles</i>	(At-Large)

Staff Present

Hasan Ikhata, Executive Director
Joe Silvey, General Counsel
Joann Africa, Chief Counsel
Debbie Dillon, Deputy Executive Director of Administration
Basil Panas, Chief Financial Officer
Rich Macias, Director of Transportation Planning
Huasha Liu, Director of Land Use & Environmental Planning
Darin Chidsey, Director of Strategy, Policy and Public Affairs
Lillian Harris-Neal, Clerk of the Board
Tess Rey-Chaput, Office of Regional Council Support

CALL TO ORDER AND PLEDGE OF ALLEGIANCE

President Glen Becerra called the meeting to order at approximately 12:15 p.m. Pauline Chow, Esq., Southern California Regional Policy Manager, Safe Routes to School National Partnership, led the Pledge of Allegiance.

PUBLIC COMMENT PERIOD

Councilmember Deborah Robertson, Rialto, District 8, introduced the following sponsors of the General Assembly: Peter Luchetti, Managing Partner, Table Rock Capital (TRC); Megan Matson, TRC Partner; and Steve Lambert, The 20/20 Network. Councilmember Robertson announced that the City of Rialto approved a public-private concession agreement with TRC, a \$41-million capital improvement program, to maintain the city's water and wastewater services.

President Becerra opened the Public Comment period.

Councilmember Marsha McLean, Santa Clarita, North Los Angeles County, commented regarding the Transportation Committee Agenda Item No. 4 relating to the Release of Draft Amendment No. 1 to the 2012-2035 RTP/SCS and expressed concerns regarding the proposed conversion of the High Occupancy Toll (HOT) lanes instead of High Occupancy Vehicle (HOV) lanes of the I-5 from State Route 14 to Parker Road; emphasized the commitment to a blended approach with the California High Speed Rail Authority (CHSRA); and cautioned regarding the use of the cap-and-trade funds.

Pauline Chow, Esq., Southern California Regional Policy Manager, Safe Routes to School National Partnership, commended the Regional Council and staff for their hard work in the Active Transportation Subcommittee; and announced that May 8 is designated as "Bike to School" day and May 16 is "Bike to Work" day.

Katherine Lee, American Lung Association of California, expressed appreciation of the formation of the Public Health Subcommittee in the implementation of the 2012-2035 RTP/SCS and encouraged approval of the draft recommendations.

Ryan Aubry, Co-Chair, 2013 Urban Land Institute (ULI) Marketplace, announced the upcoming 13th Annual Urban Marketplace event scheduled for Tuesday, April 16, 2013 at the Dorothy Chandler Pavilion, highlighting SCAG's Compass Blueprint Demonstration Project and a focus on the positive outcomes of redevelopment.

Candice Kim, Coalition for Clean Air, thanked the Regional Council and SCAG staff for their efforts in the development of the public health policy framework and stated support for the proposed draft recommendations of the Public Health Subcommittee.

Arnold Sachs, resident of Lennox, commented regarding Measure R funding and that Measure R alone does not fully fund all projects.

President Becerra closed the Public Comment period.

REVIEW AND PRIORITIZE AGENDA ITEMS

There was no reprioritization of the agenda.

PRESENTATION ON THE UPDATE ON ADMINISTRATION'S DRAFT CAP-AND-TRADE AUCTION PROCEEDS INVESTMENT PLAN

Hasan Ikhata, Executive Director, introduced Cynthia Marvin, Division Chief, California Air Resources Board (ARB). Ms. Marvin provided an update on the Administration's draft Cap-and-Trade Auction Proceeds Investment Plan that was released for public review on February 15, 2013. She stated that AB 1532 (Pérez) signed into law last fall defined the broad-range of projects that would be eligible for cap-and-trade funding and also defined the requirements of the California Department of Finance (DOF) in the development of the Investment Plan and for ARB to hold public hearings and workshops. Ms. Marvin mentioned that SB 535 (De León), the companion bill that also came into effect last fall, specified a minimum allocation of 10% of the available moneys in the Greenhouse Gas Reduction Fund to projects located within disadvantaged communities and to allocate 25% in the Greenhouse Gas Reduction Fund to projects that provide benefits to disadvantaged communities. Ms. Marvin stated that public workshops were held throughout the state with representatives from Administration agencies. She stated that comments and suggestions were received and emphasized that the Administration is seeking to use another terminology in the labeling of "disadvantaged communities." In closing, Ms. Marvin discussed the next steps; release of the draft investment plan; and budget decisions by the legislature on the cap-and-trade revenues.

Discussion ensued and various comments were made by RC members. On behalf of the Regional Council, President Becerra presented Ms. Marvin a small token of appreciation and thanked her for the presentation.

EXECUTIVE DIRECTOR'S REPORT

2013 Regional Conference and General Assembly (GA) Update

Hasan Ikhata, Executive Director, expressed appreciation for Councilmember Cheryl Viegas-Walker, for her efforts as Chair of the GA Host Committee. Mr. Ikhata noted that the Business Session of the GA packet has been sent to the councilmembers and the draft program is available at the SCAG website. He announced that Lt. Governor Gavin Newsom has been confirmed to attend.

Mr. Ikhata reported that the trial court judge rendered a decision in the Friends of Riverside's Hills v. Riverside County Transportation Commission's (RCTC) lawsuit, challenging the RCTC's Environmental Impact Report (EIR) for the Perris Valley Line (PVL) project. The judge ruled against RCTC in certain areas and has scheduled a follow-up hearing for April 24, 2013.

PRESIDENT'S REPORT

President Glen Becerra expressed appreciation and thanked the Regional Council and Policy Committee members, stakeholders, and SCAG staff for assisting him during his tenure as SCAG President. He noted the following individuals have been re-elected to the Regional Council:

Hon. Bruce Barrows, Cerritos, District 23
Hon. Frank Gurule, Cudahy, District 27
Hon. Steven Neal, Long Beach, District 29
Hon. Larry McCallon, Highland, District 7
Hon. Paul Eaton, Montclair, District 9
Hon. Bill Jahn, Big Bear Lake, District 11
Hon. Kris Murray, Anaheim, District 19
Hon. Leslie Daigle, Newport Beach, District 15
Hon. John Nielsen, Tustin, District 17
Hon. Margaret E. Finlay, Duarte, District 35
Hon. Carol Herrera, Diamond Bar, District 37
Hon. James Gazeley, Lomita, District 39
Hon. Pam O'Connor, Santa Monica, District 41
Hon. Steve Hofbauer, Palmdale, District 43
Hon. Carl Morehouse, San Buenaventura, District 47
Hon. Jim Hyatt, Calimesa, District 3
Hon. Ronald Roberts, Temecula, District 5
Hon. Karen Spiegel, Corona, District 63
Hon. Margaret Clark, Rosemead, District 32

President Becerra also welcomed the new members to the Regional Council:

New Regional Councilmembers

Hon. Roy Francis, La Habra Heights, District 31
Hon. Gene Murabito, Glendora, District 33
Hon. Carmen Ramirez, Oxnard, District 45

Committee Appointments

Appointment to the Transportation (TC) Committee

Hon. Roy Francis, La Habra Heights, District 31

Appointments to the Energy and Environment Committee (EEC)

Hon. Carmen Ramirez, Oxnard, District 45
Hon. Mike Munzing, Aliso Viejo, District 12

Joint Meeting with Kern County Council of Governments (COG)

President Becerra invited the Regional Council to the upcoming meeting with Kern County Council of Governments (COG) scheduled for April 22, 2013 to discuss high priority issues of joint concern including State legislative priorities and the Southern California Economic Recovery and Job Creation Strategy Phase II.

President Becerra reported that he authorized several SCAG Board Officers' additional stipend payments due to the number of SCAG-related meetings they attended within the months of February and March.

President Becerra thanked the efforts of Councilmember Cheryl Viegas-Walker and the GA Host Committee for obtaining sponsors for the General Assembly.

Recognition of SCAG "Unsung Heroes"

At the March 7 Regional Council meeting, President Becerra stated that he would recognize SCAG staff as "Unsung Heroes" to be announced at this meeting and had asked Mr. Ikhata and the Executive Team to nominate a staff person from each division who exemplifies teamwork, dedication and good humor to achieve SCAG's mission. President Becerra announced the names of the following "Unsung Heroes" and presented each with a Plaque of Recognition: Ludlow Brown, Web/Graphics Designer; Javier Aguilar, Senior Regional Planner; Ryan Kuo, Senior Regional Planner; Alfonso Hernandez, Senior Grants Analyst; and Justine Block, Deputy Legal Counsel.

COMMITTEE REPORTS/ACTION ITEMS

Executive/Administration Committee (EAC) Report

1. SB 810 (Price): California Transportation Financing Authority: tax credit certificates for exporters and importers: income tax credit – Support
2. AB 564 (Mullin): Community Redevelopment: Successor Agencies – Support
3. AB 1080 (Alejo) Community Revitalization and Investment Authorities – Support
4. AB 690 (Campos): Jobs and Infrastructure Financing Districts: Voter Approval – Support
5. SB 731 (Steinberg): Environment: California Environmental Quality Act and Sustainable Communities Strategy – Work With Author

A motion was made (McCallon) to approved Agenda Item Nos. 1 through 5. Motion was SECONDED (Gurulé) and approved by a MAJORITY VOTE with one (1) IN OPPOSITION (Mills) for Agenda Item No. 1.

Community, Economic and Human Development Committee (CEHD) Report

As Vice Chair of the CEHD Committee, Councilmember Margaret Finlay, Duarte, District 35, reported that she was elected as the new CEHD Committee Chair and Councilmember Bill Jahn, Big Bear Lake, District 11, was elected as the CEHD Committee Vice Chair.

Energy and Environment Committee (EEC) Report

As Chair of the EEC, Councilmember Cheryl Viegas-Walker, El Centro, District 1, reported that Councilmember James Johnson, Long Beach, District 30, was elected as the new EEC Chair and Councilmember Lisa Bartlett, Transportation Corridor Agencies (TCA), as EEC Vice Chair. She also reported that the EEC recommended approval of Agenda Item No. 6.

6. Transportation Conformity Determination for Morongo, Pechanga, and Rest of the South Coast Air Basin Non-attainment Areas for 2008 8-hour Ozone National Ambient Air Quality Standards (NAAQS)

A motion was made (Viegas-Walker) to adopt the Transportation Conformity determination for Morongo, Pechanga, and the rest of the South Coast Air Basin non-attainment areas for 2008 8-hour ozone standards and direct staff to submit it to the Federal Highway Administration and Federal Transit Administration (FHWA/FTA) for approval. Motion was SECONDED (Johnson) and approved by a UNANIMOUS VOTE.

Transportation Committee (TC) Report

As Chair of the TC, Councilmember Keith Millhouse, Moorpark, VCTC, reported that the Subcommittees presented its recommendations and expressed appreciation to each of the Chairs of the Subcommittees for their efforts: Councilmember Barbara Messina, Goods Movement Subcommittee; Councilmember Karen Spiegel, High-Speed Rail and Transit Subcommittee; Councilmember Michele Martinez, Active Transportation Subcommittee; and Gary Ovitt, Transportation Finance Subcommittee.

Councilmember Millhouse announced that he and Councilmember Alan Wapner, Ontario, SANBAG, were re-elected as TC Chair and TC Vice Chair, respectively.

Legislative/Communications and Membership Committee (LCMC) Report

As Chair of the LCMC, Councilmember Larry McCallon, Highland, District 7, noted that Agenda Item No. 12, relating to the April 2013 State and Federal legislative report, had been distributed.

