



## Pre Award Sub recipient Assessment

Indicates Required Field \*

**Contact Person name: \***

**E-mail address: \***

**Title \***

**Select the grant you are applying for below:**

**Please state your agency name and type. \***

i.e. Southern California Association of Governments/ MPO

**Please provide your DUNS number: \***

## Are you currently on any Federal Exclusion list? \*

[SAM.GOV](#) active exclusion

Entity	<b>SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS</b>	Status: <b>Active</b> <input type="button" value="⊕"/>
DUNS: <b>075318832</b>	CAGE Code: <b>4QXM9</b>	<input type="button" value="View Details"/>
Has Active Exclusion?: <b>No</b>	DoDAAC:	
Expiration Date: <b>01/20/2016</b>	Delinquent Federal Debt? <b>No</b>	
Purpose of Registration: <b>Federal Assistance</b>		
<b>Awards Only</b>		

yes

no

## Debarment

### In the past three years were you debarred for any of the following reasons? \*

(a) Conviction of or civil judgment for— (1) Commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public or private agreement or transaction; (2) Violation of Federal or State antitrust statutes, including those proscribing price fixing between competitors, allocation of customers between competitors, and bid rigging; (3) Commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false claims, or obstruction of justice; or (4) Commission of any other offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility; (b) Violation of the terms of a public agreement or transaction so serious as to affect the integrity of an agency program, such as— (1) A willful failure to perform in accordance with the terms of one or more public agreements or transactions; (2) A history of failure to perform or of unsatisfactory performance of one or more public agreements or transactions; or (3) A willful violation of a statutory or regulatory provision or requirement applicable to a public agreement or transaction; (c) Any of the following causes: (1) A nonprocurement debarment by any Federal agency taken before October 1, 1988, or a procurement debarment by any Federal agency taken pursuant to 48 CFR part 9, subpart 9.4, before August 25, 1995; (2) Knowingly doing business with an ineligible person, except as permitted under §180.135; (3) Failure to pay a single substantial debt, or a number of outstanding debts (including disallowed costs and overpayments, but not including sums owed the Federal Government under the Internal Revenue Code) owed to any Federal agency or instrumentality, provided the debt is uncontested by the debtor or, if contested, provided that the debtor's legal and administrative remedies have been exhausted; (4) Violation of a material provision of a voluntary exclusion agreement entered into under §180.640 or of any settlement of a debarment or suspension action; or (5) Violation of the provisions of the Drug-Free Workplace Act of 1988 (41 U.S.C. 701); or (d) Any other cause of so serious or compelling a nature that it affects your present responsibility.

yes

no

## Financial Information

**Has your agency been audited in the past year?**

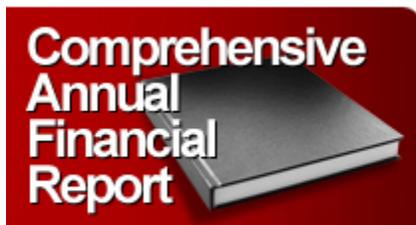
yes

no

**If yes, please include the name, and EIN of the auditor:**

**Please provide a phone number or e-mail address for the auditor:**

**Please provide the link to your agency's latest CAFR**



If unavailable please send document to [grants@scag.ca.gov](mailto:grants@scag.ca.gov)

## Thank You

Thank you for filling out preliminary information as a sub-applicant with the Southern California Association of governments. This information is required by the Federal government and will allow for a simpler application process . You can find more information on these requirements at the link below:

[Federal Requirements](#)

## Questions, Comments Concerns?

Please direct your questions to Karen Aceves at [grants@scag.gov](mailto:grants@scag.gov)

Submit