CONSENT CALENDAR

Approval Items

7. Minutes of the March 7, 2013 Meeting
8. SCAG Sponsorships & Memberships
9. Contracts \$200,000 or Greater: Contract No. 13-023-C1, Regional Transportation Plan Implementation and Project Management Assistance Services; and Contract Nos. 13-018-C1, 13-018-C2 and 13-018-C3, Organizational Development Services
10. Sustainability Program Call-for-Proposals Ranking Criteria

Receive & File

11. 2013 Local Profiles Update
12. April 2013 State and Federal Legislative Update
13. Contracts/Purchase Orders between \$25,000 - \$200,000 and/or Amendments between \$5,000 - \$75,000

A motion was made (Jahn) to approve the Consent Calendar Agenda Item Nos. 7 through 13. Motion was SECONDED (Millhouse) and UNANIMOUSLY APPROVED.

INFORMATION ITEMS

14. Summary Report from Subcommittees
15. CFO Monthly Report
16. 2013 Regional Council and Policy Committees Meeting Schedule

FUTURE AGENDA ITEM(S)

Councilmember Tom LaBonge, Los Angeles, District 51, requested a county/region-wide report on streets or certain roads that should be protected, whether parallel to the state route or the freeway system. Mr. Ikhata noted the request.

ANNOUNCEMENTS

CLOSED SESSION

Public Employee Performance Evaluation - Pursuant to Government Code 54957(b), Title: Executive Director

At the conclusion of the Closed Session, Joe Silvey, General Counsel, reported that the Regional Council reviewed the performance evaluation results of the Executive Director and discussed the results with him and stated that the Regional Council did not take any action that had to be reported.

ADJOURNMENT

There being no further business, the Regional Council meeting adjourned at approximately 1:40 p.m.



Lillian Harris-Neal, Clerk of the Board

DATE: May 2, 2013
TO: Regional Council (RC)
FROM: Basil Panas, Chief Financial Officer, panas@scag.ca.gov, (213) 236-1817
SUBJECT: Final Adoption of the Fiscal Year (FY) 2013/14 Comprehensive Budget

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

Adopt the Final FY 2013/14 Comprehensive Budget and corresponding Resolution No. 13-549-1. This action authorizes submittal of the Overall Work Program (OWP) to the Federal Highway Administration (FHWA); Federal Transit Administration (FTA); and California Department of Transportation (Caltrans). (The entire FY 2013/14 OWP may be viewed on SCAG's website: <http://www.scag.ca.gov/owp>)

EXECUTIVE SUMMARY:

On November 1, 2012, the FY 2013/14 budget preparation schedule was presented to the EAC and RC. On March 7, 2013, the RC approved the Draft Comprehensive Budget which included the Draft OWP, and released it for public review and comments. Subsequently, a Draft FY 2013/14 OWP was submitted to FTA/ FHWA and Caltrans for their review and comments. The comments that were received from FTA, FHWA and Caltrans were all related to clarifying the work plan, and where appropriate, were incorporated into the Final OWP. One public comment was received and it was a compliment that the proposed budget includes active transportation activities. The Final OWP meets all applicable federal and state requirements.

In addition to the OWP, the FY 2013/14 Comprehensive Budget includes the General Fund Budget, the Indirect Cost Budget and the Fringe Benefits Budget. On March 7, 2013, the RC approved the FY 2013/14 General Fund Budget and Membership Dues Assessment and authorized its submittal to the General Assembly for adoption. The requested action seeks approval of the FY 2013/14 Comprehensive Budget with an emphasis on the final OWP.

STRATEGIC PLAN:

This item supports SCAG's Strategic Plan Goal 3: Enhance the Agency's Long Term Financial Stability and Fiscal Management.

BACKGROUND:

The OWP contains several sources of revenue. The major source is the Consolidated Planning Grant (CPG), which is used to meet SCAG's Metropolitan Planning Organization (MPO) requirements, and to address the Federal Planning Emphasis Areas (PEAs) of the Federal and State Department of Transportation.

A breakdown of the FY 2013/14 Comprehensive Budget revenues and expenditures is listed below:

REPORT

Table 1 – Comparison of Revenue – Draft vs. Final

REVENUE	DRAFT	FINAL	Incr. (Decre)
FHWA PL	\$ 18,450,000	\$ 18,450,000	\$ -
FTA 5303	\$ 9,000,000	\$ 8,891,811	\$ (108,189)
FEDERAL OTHER	\$ 5,016,392	\$ 5,017,988	\$ 1,596
STATE OTHER	\$ 1,380,924	\$ 1,380,924	\$ -
TDA	\$ 2,185,404	\$ 1,854,432	\$ (330,972)
LOCAL FUNDS	\$ 111,180	\$ 109,784	\$ (1,396)
IN-KIND COMMITMENTS	\$ 4,102,077	\$ 4,049,240	\$ (52,837)
OWP Budget Subtotal	\$ 40,245,977	\$ 39,754,179	\$ (491,798)
Membership Dues	\$ 1,855,792	\$ 1,857,847	\$ 2,055
Other General Fund	\$ 835,106	\$ 835,106	\$ -
Comprehensive Budget Total	\$ 42,936,875	\$ 42,447,132	\$ (489,743)

Table 2 – Comparison of Expenditures – Draft vs. Final

EXPENDITURES	DRAFT	FINAL	Incr. (Decre)
STAFF	\$ 23,225,847	\$ 22,786,886	\$ (438,961)
CONSULTANTS	\$ 11,933,596	\$ 11,933,596	\$ -
OTHER	\$ 5,086,534	\$ 5,033,697	\$ (52,837)
OWP Subtotal	\$ 40,245,977	\$ 39,754,179	\$ (491,798)
General Fund Staff	\$ 219,059	\$ 279,998	\$ 60,939
General Fund Other	\$ 2,471,839	\$ 2,412,955	\$ (58,884)
Comprehensive Budget Total	\$ 42,936,875	\$ 42,447,132	\$ (489,743)

The overall decrease of \$489,743 from the Draft to the Final budget is primarily the result of the decrease in SCAG’s liability and workers’ compensation insurance premiums as recently announced by the California Joint Powers Insurance Authority.

FISCAL IMPACT:

The FY 2013/14 Comprehensive Budget serves to guide the management of the agency’s financial resources. The OWP is the instrument that allows SCAG to manage planning projects and budgets. Approval of this document will continue the flow of federal planning funds for FY 2013/14.

ATTACHMENTS:

- 1) Resolution No. 13-549-1
- 2) Final FY2013/14 Comprehensive Budget

SOUTHERN CALIFORNIA

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GOVERNMENTS**Main Office**

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Transportation Commission

RESOLUTION NO. 13-549-1

**A RESOLUTION OF THE SOUTHERN CALIFORNIA
ASSOCIATION OF GOVERNMENTS (SCAG) APPROVING
AND ADOPTING THE FISCAL YEAR 2013/14
COMPREHENSIVE BUDGET**

WHEREAS, the Southern California Association of Governments (“SCAG”) is the Metropolitan Planning Organization, pursuant to 23 U.S.C. 134 et seq. and 49 U.S.C. 5303 et seq. for six counties: Los Angeles, Orange, San Bernardino, Riverside, Ventura, and Imperial; and

WHEREAS, SCAG has developed the Fiscal Year (FY) 2013/14 Comprehensive Budget that includes the following budget components: the General Fund Budget; the Overall Work Program (OWP); the Indirect Cost Budget (ICAP); and the Fringe Benefit Budget; and

WHEREAS, the OWP is the basis for SCAG’s annual regional planning activities and budget; and

WHEREAS, in conjunction with the OWP Agreement and Master Fund Transfer Agreement, the OWP constitutes the annual funding contract between the State of California Department of Transportation (Caltrans) and SCAG for Consolidated Planning Grant (CPG) funding; and

WHEREAS, SCAG is eligible to receive Federal and/or State funding for certain transportation planning related activities through the California Department of Transportation. Upon award, the funds are implemented through the OWP with SCAG and Caltrans to execute a Fund Transfer Agreement through the Transportation Planning Grant Programs; and

WHEREAS, the Regional Council authorized release of the draft FY 2013/14 OWP for a thirty-day public comment period on March 7, 2013. The draft FY 2013/14 OWP included proposed funding to SCAG as part of the Transportation Planning Grant Programs. All comments to the draft FY 2013/14 OWP have been addressed and incorporated by staff into the final FY 2013/14 OWP within the Comprehensive Budget; and

WHEREAS, on March 7, 2013, the Regional Council approved the FY 2013/14 General Fund Budget and authorized its submittal to the General Assembly for review and adoption on May 2, 2013.

NOW, THEREFORE, BE IT RESOLVED by the Regional Council of the Southern California Association of Governments that the Regional Council hereby approve and adopt the FY 2013/14 Comprehensive Budget.

BE IT FURTHER RESOLVED THAT:

1. The Regional Council hereby authorizes submittal of SCAG's approved FY 2013/14 OWP to the participating State and Federal agencies.
2. The Regional Council hereby authorizes submittal of SCAG's approved FY 2013/14 ICAP to the participating State and Federal agencies.
3. SCAG pledges to pay or secure in cash or services, or both, the matching funds necessary for financial assistance.
4. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby designated and authorized to submit the FY 2013/14 OWP, and to execute all related agreements and documents on behalf of the Regional Council to implement purposes of this Resolution.
5. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to make and submit to funding agencies, the necessary work programs, and budget modifications to the FY 2013/14 OWP based on actual available funds and to draw funds as necessary on a letter of credit or other requisition basis.
6. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to submit grant applications and execute the required Fund Transfer Agreement or related grant agreements and any amendments thereto with Caltrans as part of the Transportation Planning Grant Programs, and to implement the funds through SCAG's OWP.
7. The SCAG Executive Director, or in his absence, the Chief Financial Officer, is hereby authorized to make administrative changes required to implement the FY 2013/14 OWP.

APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at a regular meeting on the 2nd day of May, 2013.

[SIGNATURES ON FOLLOWING PAGE]

Glen Becerra
President, SCAG
Councilmember, Simi Valley

Attested by:

Hasan Ikhata
Executive Director

Approved as to Form:

Joann Africa
Chief Counsel

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FISCAL YEAR

2013
2014

COMPREHENSIVE BUDGET



SOUTHERN CALIFORNIA



ASSOCIATION of
GOVERNMENTS

May 2013

Southern California Association of Governments

COMPREHENSIVE BUDGET

Fiscal Year 2013/14

Southern California Association of Governments
FY 2013/14 Comprehensive Budget

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SECTION I - OVERVIEW

INTRODUCTION

This document contains the Southern California Association of Governments' (SCAG) Comprehensive Budget for Fiscal Year 2013/14.

The total budget for the Association consists of:

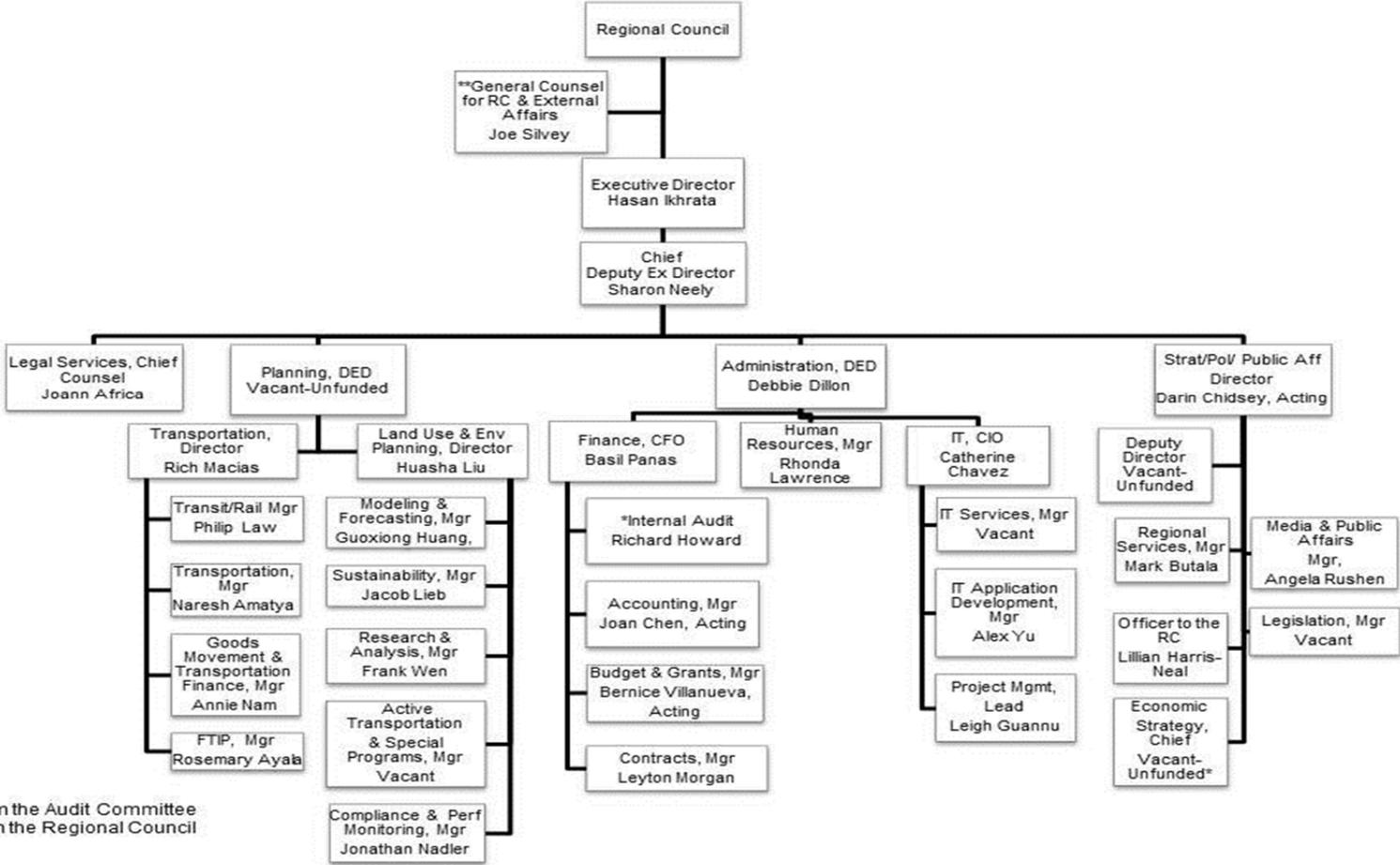
- **The Overall Work Program (OWP)**
A federal, state and locally funded budget consisting of projects related to regional planning in the areas of transportation, housing and the environment.
- **The General Fund (GF)**
A budget that utilizes Association members' dues for activities not eligible for federal and State funding
- **The Indirect Cost Budget (IC)**
The budget for the administrative and operations support of the Association
- **The Fringe Benefit Budget (FB)**
The budget for the fringe benefits and leave time of Association employees

This document is divided into three sections:

- An overview of the Association and its total budget
- A sub-section on each of the budget components
- A set of appendices that expand or provide more detailed information on budget content

SCAG ORGANIZATIONAL CHART

SCAG Organization Chart



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The SCAG Organization

The Southern California Association of Governments (SCAG) is the Metropolitan Planning Organization (MPO) for the six-county Southern California region and is the nation's largest MPO. Through SCAG, city and county governments throughout Southern California come together to develop solutions to common problems in transportation, housing, air quality, waste management and other issues. SCAG also acts as an information clearinghouse and service provider supplying cities and counties with a wide array of demographic, forecasting, mapping and other regional statistics and data.

Decision-making occurs through SCAG's Regional Council, a governing body composed of 84 city and county elected officials, transportation commissions, a tribal government representative, a representative of the business community as appointed by the President of SCAG and a representative from the Transportation Corridor Agencies. All issues considered by the Regional Council must first come through one or more four policy committees (Transportation, Community, Economic and Human Development, Legislative/Communications & Membership, Energy and Environment) or the Executive/Administration Committee, which governs SCAG operations.

The agency also operates via a number of critical partnerships at the local, state and federal levels. In addition to its federal and state funding partners (Federal Highway Administration, Federal Transit Administration, Federal Aviation Administration, California Transportation Commission, California Department of Transportation, etc.) SCAG's planning efforts are closely coordinated with regional transit operators, Tribal Governments and fifteen sub-regional Councils of Governments (COGs) that represent SCAG's cities and counties.

There are a total of 191 cities, two Tribal Governments, six Counties and six commissions in the SCAG region. The region encompasses a population exceeding 18 million persons in an area of more than 38,000 square miles.

SCAG increasingly relies on input from its constituent members, community leaders, and the Southern California citizenry. It also employs a staff of professional planners, modelers and policy analysts who examine the region's challenges and works collaboratively with all stakeholders to develop potential solutions to improve the quality of life in the region.

The framework for developing the 2013/14 Comprehensive Budget is SCAG's multi-year Strategic Plan that focuses on SCAG's vision and priorities and improves the organization and its operations. The FY 2013/14 Comprehensive Budget supports Strategic Plan Goal #3 – Enhance the Agency's Long Term Financial Stability and Fiscal Management. All projects and programs funded in the budget support at least one of the five Strategic Plan Goals.

SCAG STRATEGIC PLAN

Strategic Plan Components

Vision Statement

An international and regional planning forum trusted for its leadership and inclusiveness in developing plans and policies for a sustainable Southern California.

Mission Statement

Under the guidance of the Regional Council and in collaboration with our partners, our mission is to facilitate a forum to develop and foster the realization of regional plans that improve the quality of life for Southern Californians.

Core Values

Collaboration

We foster collaboration through open communication, cooperation and a commitment to teamwork

Service

Our commitment to service and leadership is second to none

Trust

The hallmark of our organization is trust and is accomplished through a professional staff, transparency in decision making and objectivity and accuracy in our day-to-day work

Revolutionary

We are revolutionary in our thinking to achieve a cutting edge work program that is emulated by others

Sustainability

We work with our partners and local governments to achieve a quality of life that provides resources for today's generation while preserving an improved quality of life for future generations

Empowering

The empowering of staff occurs to reward initiative, confidence and creativity while promoting inclusionary decision-making

Rewarding

We promote a work environment that allows for professional and personal growth, recognizes astounding achievement, and makes a positive difference in the lives of the staff and the community

Strategic Plan Goals

GOAL #1

Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

Objectives

- Create and facilitate a collaborative and cooperative environment to produce forward thinking regional plans
- Develop external communications and media strategy to promote partnerships, build consensus and foster inclusiveness in the decision making process
- Provide practical solutions for moving new ideas forward

GOAL #2

Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities

Objectives

- Identify new infrastructure funding opportunities with state, federal and private partners
- Identify and support legislative initiatives
- Maximize use of existing funding by working with state and federal regulators to streamline project delivery requirements

GOAL #3

Enhance the Agency's Long Term Financial Stability and Fiscal Management

Objectives

- Maximize available resources and funds to the fullest extent possible
- Maintain adequate working capital to support Planning and Operations in accordance with SCAG's Investment Policy guidelines
- Monitor and continuously improve agency-wide and user defined budget variance and financial performance reporting system
- Optimize Procurement Process

Strategic Plan Goalscont'd

GOAL #4

Develop, Maintain and Promote the Utilization of State of the Art Models, Information systems and Communication Technologies

Objectives

- Develop and maintain planning models that support regional planning
- Develop, maintain and enhance data and information to support planning and decision making in a timely and effective manner
- Maintain a leadership role in the modeling and planning data/GIS communities
- Integrate advanced information and communication technologies

GOAL #5

Optimize Organizational Efficiency and Cultivate an Engaged Workforce

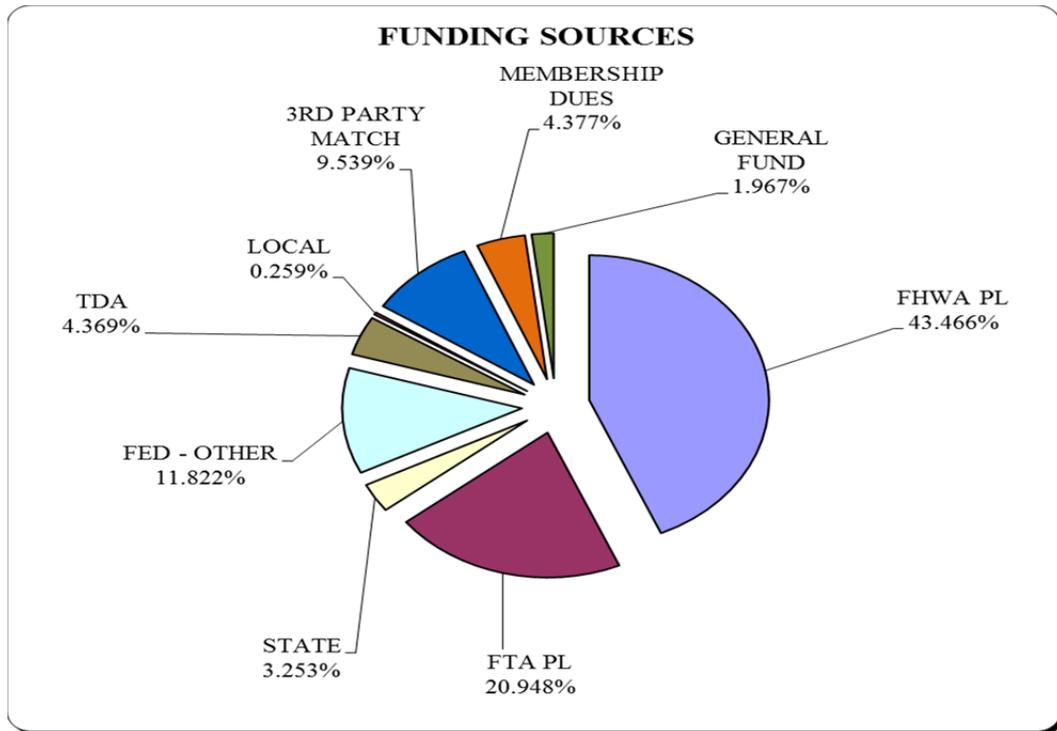
Objectives

- Identify and advocate methods to increase the free flow of information between staff, RC and Policy Committee Members
- Identify and advocate informal methods to share information that improve team building, camaraderie and relationships
- Routinely review and refine the roles and responsibilities at all levels of the organization
- Invest in employee development process
- Periodically review and enhance Project Management Practices

THE FY 2013/14 COMPREHENSIVE BUDGET

How the Budget is Funded

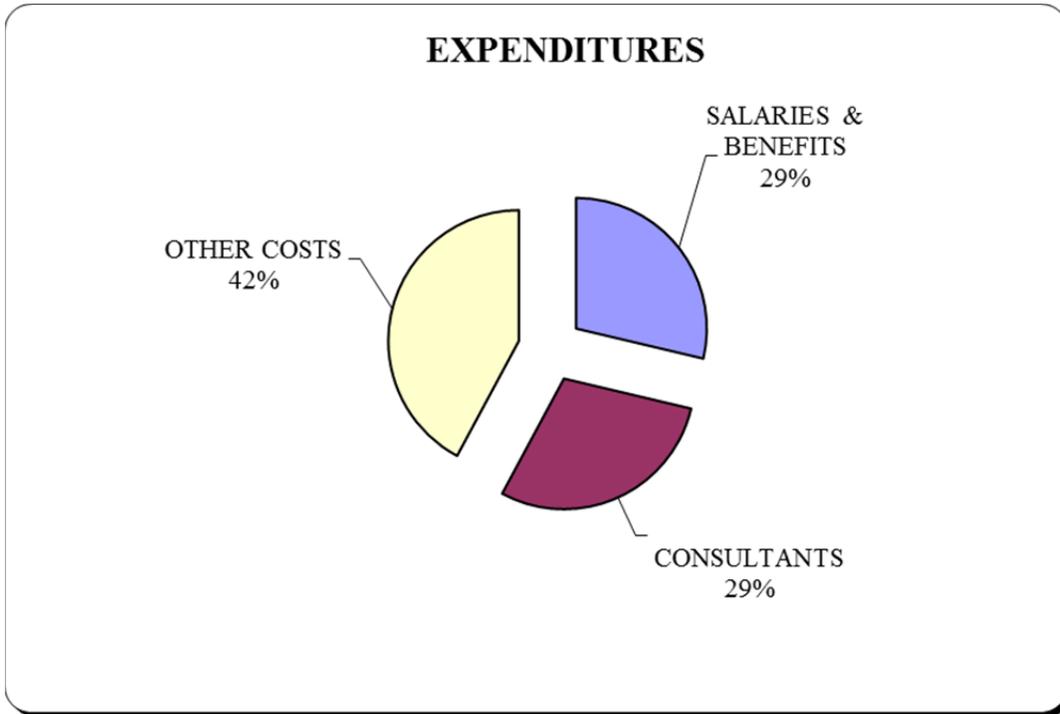
The Association receives most of its funding from the Federal Consolidated Planning Grant. The following chart illustrates the source and relative value funding sources.



FUNDING SOURCE	AMOUNT
FHWA PL	\$ 18,450,000
FTA PL	\$ 8,891,811
STATE	\$ 1,380,924
FED - OTHER	\$ 5,017,988
TDA	\$ 1,854,432
LOCAL	\$ 109,784
3RD PARTY MATCH	\$ 4,049,240
MEMBERSHIP DUES	\$ 1,857,847
GENERAL FUND	\$ 835,106
TOTAL REVENUES	\$ 42,447,132

How the Budget is Allocated

The Association allocates its budget in three major categories. The following chart illustrates the relative values of each category.



EXPENDITURES	AMOUNT
SALARIES & BENEFITS	\$ 12,179,044
SCAG CONSULTANTS	12,374,867
OTHER COSTS*	17,893,221
TOTAL EXPENDITURES	\$ 42,447,132

*Other includes direct and indirect non-labor costs (see page 11)

Comprehensive Line Item Budget: FY 11 thru FY 14 Proposed

GL Account	Line Item	FY11 Actuals	FY12 Actuals	FY13 Adopted	FY14 Proposed
	Staff	\$ 11,714,752	\$ 12,186,789	\$ 13,678,065	\$ 12,179,044
54300	SCAG consultant	8,655,398	11,548,979	15,180,315	12,374,867
54330	Subregional consultant	369,517	113,337	-	-
54340	Legal	50,206	197,070	375,000	175,000
54350	Professional services	957,746	1,118,353	1,331,338	2,507,594
54400	Subregional staff	75,676	-	-	-
55210	Software support	439,248	567,850	509,581	531,364
55220	Hardware support	569,931	343,003	229,030	218,512
55240	Repair - maintenance	35,358	19,612	19,684	20,000
5528X	3rd party contribution	2,979,714	2,907,994	2,808,864	3,182,438
55400	Office rent 818-office	1,457,489	1,503,619	1,536,000	1,582,877
55410	Office rent satellite	141,809	168,316	222,000	220,328
55420	Equipment leases	106,399	103,081	115,000	117,979
55430	Equipment repair-maintenance	53,487	65,257	45,244	35,000
55440	Insurance	1,006,430	251,298	175,299	(126,622)
55441	Payroll / bank fees	21,575	20,194	22,500	23,000
55460	Materials & equipment < \$5,000	45,930	83,513	180,000	93,600
55510	Office supplies	87,864	133,478	141,200	130,000
55520	Graphic supplies	4,547	26,596	50,000	30,000
55530	Telephone	139,899	169,177	165,500	184,800
55540	Postage	32	20,134	20,000	20,000
55550	Delivery services	5,795	6,840	8,900	8,500
55600	SCAG memberships	131,551	115,198	153,000	141,300
55610	Professional memberships	4,005	-	7,610	1,850
55620	Resource materials	140,580	179,843	511,185	241,200
55700	Depreciation - furniture & fixture	152,852	88,686	45,137	43,700
55710	Depreciation - computer	29,926	153,159	77,723	95,000
55720	Amortization - lease	49,186	15,947	7,402	5,300
55730	Capital outlay	-	-	665,382	677,106
55800	Recruitment - advertising	17,331	10,534	7,000	10,000
55801	Recruitment - other	2,340	7,976	30,000	22,000
55810	Public notices	17,719	50,566	13,000	53,000
55820	Staff training	75,393	133,139	160,000	65,000
55830	Conferences/workshops	55,411	13,898	42,850	36,350
55910	RC/committee meetings	2,861	49,822	8,237	50,000
55914	RC general assembly	190,861	265,395	330,000	330,000

**Comprehensive Line Item Budget: FY 11 thru FY 14 Proposed
(Continued...)**

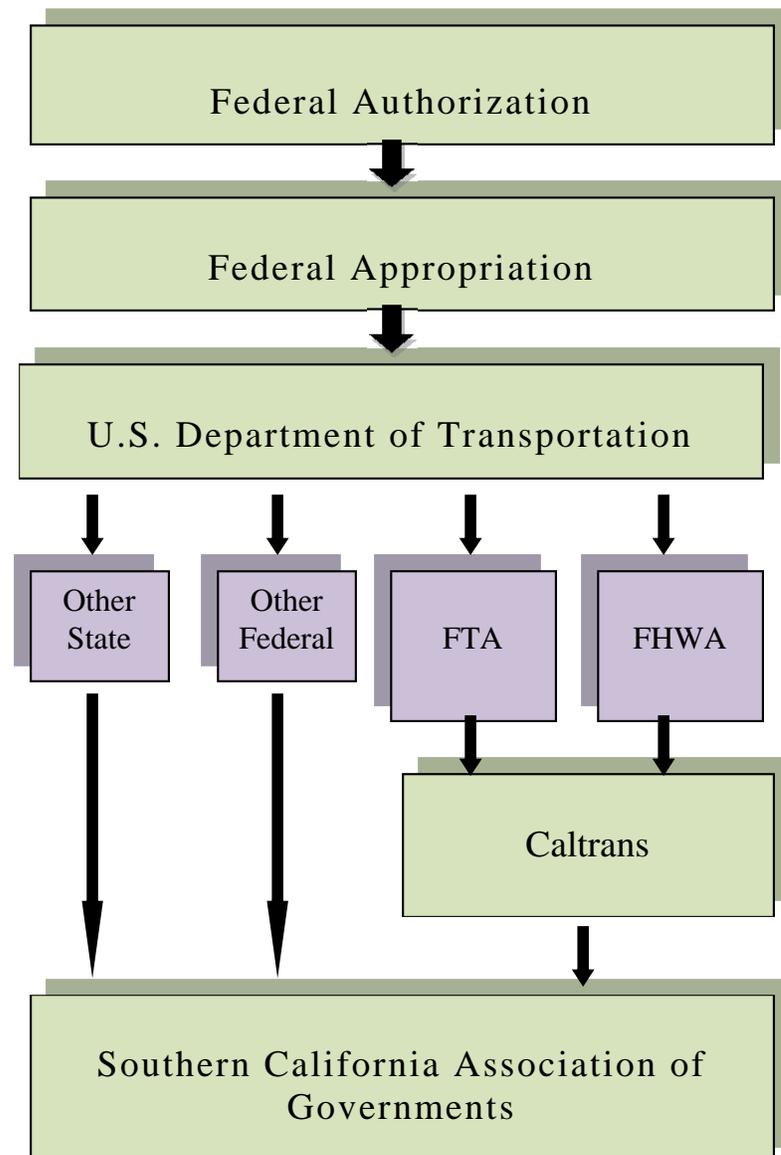
GL Account	Line Item	FY11 Actuals	FY12 Actuals	FY13 Adopted	FY14 Proposed
55920	Other meeting expense	79,730	129,125	122,600	187,200
55930	Miscellaneous other	64,410	113,785	35,526	41,500
55940	Stipend-RC meetings	153,600	223,200	175,000	180,000
55950	Temporary help	370,426	394,935	58,500	23,500
55970	Interest on bank LOC	3,872	-	-	-
55972	Rapid pay fees	1,050	675	975	975
55980	Contingency	-	-	184,140	294,374
56100	Printing	26,552	46,464	116,000	118,500
58100	Travel	174,601	200,365	235,964	247,250
58101	Travel - local	51,710	63,255	26,286	44,550
58110	Mileage	82,556	91,994	55,404	93,375
58150	Staff lodging expense	2,785	8,287	8,734	6,500
58200	Travel-registration	1,970	-	3,000	-
58450	Fleet vehicle	3,555	5,818	8,200	6,500
58800	RC sponsorships	48,650	52,225	69,650	66,400
60110	Retirement-PERS	2,258,157	2,894,691	3,183,865	2,907,783
60120	Retirement-PARS	64,626	65,918	65,000	65,000
60200	Health ins. - active employees	1,069,991	1,125,725	1,375,200	1,276,800
60201	Health ins. - retirees PAYGO	478,425	490,963	527,000	567,000
60202	Health ins. - retirees GASB 45	312,775	264,035	332,000	320,000
60210	Dental insurance	74,594	83,876	109,370	152,492
60220	Vision insurance	29,809	31,878	262,276	26,099
60225	Life insurance	100,860	99,080	100,159	77,139
60240	Medicare tax employers	158,731	118,361	194,874	175,158
60245	Social security tax employers	15,280	29,819	18,245	7,589
60300	Tuition reimbursement	16,929	8,341	16,416	16,416
60310	Transit passes	118,592	122,453	177,817	127,600
60320	Carpool reimbursement	1,750	2,100	2,100	2,100
60400	Workers compensation insurance	2,889	(26,202)	-	38,154
60405	Unemployment compensation insurance	34,472	38,962	35,000	39,000
60410	Miscellaneous employee benefits	57,736	67,784	56,199	63,379
60415	SCAG 457 match	104,482	98,932	102,500	113,000
60450	Benefits administrative fees	3,722	3,321	7,716	6,842
60500	Automobile allowance	19,250	21,150	22,800	16,200
	Indirect Cost Carry over			117,031	(141,323)
	Total	35,777,355	39,509,964	46,677,593	42,447,132

SECTION II - BUDGET COMPONENTS

THE OVERALL WORK PROGRAM (OWP)

The Flow of Funds

In general, the majority of OWP funding comes to the Association via the Federal appropriations process. Some funding is directly allocated to the Association, and some is “passed through” via the California Department of Transportation (Caltrans).



Summary of Revenue Sources

Consolidated Planning Grant (CPG)

In 1997, FHWA/FTA instituted a transportation planning funds process called the Consolidated Planning Grant (CPG). In California, the four CPG fund sources are described below.

1. Federal Highway Administration (FHWA) Metropolitan Planning Funds (FWWAPL)

Metropolitan Planning Funds, otherwise known as PL funds, are available for MPOs to carry out the metropolitan transportation planning process required by 23 U.S.C. 134, including development of metropolitan area transportation plans and transportation improvement programs.

The state must make all federally authorized PL funds available to the MPOs in accordance with a formula developed by the state, in consultation with the MPOs and approved by the FHWA.

2. Federal Transit Authority Metropolitan Planning Section 5303 (FTA §5303)

All MPOs with an urbanized area receive FTA §5303 funds each year to develop transportation plans and programs. The percentage of the California apportionment of FTA §5303 each MPO receives is determined by a formula agreed to by the MPOs, Caltrans and FTA.

The FTA §5303 formula has two components, a base allocation and a population component which distributes funds according to the MPOs percentage of statewide urbanized area population as of the most recent decennial census.

3. FHWA State Planning and Research – Partnership Planning Element (SP&R)

Caltrans is authorized by FHWA to award grants to MPOs for regional transportation planning studies with a statewide or multi-regional perspective and benefit. Caltrans awards these grants through an annual, competitive selection process.

4. FTA State Planning and Research Section 5304 (FTA §5304)

The FTA authorized Caltrans to award grants to MPOs for projects that demonstrate consistency with the following state planning priorities:

- Ability to strengthen the economy
- Promote equity

- Protect the environment
- Promote public health and safety in the state
- Improve the jobs-housing imbalance
- Improve public participation
- Promote context sensitive planning; and
- Reduce congestion

Caltrans awards projects in categories based upon an annual competitive selection process:

- Statewide Transit Planning Studies
- Transit Professional Development; and
- Transit Technical Planning Assistance

Local Funds

Each of the funding sources described above requires that local cash or in-kind services be provided as match. The Association uses a combination of the following sources for match:

Transportation Development Act (TDA)

State of California Public Utilities Code Section 99233.2 authorizes the Transportation Commissions in Los Angeles, Orange, Riverside and San Bernardino counties to allocate $\frac{3}{4}$ of 1 percent of their local transportation funds to SCAG as the multi-county planning agency for the region. As the largest source of non-federal funding received by SCAG, TDA is used to fund local initiatives and to provide cash match as needed for projects funded with state or federal funds.

Cash Match/Local Funding

Funding from local agencies is provided to SCAG to serve as matching funds to the CPG and other grants that require local match as a condition of receiving grant funds. For example, the CPG requires a match of 11.47%. In addition, local agencies such as Transportation Commissions periodically provide funding for specific projects such as localized modeling work.

In-Kind Match

The CPG and other grants accept in-kind match, as well as cash match, to fulfill the local match requirement that is a condition of receiving grant funds. In-kind match reflect services, such as staff time, provided by a local agency in support of the work funded by a grant.

The OWP Document

The core regional transportation planning document is the OWP and its core product is completion of the Regional Transportation Plan (RTP). The OWP is developed by SCAG on an annual basis and:

- Introduces the agency;
- Provides users with an overview of the region; and
- Focuses on the region's transportation goals and objectives

The OWP serves as the transportation planning structure that SCAG must adhere to for the state fiscal year, which is July 1 through June 30th of the following calendar year. Other uses for the OWP include:

- SCAG's project budget
- A contract and monitoring tool for federal, state, and local entities (to track completion of annual transportation planning projects and expenditures of funds)
- An early reference for members of the public to know the "who/what/when/where/how much" of transportation planning activities in the region

The OWP includes three component pieces:

1. Prospectus

The prospectus section provides the context for understanding the work activities proposed and gives information about the region. It includes, but is not limited to:

- The region's transportation planning approach
- The agency's organizational structure and interagency arrangements
- An overview of governmental and public involvement
- The State Planning Emphasis Areas; and
- The progress made towards implementing the RTP

2. Work Elements

The Work Element identifies specific planning work to be completed during the term of the OWP, as well as a narrative of previous, on-going and future year's work to be completed. It also includes the sources and uses of funds.

3. Budget Revenue & Summary Reports

These summary reports are a listing of all the work elements in the OWP by funding sources and expenditure category.

The OWP, in conjunction with the Overall Work Program Agreement (OWPA) and the regional transportation planning Master Fund Transfer Agreement (MFTA), constitutes the annual funding agreement between the State and SCAG. Although the OWP includes all planning projects to be undertaken by SCAG during the fiscal year, the OWPA and MFTA do not include special federal grants.

The OWP Budget

The OWP Budget can be viewed two ways: The first is a line item budget displaying how the OWP budget is allocated. The second is a chart showing the same budget by project and major budget category.

Following the budget tables are brief descriptions of each project in the OWP.

Cost Category	FY13	Draft FY14	Incr (Decr)
Staff	\$ 7,513,922	\$ 7,185,726	\$ (328,196)
54300 SCAG consultant	14,492,644	11,933,596	\$(2,559,048)
54350 Professional services	550,000	889,000	\$ 339,000
55210 Software support	90,000	188,059	\$ 98,059
55220 Hardware support	100,000	120,000	\$ 20,000
55280 Third party contribution	2,808,864	3,182,438	\$ 373,574
55520 Graphic supplies	-	30,000	\$ 30,000
55600 SCAG membership	-	6,000	\$ 6,000
55620 Resource materials/subscriptions	450,000	205,000	\$ (245,000)
55810 Public notices	8,000	28,000	\$ 20,000
55830 Conferences/workshops	13,000	10,500	\$ (2,500)
55920 Other meeting expense	20,000	96,000	\$ 76,000
55930 Miscellaneous other	-	3,000	\$ 3,000
56100 Printing	18,500	105,000	\$ 86,500
58100 Travel	108,350	118,200	\$ 9,850
58101 Travel-local	-	13,500	\$ 13,500
58110 Mileage	2,000	39,000	\$ 37,000
Sub-total	\$ 26,175,280	\$ 24,153,019	\$(2,022,261)
50011 Fringe benefits	\$ 5,137,527	\$ 4,978,678	\$ (158,849)
50012 Indirect costs	\$ 12,418,677	\$ 10,622,482	\$(1,796,195)
Total	\$ 43,731,484	\$ 39,754,179	\$(3,977,305)

The next page shows the same budget by project and major budget category.

Work Elemnt		Total*	SCAG	SCAG Consultant
10	System Planning	1,313,737	913,737	400,000
15	Transportation Finance	1,333,460	533,460	800,000
20	Environmental Planning	624,610	624,610	-
25	Air Quality and Conformity	501,334	501,334	-
30	Federal Transportation Improvement Program	1,984,323	1,984,323	-
45	Geographic Information System (GIS)	3,219,551	2,794,551	425,000
50	Active Transportation Planning	1,080,902	1,080,902	-
55	Regional Forecasting and Policy Analysis	2,266,746	1,866,746	400,000
60	Corridor Planning	209,916	209,916	-
65	Local Planning Assistance for Sustainable Transportation and Land Use	2,012,260	1,462,260	550,000
70	Modeling	5,217,863	4,436,939	780,924
80	Performance Assessment & Monitoring	1,313,465	1,103,465	210,000
90	Public Information & Communication	1,759,207	1,759,207	-
95	Regional Outreach and Public Participation	2,211,826	2,111,826	100,000
100	Intelligent Transportation System (ITS)	27,196	27,196	-
120	OWP Development & Administration	2,791,402	2,746,402	45,000
130	Goods Movement	1,797,314	1,197,314	600,000
140	Transit and Rail Planning	857,938	857,938	-
145	Transit Planning Grant Studies & Programs	2,164,786	110,714	2,054,072
220	Strategic Growth Council (SGC) Proposition 84 Grant Award	1,175,000	-	1,175,000
225	Special Grant Projects	558,312	448,312	110,000
230	Regional Aviation and Airport Ground Access Planning	849,033	649,033	200,000
260	JARC/New Freedom Program Administration	128,119	128,119	-
265	So. Calif. Value Pricing Pilot Program	4,196,095	196,095	4,000,000
266	TDA Funded Projects	50,000	-	50,000
267	Clean Cities Program	109,784	76,184	33,600
Total Direct Costs		39,754,179	27,820,583	11,933,596

*Includes indirect costs, fringe benefits, non-labor and in-kind match.

OWP Program Summaries

The following section presents a brief description of each OWP Program objective and the Strategic Plan Goal it supports.

010

SYSTEM PLANNING

Manager: Naresh Amatya

Program Objective:

Transportation System Planning involves long-term planning for system preservation, system maintenance, optimization of system utilization, and strategic system expansion of all modes of transportation for people and goods in the six-county region, including Imperial, Los Angeles, Orange, Riverside, San Bernardino, and Ventura. The Regional Transportation Plan (RTP) is the primary vehicle SCAG uses to achieve our transportation system planning goals and objectives. As the MPO for this region, one of SCAG's major responsibilities is to develop, administer, amend, and update the RTP. The primary objective of this work element is to ensure SCAG is fulfilling its roles and responsibilities in this area as the designated MPO and RTPA for this region. The focus of FY 2013/14 will be to continue coordinating and monitoring implementation of the adopted 2012 RTP/SCS and at the same time begin to lay the technical foundation for the 2016 RTP/SCS.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

015

TRANSPORTATION FINANCE

Manager: Annie Nam

Program Objective:

This work program is critical to addressing some of SCAG's core activities-specifically, satisfying federal planning requirements on financial constraint; ensuring a reasonably available revenue forecast through the RTP planning horizon, and addressing system level operation and maintenance cost analyses along with capital cost evaluation of transportation investments. In FY 2013/14, this work program will involve continued development of the 2016 RTP financial plan and including evaluation of business cases/plans, and alternative funding mechanisms for transportation.

Strategic Plan:

Supports Goal #2 – Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

020 ENVIRONMENTAL PLANNING

Manager: Jonathan Nadler

Program Objective:

Review environmental plans and programs as required by applicable federal and state environmental laws. Staff work will also include internal coordination to integrate the most recent environmental policies into future planning programs such as environmental justice and intergovernmental review. Provide staff support to the Energy and Environment Policy Committee.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies.

025 AIR QUALITY AND CONFORMITY

Manager: Jonathan Nadler

Program Objective:

Oversee and/or provide support for SCAG air quality planning, analysis, documentation and policy implementation. This includes collaboration with the ARB and air districts in the SCAG region in developing SIPs, including developing emission budgets to meet federal conformity requirements. Staff will facilitate federally required interagency consultation via SCAG’s Transportation Conformity Working Group, including the processing and acting as clearinghouse for the Particulate Matter (PM) hot spot analysis for transportation projects within the region. Staff will continue the process to ensure the timely implementation of TCMs. Staff will continue to track and participate in relevant air quality rulemaking.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies.

Manager: Rosemary Ayala

Program Objective:

The Federal Transportation Improvement Program (FTIP) is a multimodal list of capital improvement projects programmed over a six-year period. The currently approved FTIP is the 2013 FTIP and was federally approved and found to conform on December 14, 2012. The program contains approximately \$32.5 billion worth of projects in FY 2012/2013 - 2017/2018. The FTIP must include all federally funded transportation projects in the region, as well as all regionally significant transportation projects for which approval from federal agencies is required regardless of funding source. The FTIP is developed to incrementally implement the programs and projects in the Regional Transportation Plan. The FTIP is amended on an on-going basis, as necessary, thereby allowing projects consistent with the Regional Transportation Plan to move forward toward implementation.

Strategic Plan:

Supports Goal #2 – Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

Manager: Frank Wen

Program Objective:

To facilitate the establishment of SCAG as a Regional Information Center, for all data and information related to Southern California and to provide data support and mapping capabilities to better serve the needs of the agency and our partner agencies. This program will also provide data and information to stakeholders to promote economic development and enhance the effectiveness of decision-makers. Additional goals include leveraging data sharing opportunities among public agencies throughout the region and maximizing data sharing while minimizing agency costs. A top priority will be to provide training, data sharing and other GIS services to our local jurisdictions. Work will continue on the implementation of an Enterprise GIS system that will serve as the guide for meeting Objective #4 of the SCAG Strategic Plan. The program will play an integral part in the development of the Federal Transportation Improvement Program, amendments to the 2012 RTP/Sustainable Communities Strategy, development of the 2016 RTP/SCS and Local Profiles and other planning activities.

Strategic Plan:

Supports Goal #4 – Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communications Technologies.

050 ACTIVE TRANSPORTATION PLANNING

Manager: Alan Thompson

Program Objective:

For Fiscal Year 2013/14, continues to build upon past efforts, finalizing a needs assessment, and developing the framework for the regional active transportation strategies that will be incorporated into the 2016 RTP/SCS.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies.

Supports Goal #2 – Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

055 REGIONAL FORECASTING & POLICY ANALYSIS

Manager: Frank Wen

Program Objective:

Provide state-of-the-art forecasting methodology, programming, software, and data/statistics such that regional growth estimates and forecasts are technically sound, and set the standard for MPO growth forecasting practice. The key focus of this work element is to develop estimates and forecasts of population, household and employment used for the development of the 2016 Regional Transportation Plan and Sustainable Communities Strategy. This program also addresses the following: show growth forecasts in terms of population, employment, household and how underlying land uses are related to congestion and transportation investment. Additional program objectives include the collection, processing and analysis of data used in support of the planning activities of the agency.

Strategic Plan:

Supports Goal #4 – Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communications Technologies.

060

CORRIDOR PLANNING

Manager: Naresh Amatya

Program Objective:

Provide input to the RTP on the design concept and scope of major transportation investments, as identified upon the completion of corridor planning studies conducted under this work element and in partnership with other agencies. Ensure that corridor planning studies are completed in accordance with federal transportation planning requirements as identified in 23 CFR 450.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies.

065

**LOCAL PLANNING ASSISTANCE FOR SUSTAINABLE
TRANSPORTATION AND LAND USE**

Manager: Jacob Lieb

Program Objective:

SCAG’s Sustainability Program, including Compass Blueprint and the Green Region Initiative, is a core effort for implementing the 2012 Regional Transportation Plan and Sustainable Communities Strategy (RTP/SCS). The program demonstrates that the region can achieve both mobility and air quality goals through local land use and policy changes along with targeted transportation investments. To date, over 130 Compass Blueprint Demonstration Projects have been successfully completed in local jurisdictions throughout the region.

Sustainability Program efforts will target Transit Project Priority (TPP) areas as defined in the RTP/SCS and will ensure that measures are in place to realize the integrated transportation/land use/sustainability vision of the 2012 RTP/SCS. The work effort will focus on developing and applying new regionally and locally applicable planning tools and providing member jurisdictions with technical assistance consistent with the RTP/SCS and other policies. Specific tasks will include: Partnerships for Demonstration Projects and local technical assistance; initial development of policies and scenarios for the 2016 RTP/SCS policies; “Toolbox Tuesdays” training in advanced planning tools for local

government planners; and Sustainability Recognition Awards for outstanding local projects consistent with RTP/SCS implementation.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

070 MODELING

Manager: Guoxiong Huang

Program Objective:

Provide modeling services for the development and implementing the RTP/SCS, FTIP, and other major transportation planning initiatives. Maintain and improve SCAG’s modeling tools to more effectively forecast travel demand and estimate resulting air quality. Maintain a leadership role in the regional modeling community by coordinating the Region’s modeling activities and by providing technical advice/assistance and data to member agencies and other public institutions. Promote model consistency through an active subregional modeling program. Continue ongoing modeling collaboration with county transportation commissions, Caltrans, Metrolink, air quality agencies, and state and federal transportation agencies.

Strategic Plan:

Supports Goal #4 – Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communications Technologies.

080 PERFORMANCE ASSESSMENT & MONITORING

Manager: Jonathan Nadler

Program Objective:

Provide performance assessment and monitoring of the SCAG region (particularly the implementation of the 2012 RTP/SCS) including growth and development, transportation system performance, environmental quality, and the socioeconomic well-being of the residents (e.g., income and housing affordability). The results of the monitoring and assessment provide the basis for policy-making particularly in relation to regional transportation planning. This program also works with the California Department of Transportation in the coordination and data collection mandated under the Highway Performance Monitoring System (HPMS).

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

090

PUBLIC INFORMATION & COMMUNICATIONS

Manager: Angela Rushen

Program Objective:

Develop and execute a comprehensive internal and external communications program that informs key audiences about SCAG programs, plans, initiatives and services via public relations, media relations, video production, website content management and print/graphic design.

Strategic Plan:

Supports Goal #4 – Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communications Technologies.

095

REGIONAL OUTREACH AND PUBLIC PARTICIPATION

Manager: Mark Butala

Program Objective:

Engage regional stakeholders in the SCAG planning and programming process through the support and enhancement of outreach efforts to local governments, Tribal Governments, and members of the various stakeholder entities, including community, environmental, business, and academic groups, as well as other interested parties. The SCAG Regional Offices are critical components in these efforts, with SCAG staff assigned to an office in each county in the SCAG region. SCAG has identified additional videoconferencing sites in remote parts of the SCAG region to facilitate participation in SCAG activities by a wider range of stakeholders, including member cities, partner agencies, and business and community groups.

Strategic Plan:

Supports Goal #4 – Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communications Technologies.

100

INTELLIGENT TRANSPORTATION SYSTEMS (ITS)

Manager: Philip Law

Program Objective:

Coordinate and monitor implementation of the ITS Element of the 2012 RTP/SCS. Staff will also be monitoring progress of the adopted Regional ITS Architecture and documenting potential needs for future amendments to the Regional Architecture. Another objective is to provide training and educational opportunities to our stakeholders on ITS related topics in partnership with FHWA/Caltrans as opportunities become available.

Strategic Plan:

Supports Goal #4 – Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communications Technologies.

120

OWP DEVELOPMENT & ADMINISTRATION

Manager: Bernice Villanueva

Program Objective:

Develop, administer, and monitor the Overall Work Program (OWP). The OWP is a required function of the Metropolitan Planning Organization (MPO) and provides a detailed description of the planning activities that will be completed by the MPO and its partners in the fiscal year.

Strategic Plan:

Supports Goal #3 – Enhance the Agency’s Long Term Financial Stability and Fiscal Management.

130

GOODS MOVEMENT

Manager: Annie Nam

Program Objective:

This work program focuses on integrating freight related transportation initiatives into the regional transportation planning process. In FY 2013/14, this work program will involve

continuing efforts to refine and support the implementation of a comprehensive regional goods movement plan and strategy. This strategy includes proposals set forth in the adopted 2012 RTP. Specific initiatives include further assessment of warehousing and transload facilities, commercial border crossing activities, and coordination work with stakeholders for the East-West Freight Corridor. This work program will also involve staff support of MAP-21 implementation initiatives for freight.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

140	TRANSIT AND RAIL PLANNING
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Manager: Philip Law

Program Objective:

Work with the stakeholders through the Regional Transit Technical Advisory Committee to coordinate implementation of the transit and rail recommendations provided in the Adopted 2012 RTP/SCS. Also, staff will continue to support and engage transit and rail operators in corridor and regional planning efforts, and in further refining the transit and rail strategies in preparation for the next RTP Update consistent with the SCAG MOU with the transit operators.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

145	TRANSIT PLANNING GRANT STUDIES & PROGRAMS
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Manager: Philip Law

Program Objective:

Develop transit needs studies and programs that support the SCAG region and increase the human capital resources of the transit industry’s workforce.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

Manager: Jonathan Nadler / Jacob Lieb

Program Objective:

As the MPO for the Region, SCAG is required pursuant to SB 375 to develop a Sustainable Communities Strategy (SCS) for inclusion in the 2012 RTP and must have in place appropriate modeling capabilities and data to support analyses of SCS scenarios and other transportation, land use and socio economic variables.

The SGS planning grant is centered upon policy analysis and planning demonstrations that reinforce the strategies included in the RTP/SCS, including analyzing the impacts of smart growth and creating usable demonstrations and templates for implementation. The awards are given by the Strategic Growth Council and the funds will be administered by Caltrans (modeling) and California Department of Conservation (planning).

Strategic Plan:

Supports Goal #4 – Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communications Technologies.

Manager: Jacob Lieb

Program Objective:

To fund specialized projects with grants and/or local funds contributed by other entities.

Strategic Plan:

Supports Goal #3 – Enhance the Agency’s Long Term Financial Stability and Fiscal Management.

Manager: Naresh Amatya

Program Objective:

Develop new 2040 regional aviation demand forecasts and an updated regional airport ground access element for the 2016 Regional Transportation Plan.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies

260

JARC/NEW FREEDOM PROGRAM ADMINISTRATION

Manager: Bernice Villanueva

Program Objective:

SCAG is the Designated Recipient of Federal Transit Agency (FTA) Job Access and Reverse Commute (JARC) and New Freedom program funds under 49 USC Sections 5316 and 5317, respectfully, for large urbanized areas (UZAs) with populations of 200,000 or more in Riverside and San Bernardino Counties, including Riverside-San Bernardino, Indio-Cathedral City-Palm Springs, Temecula-Murrieta, and Los Angeles-Long Beach-Santa Ana UZAs. As the Designated Recipient, SCAG is responsible for apportioning the county-level allocations of Program Funds and provides technical assistance in determining eligible projects.

As of Fiscal Year 2013, the new two-year transportation authorization, Moving Ahead for Progress in the 21st Century (MAP-21), consolidated certain transit programs and eliminated others. Under MAP-21, the JARC and New Freedom programs have been consolidated as follows:

- The Urbanized Area (5307) program now allows funding to be used for activities that were eligible under the JARC program.
- The Elderly and Disabled Program (5310) merges with the New Freedom Program, and funding formulas are modified in light of new eligibilities and program features.

Due to the consolidation of these two programs, SCAG will continue approving eligible JARC/New Freedom projects until programs funds have been programmed and expended, and current active projects are closed out.

Strategic Plan:

Supports Goal #2 – Obtain Regional Transportation Infrastructure Funding and Promote Legislative Solutions for Regional Planning Priorities.

265

EXPRESS TRAVEL CHOICES PHASE II

Manager: Annie Nam

Program Objective:

Develop an implementation plan for value pricing, including build-out of the existing and planned managed network of Express/HOT lanes across Southern California and integration with one or more pilot projects for cordon/area pricing within specific major activity centers.

Strategic Plan:

Supports Goal #1 – Improve Regional Decision Making by Providing Leadership and Consensus Building on Key Plans and Policies.

267

CLEAN CITIES PROGRAM

Manager: Mark Butala

Program Objective:

SCAG administers the U.S. Department of Energy (DOE) Clean Cities Program for the SCAG Clean Cities Coalition, including performing outreach and marketing in support of expanding alternative fuels in the SCAG region through stimulus grant awards of ARRA funds from DOE and funds from the California Energy Commission (CEC).

Strategic Plan:

Supports Goal #4 – Develop, Maintain and Promote the Utilization of State of the Art Models, Information Systems and Communications Technologies.

THE GENERAL FUND BUDGET (GF)

What is the General Fund Budget?

The General Fund (GF) has been established to provide support to the Regional Council and its Subcommittees for the costs of stipends; travel; to fund costs not eligible for grant reimbursement (i.e., interest); to provide a source of working capital; to financial program expenditures, which must be paid prior to sending requisitions to certain federal and state grantors; and to authorize establishment of and borrowing from a line of credit. The General Fund is not an available resource to fund project costs otherwise chargeable to grants and/or contracts.

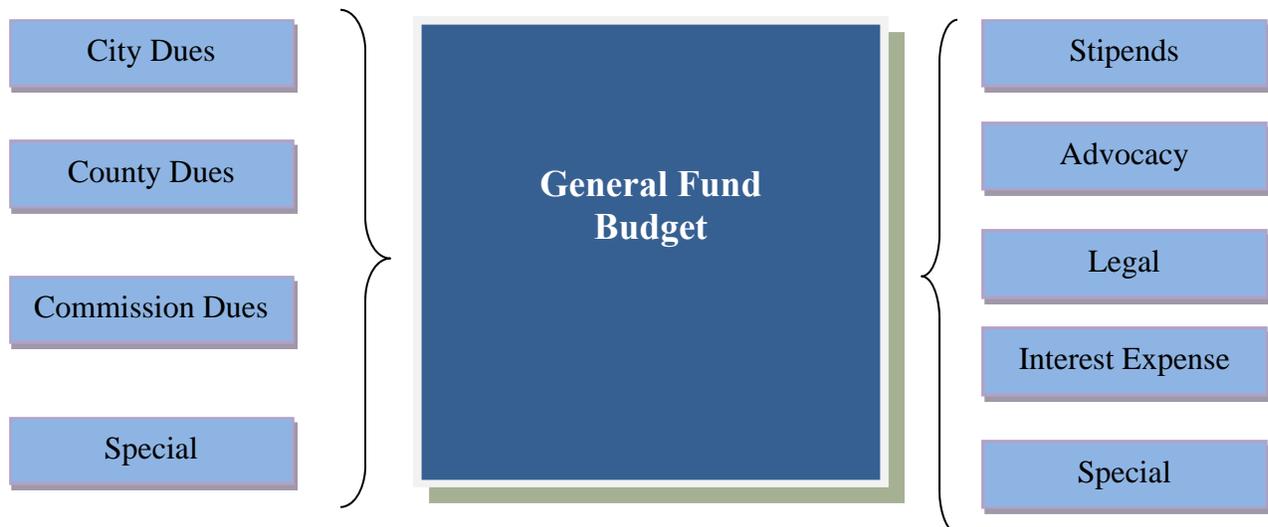
The Regional Council (RC) is responsible to conduct the affairs of the Association pursuant to Article V (A) 4 of the By-Laws. Among other duties, the RC reviews and may revise, amend, increase or decrease the proposed annual GF budget as prepared by the Executive Director. The RC submits the approved GF budget to members of the General Assembly (GA) at least thirty (30) days before the annual meeting for review. After adoption of the budget and the annual assessment schedule by the GA, the RC controls all Association expenditures in accordance with the budget.

Membership Dues Assessments

The By-Laws require the Executive Director to annually submit the GF budget to the RC. Upon its adoption, the GA fixes membership assessment for all members of the Association in amounts sufficient to provide the funds required by the GF budget.

Budget staff prepares a proposed GF budget and submits it to the Chief Financial Officer (CFO) for review. The GF budget is submitted to the CFO in sufficient time to allow the items to be placed on the agendas for approval by the RC and the GA.

Member dues are calculated in accordance with the guidelines of the By-Laws.



General Fund Line Item Budget

		FY12 ACTUAL	FY13 ADOPTED BUDGET	FY14 PROPOSED BUDGET	FY13 ADOPTED TO FY14 PROPOSED INCR (DECR)
REVENUE:	Membership Dues:				
	Counties	291,257	290,942	293,146	2,204
	Cities	1,373,374	1,409,926	1,444,701	34,775
	Commissions	85,000	85,000	85,000	-
	Transportation Corridor Agency	10,000	10,000	10,000	-
	Air Districts	-	25,000	25,000	-
	Sub-total	\$ 1,759,631	\$ 1,820,868	\$ 1,857,847	\$ 36,979
	Interest	46,580	60,000	48,000	(12,000)
	Other	56,098	40,015	40,000	(15)
	General Assembly Sponsorships	194,000	330,000	205,000	(125,000)
	Leasehold Improvements Reimbursement	319,035	695,226	542,106	(153,120)
	Sub-total	\$ 615,713	\$ 1,125,241	\$ 835,106	\$ (290,135)
Total Revenues	\$ 2,375,344	\$ 2,946,109	\$ 2,692,953	\$ (253,156)	
EXPENDITURES:					
Task .01 Regional Council	Regional Council:				
	Stipends	223,200	175,000	180,000	5,000
	Committee Meetings	48,822	8,237	50,000	41,763
	Other Meeting Expense	90,992	44,400	75,000	30,600
	Professional Services			120,000	120,000
	Travel - Outside	33,827	25,000	37,200	12,200
	Travel - Local	21,341	16,600	20,600	4,000
	Mileage - Local	11,634	13,000	12,000	(1,000)
	Travel > per diem	3,800	2,400	2,500	100
	Miscellaneous Other	24,996	-	-	-
	Staff Time	35,936	31,656	15,869	(15,787)
	Conferences	3,784	-	-	-
Task sub-total	\$ 498,333	\$ 316,293	\$ 513,169	\$ 196,876	
Task .02 Legislative	External Legislative:				
	Federal Lobbyist	-	240,000	240,000	-
	Staff Time	56,911	17,414	15,190	(2,224)
	State Lobbyist	60,186	96,000	84,000	(12,000)
Task sub-total	\$ 117,097	\$ 353,414	\$ 339,190	\$ (14,224)	
Task .03 RHNA	RHNA:				
	Legal Fees	1,798	100,000	25,000	(75,000)
	Professional Services	338	15,000	-	(15,000)
	Staff Time	229,600	94,907	27,325	(67,582)
Task sub-total	\$ 231,736	\$ 209,907	\$ 52,325	\$ (157,582)	

General Fund Line Item Budget (Continued...)

		FY12 ACTUAL	FY13 ADOPTED BUDGET	FY14 PROPOSED BUDGET	FY13 ADOPTED TO FY14 PROPOSED INCR (DECR)
Task .04 Other	Other:				
	SCAG Memberships	98,205	93,000	135,300	42,300
	Capital Outlay		50,000	135,000	85,000
	Staff Time	10,481	11,993	-	(11,993)
	Fees paid to Caltrans		975	975	-
	Other Meeting Expense	21,172	7,000	15,000	8,000
	Miscellaneous Other	111,868	22,000	25,000	3,000
	Contingency		184,140	294,374	110,234
	Professional Services	2,500	-	-	-
	Resource Material/Subscription	3,330	3,330	-	(3,330)
	Travel	9,620	-	-	-
	Travel - Local	77	500	500	-
	Mileage - Local	791	2,000	1,000	(1,000)
	Trvl-Lodge >Per Diem	2,142	1,000	1,000	-
	Bank Fees	12,702	7,500	13,000	5,500
Sponsorships	52,225	69,650	66,400	(3,250)	
Task sub-total	\$ 325,112	\$ 453,088	\$ 687,549	\$ 234,461	
Task .06 GA	General Assembly				
	General Assembly	265,642	330,000	330,000	0
	Mileage			1,000	1,000
	Printing		6,000	6,000	-
	Staff Time	-	9,352	9,144	(208)
Task sub-total	\$ 265,642	\$ 345,352	\$ 346,144	\$ 792	
Task .07 LHI	Leasehold Improvements				
	Leasehold Improvements	38,119	615,382	542,106	(73,276)
	Staff Time	49,000	79,844	-	(79,844)
Task sub-total	\$ 87,120	\$ 695,226	\$ 542,106	\$ (153,120)	
Task .08 Admin of Sec 5337 & 5339 FTA Grants	Admin of Sec 5337 & 5339 FTA Grants				
	Staff Time	-	-	20,367	20,367
	Task sub-total	\$ -	\$ -	\$ 20,367	\$ 20,367
Total for all tasks		\$ 1,525,040	\$ 2,373,280	\$ 2,500,850	\$ 127,570
Allocated Fringe Benefits		235,076	167,628	61,577	(106,051)
Allocated Indirect Costs		573,444	405,200	130,526	(274,675)
Total		\$ 2,333,560	\$ 2,946,109	\$ 2,692,953	\$ (253,156)

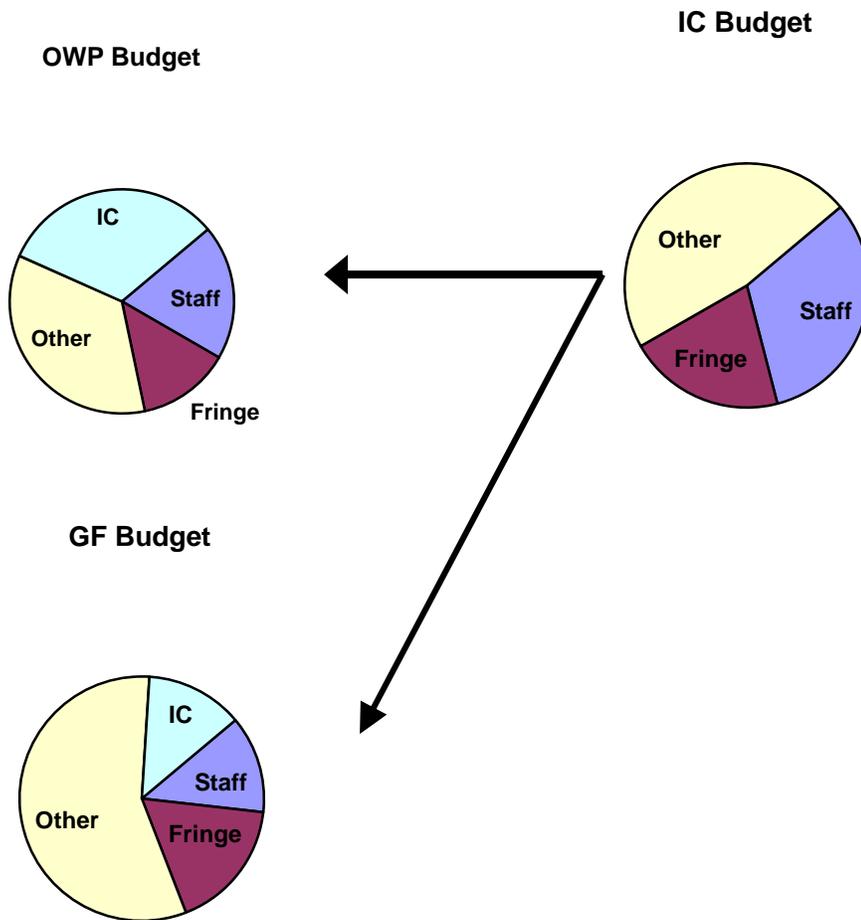
THE INDIRECT COST BUDGET (IC)

What is the Indirect Cost Budget?

The Indirect Cost Budget is established to provide funding for staff salaries, fringes and other non-labor costs that are not attributable to an individual direct program project, except on a pro-rata basis. The funding document is the basis for generating the Indirect Cost Allocation Plan (ICAP) which is forwarded to Caltrans for approval.

How is the Indirect Cost Budget Funded?

An IC rate, approved by Caltrans, is applied to all productive staff salaries and fringe costs. For example, for every \$1,000 of direct salaries and fringe, the IC budget receives \$873.24 (87.32%). A review of the comprehensive line item budget chart on page 9 shows the impact of this concept. Notice that the OWP and General Fund budgets have each allocated funds for indirect costs which represents each budget component's share of funding the Indirect Cost program.



The Indirect Cost Budget

GI Account	Cost Category	FY13	FY14	Incr (Decr)
	Staff	\$ 4,277,609	\$ 3,455,842	\$ (821,767)
54300	SCAG consultant	351,671	117,271	(234,401)
54340	Legal	275,000	150,000	(125,000)
54350	Professional services	766,338	1,498,594	732,256
55210	Software support	419,581	343,305	(76,277)
55220	Hardware support	129,030	98,512	(30,519)
55240	Repair- maintenance	19,684	20,000	316
55400	Office rent 818-office	1,536,000	1,582,877	46,877
55410	Office rent satellite	222,000	220,328	(1,673)
55420	Equipment leases	115,000	117,979	2,979
55430	Equip repair-maintenance	45,244	35,000	(10,244)
55440	Insurance	175,299	(126,622)	(301,921)
55441	Payroll / bank fees	15,000	10,000	(5,000)
55460	Materials & equipment <\$5K	180,000	93,600	(86,400)
55510	Office supplies	141,200	130,000	(11,200)
55520	Graphic Supplies	50,000	-	(50,000)
55530	Telephone	165,500	184,800	19,300
55540	Postage	20,000	20,000	-
55550	Delivery services	8,900	8,500	(401)
55600	SCAG memberships	60,000	-	(60,000)
55610	Professional memberships	7,610	1,850	(5,761)
55620	Resource materials	57,855	36,200	(21,655)
55700	Depreciation - furniture & fixture	45,137	43,700	(1,437)
55710	Depreciation - computer	77,723	95,000	17,277
55720	Amortization - lease	7,402	5,300	(2,102)
55800	Recruitment notice	7,000	10,000	3,000
55801	Recruitment - other	30,000	22,000	(8,000)
55810	Public notices	5,000	25,000	20,000
55820	Staff training	160,000	65,000	(95,000)
55830	Conferences/workshops	29,850	25,850	(4,001)
55920	Other meeting expense	51,200	1,200	(50,000)
55930	Miscellaneous other	13,526	13,500	(26)
55950	Temporary help	58,500	23,500	(35,000)
56100	Printing	91,500	7,500	(84,001)
58100	Travel	102,614	91,850	(10,765)
58101	Travel - local	9,186	9,950	764
58110	Mileage	38,404	40,375	1,971
58150	Staff lodging expense	5,334	3,000	(2,334)
58200	Travel-registration	3,000	-	(3,000)
58500	Fleet vehicle	8,200	6,500	(1,700)
	Sub-total	\$ 9,782,097	\$ 8,487,254	\$ (1,294,843)
50011	Fringe benefits	2,924,749	2,407,077	(517,671)
	Unrecovered overhead	117,031	(141,323)	(258,354)
	Total	\$ 12,823,877	\$ 10,753,008	\$ (2,070,868)

IC Functional Activities

The Indirect Cost budget is spread across several functional areas within the agency. The following chart describes the functional areas.

Group	Area	Functional Activity
Administration	Finance	Finance is responsible for all financial activities of the agency, including accounting, budget & grants, investment policy, contracts, procurement, internal audits, and directing outside audits
	Human Resources	Human Resources is responsible for staff recruitment, employee relations, training, employee benefits, maintaining personnel records, and administration of personnel rules and systems.
	Information Technology	Information Technology supports IT operations, computers for office staff, modeling and GIS capabilities, phone systems, video conferencing and networks as well as Facilities/property management for all of SCAG offices.
Agency-wide Management		The Agency-wide Management section is responsible for the management of Association staff, the Association's budget, and day-to-day operations of the Association's departments. The Executive Director is the official representative of the Association and its policies.
Legal Services		Legal Services is responsible for all internal and external legal affairs of the Association.
Policy, Strategy & Public Affairs	Legislation	This unit is responsible for interfacing with the legislative processes at the federal and state level.
	Regional Services & Public Affairs	The primary responsibility of this unit is to maintain and expand governmental, community and private sector participation in the regional planning work of SCAG. This is done by working with cities and counties, local government officials, community and business interest groups.

THE FRINGE BENEFIT BUDGET (FB)

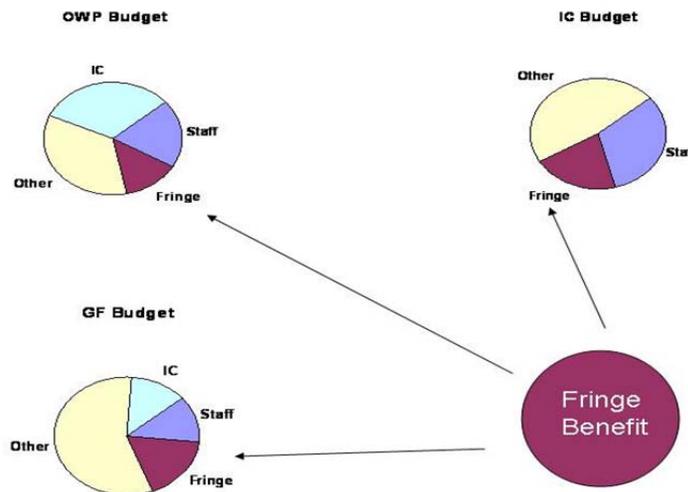
What is the Fringe Benefit Budget?

Fringe benefits (FB) are employee-associated costs such as leave expenses (vacation, holidays, personal floating holidays, sick leave, etc.), health plan expenses, retirement plan expenses, workers' compensation insurance, unemployment insurance, bus/rail/carpool expenses, tuition reimbursement expenses, and deferred compensation expenses. These costs are expressed as a rate for full-time regular staff. The rate is the pooled costs of the fringe benefits divided by the total salaries for full-time regular staff.

To participate in SCAG's fringe benefits program, staff must hold benefits eligible positions as regular, at-will or limited-term positions. Some of these programs provide staff and their families with financial protection if they become ill or disabled. Others are designed to aid them in preparing for retirement or in meeting educational costs they incur for themselves. Others are designed to allow staff and their family's time to recreate and spend time together. Some part-time staff, interns, temporary employees and temporary agency workers are not eligible for SCAG's fringe benefits programs.

The employee-associated costs are related to SCAG's full-time staff to generate a fringe benefits burden rate. The fringe benefits burden is applied to all staff charges in OWP, General Fund and Indirect projects.

A rate is applied to all OWP, GF and IC salaries, e.g., for every \$1,000 of salaries, the FB receives \$700.58 (70.06%).



The Fringe Benefit Budget

GL Account	Line Item	FY13	FY14	INCR (DECR)
60002	Sick leave	297,229	262,499	(34,730)
60004	PFH	208,951	184,536	(24,415)
60003	Holiday	465,716	411,299	(54,418)
60001	Vacation	669,473	591,248	(78,226)
60110	PERS	3,183,865	2,907,783	(276,082)
60120	PARS	65,000	65,000	-
60200	Health insurance - actives	1,375,200	1,276,800	(98,400)
60201	Health insurance - retirees PAYGO	527,000	567,000	40,000
60202	Health insurance - retirees GASB 45	332,000	320,000	(12,000)
60210	Dental insurance	262,276	152,492	(109,784)
60220	Vision insurance	109,370	26,099	(83,271)
60225	Life insurance	100,159	77,139	(23,020)
60240	Medicare tax - employers	194,874	175,158	(19,716)
60245	Social security tax employers	18,245	7,589	(10,656)
60300	Tuition reimbursement	16,416	16,416	-
60310	Bus passes	177,817	127,600	(50,217)
60320	Carpool reimbursement	2,100	2,100	-
60400	Workers compensation	-	38,154	38,154
60405	Unemployment compensation Insurance	35,000	39,000	4,000
60410	Miscellaneous employee benefits	78,999	79,579	580
60415	SCAG 457 match	102,500	113,000	10,500
60450	Benefits administrative fees	7,716	6,842	(874)
		8,229,905	7,447,332	(782,573)

SECTION III - APPENDIX

DESCRIPTION OF SCAG BUDGET LINE ITEMS

Account/Line Item	Description
54300 SCAG Consultant	Outside experts retained to provide special expertise
54330 Sub-regional Consultant	Outside experts retained to provide special expertise to sub-regional agencies
54340 Legal	Outside legal experts retained to provide special expertise
54350 Professional Services	Professional Services
54400 Sub-regional Staff	Sub-regional employees working on SCAG's sub-regional program
55210 Software Support	Fees paid for telephone support and updates of SCAG's high end desktop and network software
55220 Hardware Support	Fees paid for maintenance and repair contracts on SCAG's computer servers
55240 Repair Maintenance	Processes that do not enhance function or extend the useful life of an asset are expensed as repairs
55270 CSC Software Purchases	Purchase of small software packages
5528X 3rd Party Contribution	Like-kind contribution from other agencies that are match for SCAG's grants
55400 Office Rent 818-Offices	Rent paid for SCAG's main office
55410 Office Rent Satellite	Rent paid for SCAG's satellite offices
55420 Equipment Leases	Fees paid for copier, telephone, postage, etc. equipment
55430 Equipment Repairs And Maintenance	Fees paid to outside vendors to repair SCAG owned equipment
55440 Insurance	SCAG's liability insurance

Account/Line Item	Description
55441 Payroll / Bank Fees	Fees paid for payroll processing & bank services
55460 Small Office Purchases	Used to buy capital equipment with unit costs under \$5,000. (do not need to depreciate)
55510 Office Supplies	Routine office supplies and paper for copy machines
55520 Graphic Supplies	Materials used in the production of documents for agency communications, presentations, etc.
55530 Telephone	SCAG's monthly telephone fees paid for both voice and data lines
55540 Postage	Postage and delivery fees
55550 Delivery Services	Cost of outside courier delivery and other non-USPS services
55600 SCAG Memberships	Pays for SCAG to belong to various organizations
55610 Prof. Memberships	Fees paid on behalf of SCAG employees to belong to certain professional organizations
55620 Resource Material/Subscriber	Fees for book purchases., subscriptions and data acquisition
55700 Depreciation Furniture & Fixtures	The general fund buys assets that have a cost greater than \$5,000.00 using account 55730, Capital Outlay. The cost is recovered when depreciation is charged to a grant using this account
55710 Depreciation – Computer	See above
55720 Amortization - Lease	To account for amortization of lease hold improvements.
55730 Capital Outlay	Fixed asset purchases greater than \$5,000. The cost is recovered when depreciation is charged to a grant
55800 Recruitment Advertising	Advertising in certain journals and publications regarding job opportunities at SCAG
55801 Recruitment – Other	Moving expenses and cost of sponsoring foreign employees (visas).
55810 Public Notices	Legal advertising that SCAG must undertake to support certain programs or grants

Account/Line Item	Description
55820 Staff Training	Used to provide access to outside training opportunities or to bring experts for in-house training
55830 Conferences/Workshops	Cost of educational and informational events attended by SCAG staff and elected officials
55910 RC/Committee Meetings	Pays for the food and other expenses associated with hosting RC and committee meetings
55914 RC General Assembly	The by-laws require an annual meeting of the membership. This budget pays for the actual meeting expenses such as meals and conference facilities.
55920 Other Meeting Expense	Pays for other, non-food expenses related to meeting support
55930 Miscellaneous Other	Pays for other, minor expenses not categorized elsewhere
55940 Stipend-RC Meeting	Stipends paid to RC Members for attending meetings
55950 Temporary Help	SCAG occasionally uses employment agencies to provide short term staffing
55970 Interest on Bank LOC	Interest incurred on line of credit drawdowns.
55972 Rapid Pay Fees	Fees charged by the State Controller's to accelerate payment
55980 Contingency	Non-reimbursable project costs
56100 Printing	Pays for outside printing costs of SCAG publications and brochures
58100 Travel	Pays for staff and RC travel on behalf of SCAG projects
58101 Travel – Local	Travel inside the SCAG region
58110 Mileage	Cost of automobile travel at the IRS rate per mile
58150 Staff Lodging Expense	General funds used to pay for staff lodging expenses, under certain conditions, greater than state or federal guidelines.
58200 Travel-Registration Fees	Pays conference and seminar registration fees

Account/Line Item	Description
58450 Fleet Vehicle	Maintenance and repair of SCAG vehicles
58800 RC Sponsorships	General funds allocated to events supported by RC actions.
60110 Retirement-PERS	Pays for employee share of contributions to PERS
60120 Retirement-PARS	SCAG contribution to the supplemental defined benefit retirement plan
60200 Health Insurance	SCAG contribution for employee health insurance
60201 Health Insurance-Retirees PAYGO	Retiree health insurance premiums paid to CalPERS
60202 Health Insurance-Retirees GASB 45	Retiree health insurance premiums paid to the California Employers' Retiree Benefit Trust, as computed by an actuary
60210 Dental Insurance	SCAG contribution for employee dental insurance
60220 Vision Insurance	SCAG contribution for employee vision insurance
60225 Life Insurance	SCAG cost of life insurance for each benefit-eligible employee



**Regional Council
Executive/Administration Committee
and
Policy Committees
2013 MEETING SCHEDULE***

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Meetings are held on the 1st Thursday of each month

Executive/Administration Committee	9:00 a.m. – 10:00 a.m.
CEHD – EEC – TC	10:00 a.m. – 12:00 p.m.
Regional Council	12:15 p.m. – 2:00 p.m.

January 3, 2013

February 7, 2013

March 7, 2013

April 4, 2013

May 2-3, 2013 General Assembly

June 6, 2013

July - DARK

August 1, 2013

September 12, 2013 (League of CA Cities Annual Conf.
Sept 18-20, 2013)

October 3, 2013

November 7, 2013

December 5, 2013

***Dates subject to change by the Regional Council**

Officers
President
Glen Becerra, Simi Valley

First Vice President
Greg Pettis, Cathedral City

Second Vice President
Carl Morehouse, San Buenaventura

Immediate Past President
Pam O'Connor, Santa Monica

**Executive/Administration
Committee Chair**
Glen Becerra, Simi Valley

Policy Committee Chairs
Community, Economic and
Human Development
Paula Lantz, Pomona

Energy & Environment
Cheryl Viegas-Walker, El Centro

Transportation
Keith Millhouse, Ventura County
Transportation Commission